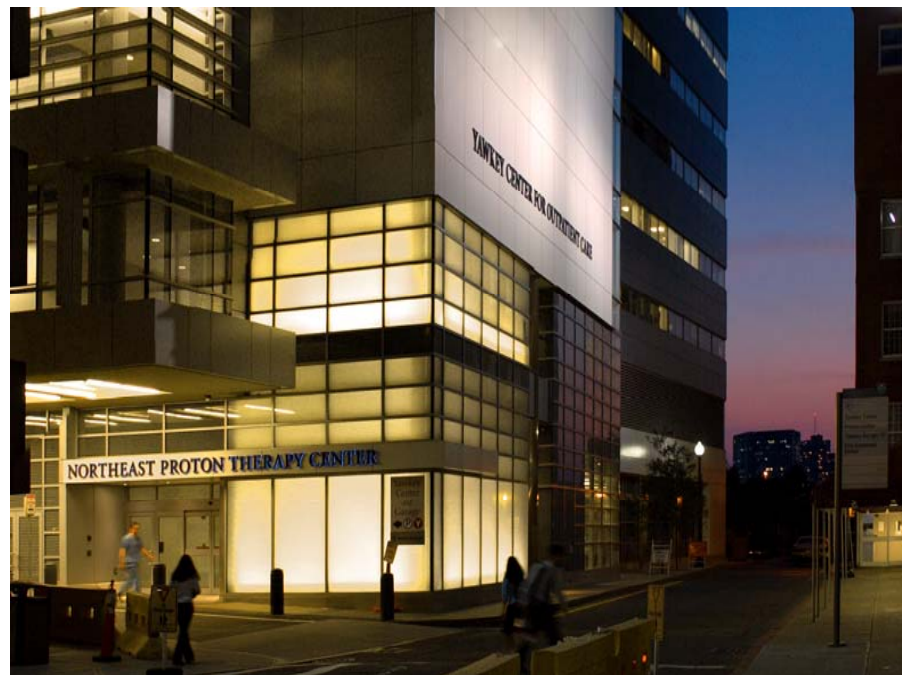


**CONNECTICUT AREA MEDICAL PHYSICS SOCIETY**  
*a chapter of the*  
**AMERICAN ASSOCIATION OF PHYSICISTS IN MEDICINE**

# Remaining physics challenges in proton therapy



## H. Paganetti PhD

Professor and Director of Physics Research

Department of Radiation Oncology, Massachusetts General Hospital & Harvard Medical School

”



# Disclosures

Work funded by the National Cancer Institute

P01 CA 02139

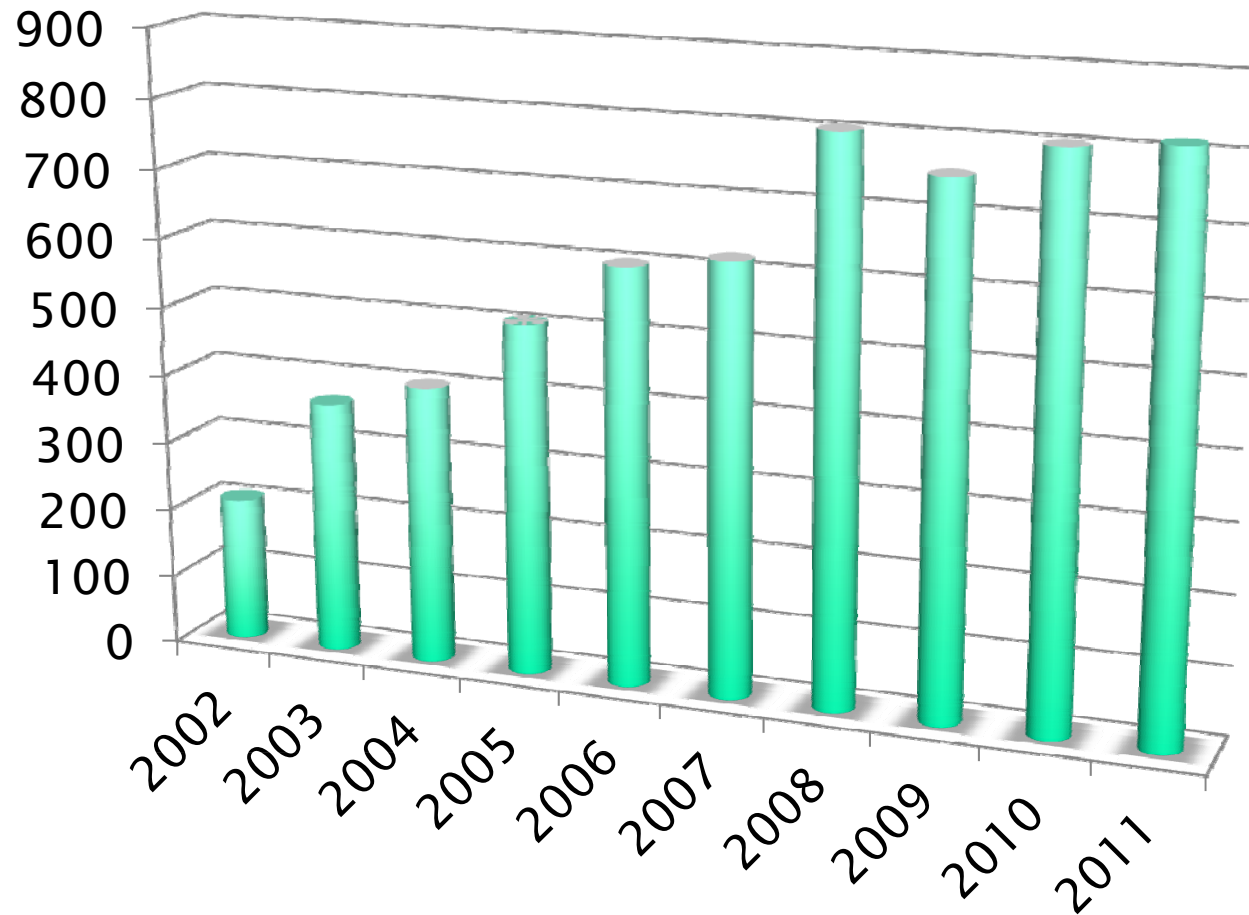
R01 CA 111590

R01 CA 140735

C06 CA 059267



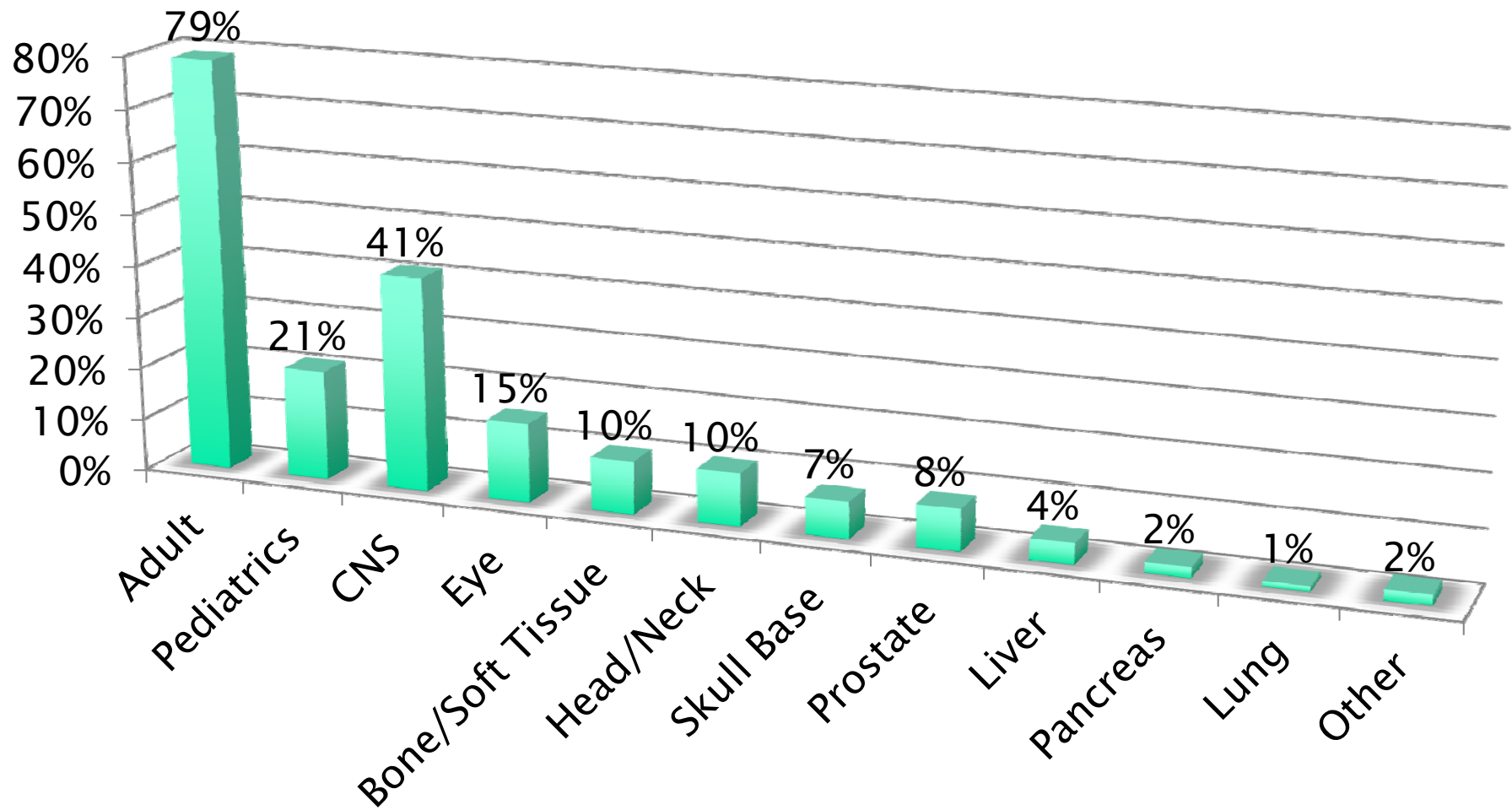
# Massachusetts General Hospital, Boston



~800 patients per year



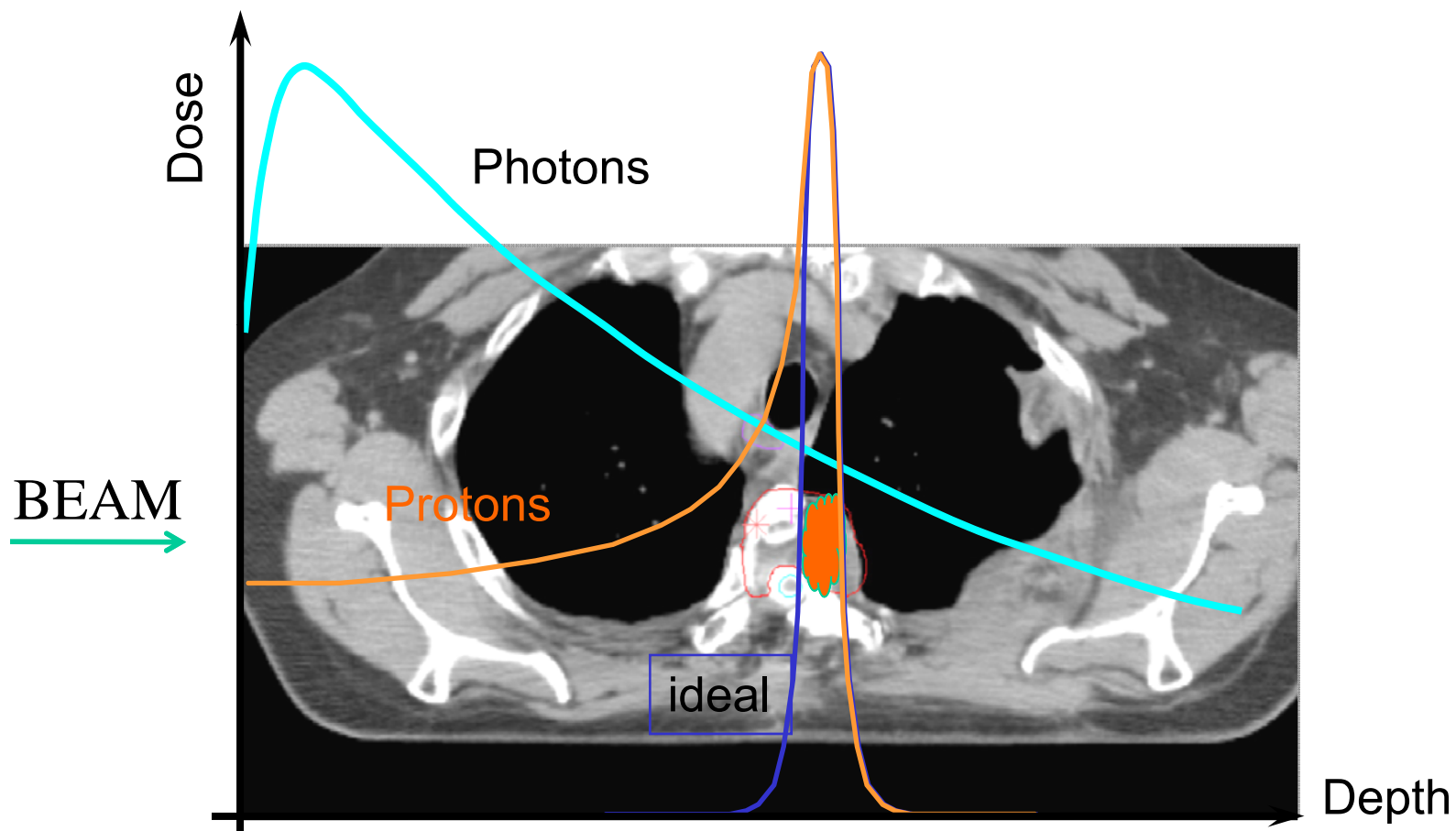
# Massachusetts General Hospital, Boston





# Introduction

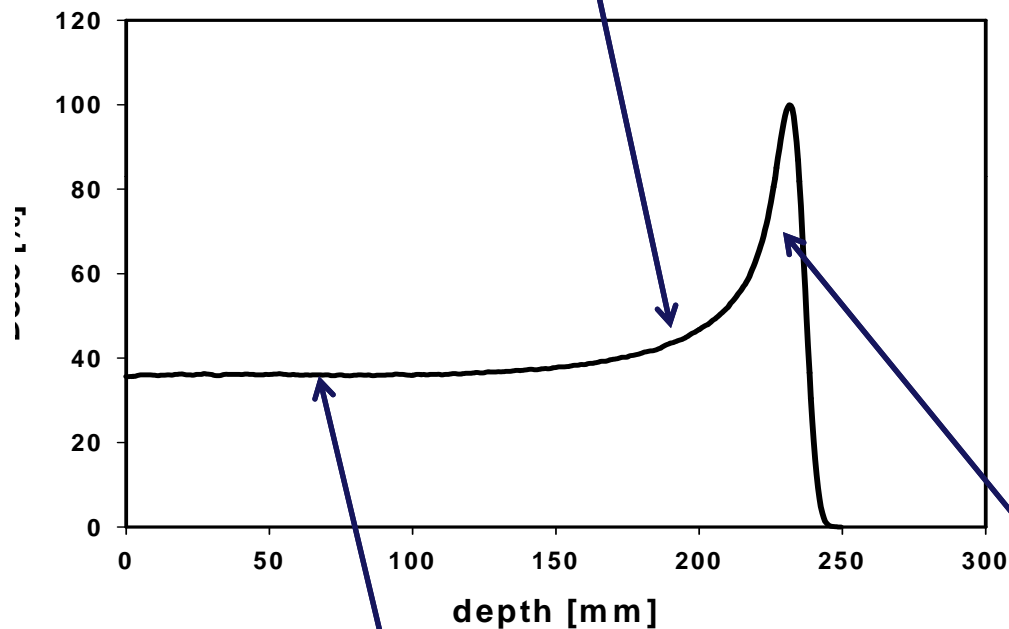
## The Ideal Dose Distribution



# Introduction

## Proton physics - The Bragg curve

increase in  $dE/dx$  as proton slows down



1) Energy loss

collisions with atomic electrons

2) Energy loss; fluence reduction

nuclear interactions

3) Scattering

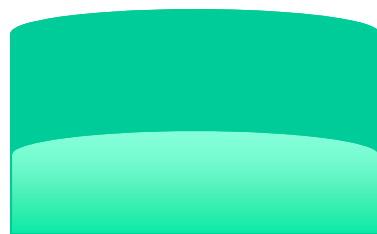
multiple Coulomb scattering

width due to range straggling

includes contribution from secondary protons

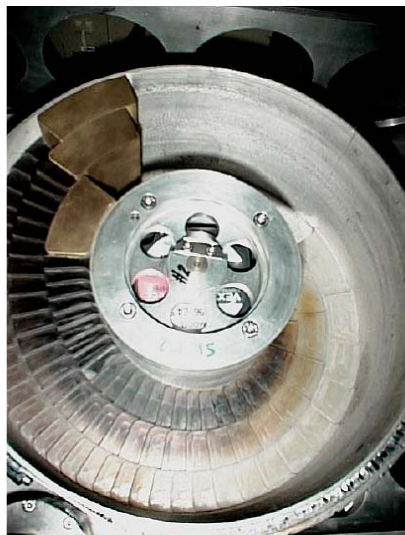


# Spread-out Bragg Peak



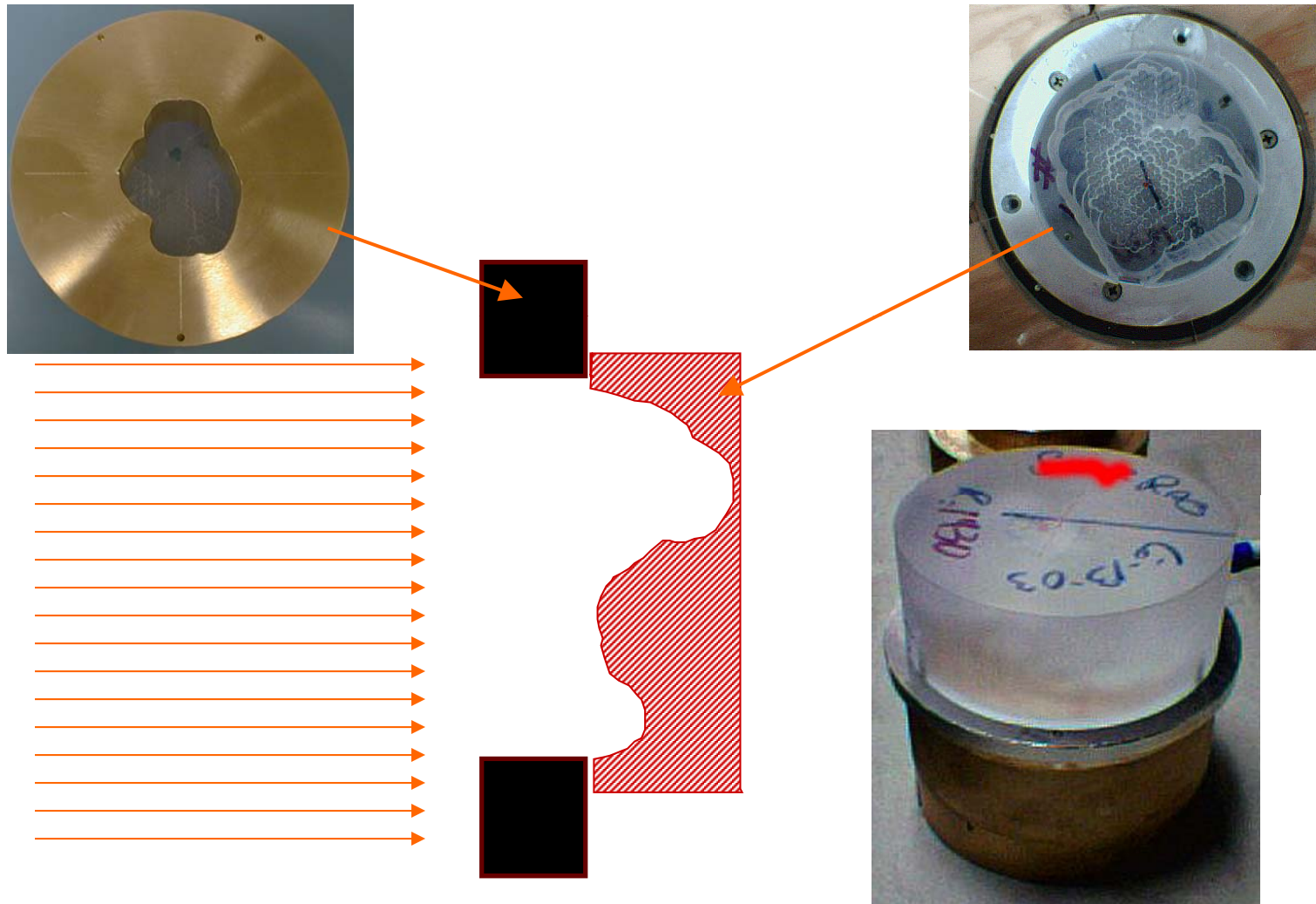
thickness

width

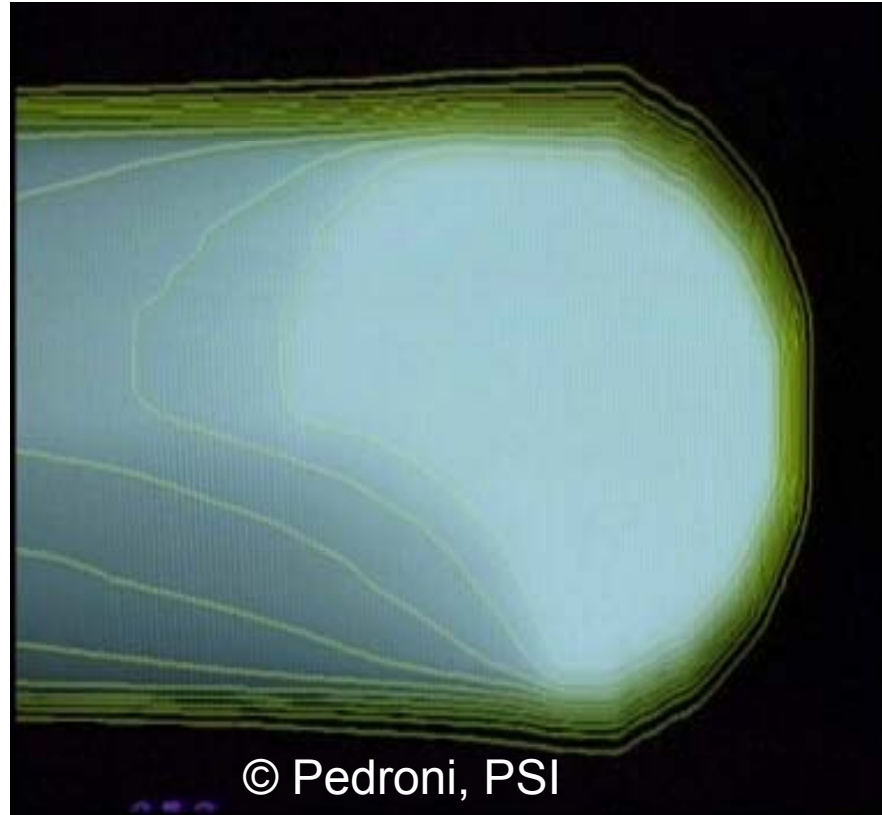


# Introduction

## Aperture and Compensator



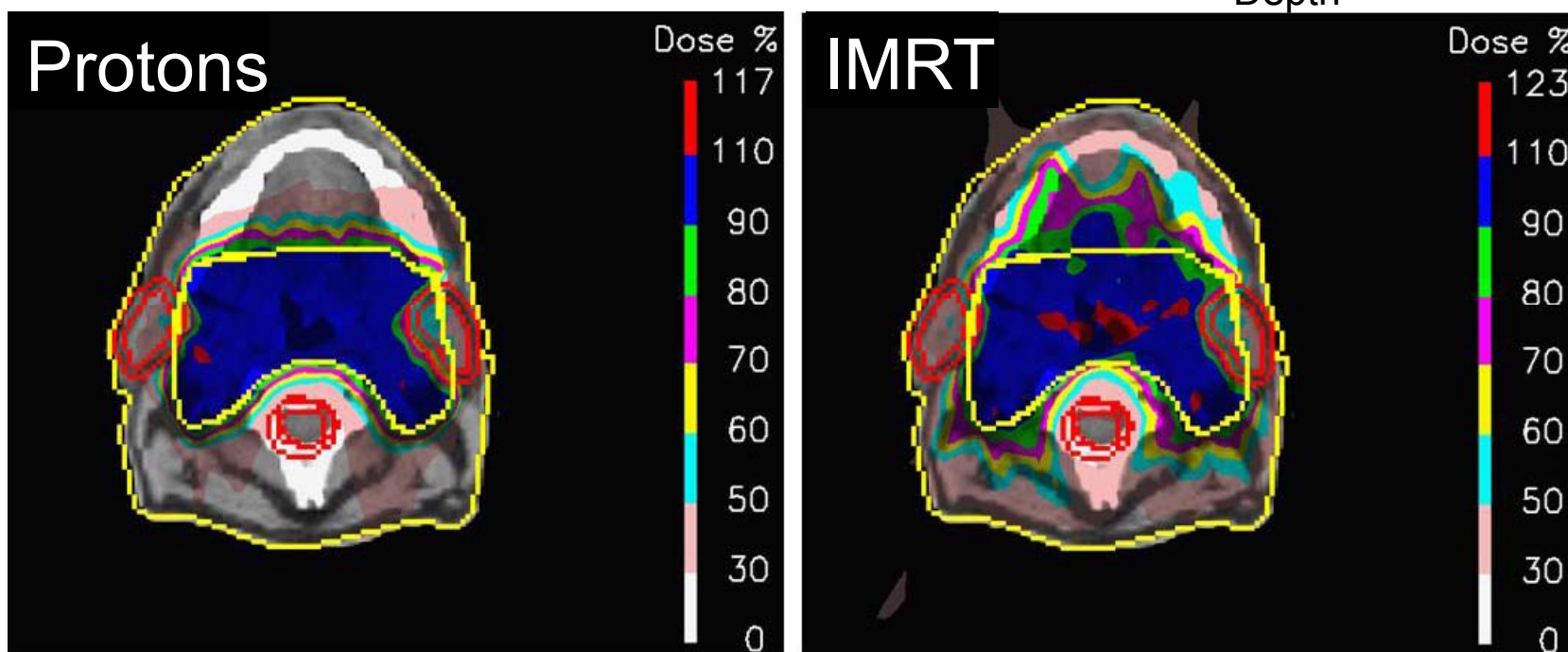
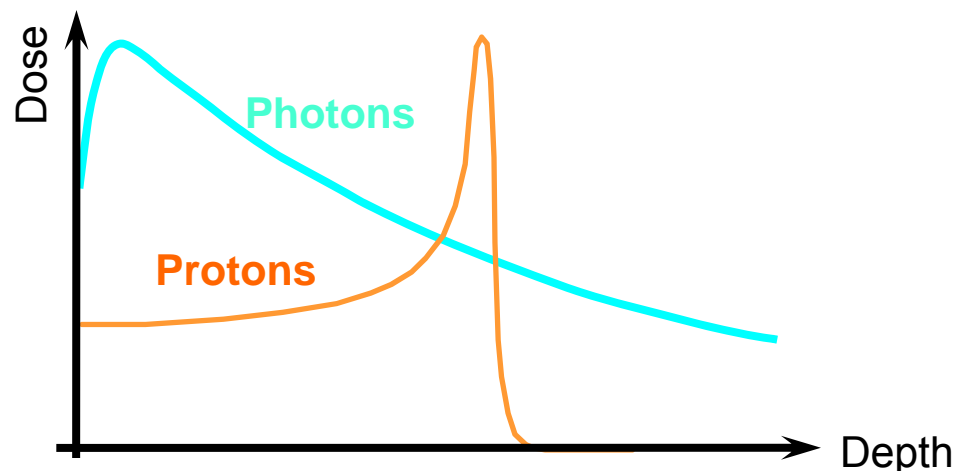
# Pencil Beam Scanning



potential for intensity-modulated proton therapy (IMPT)



# Introduction



Main proton advantage 1: The 'integral dose' difference : 2-3  
Main proton advantage 2: The end of range



# Remaining physics challenges in proton therapy

- Utilizing the integral dose advantage
- Predicting the range in the patient to within 1-3 mm
- Validating the dose in the patient

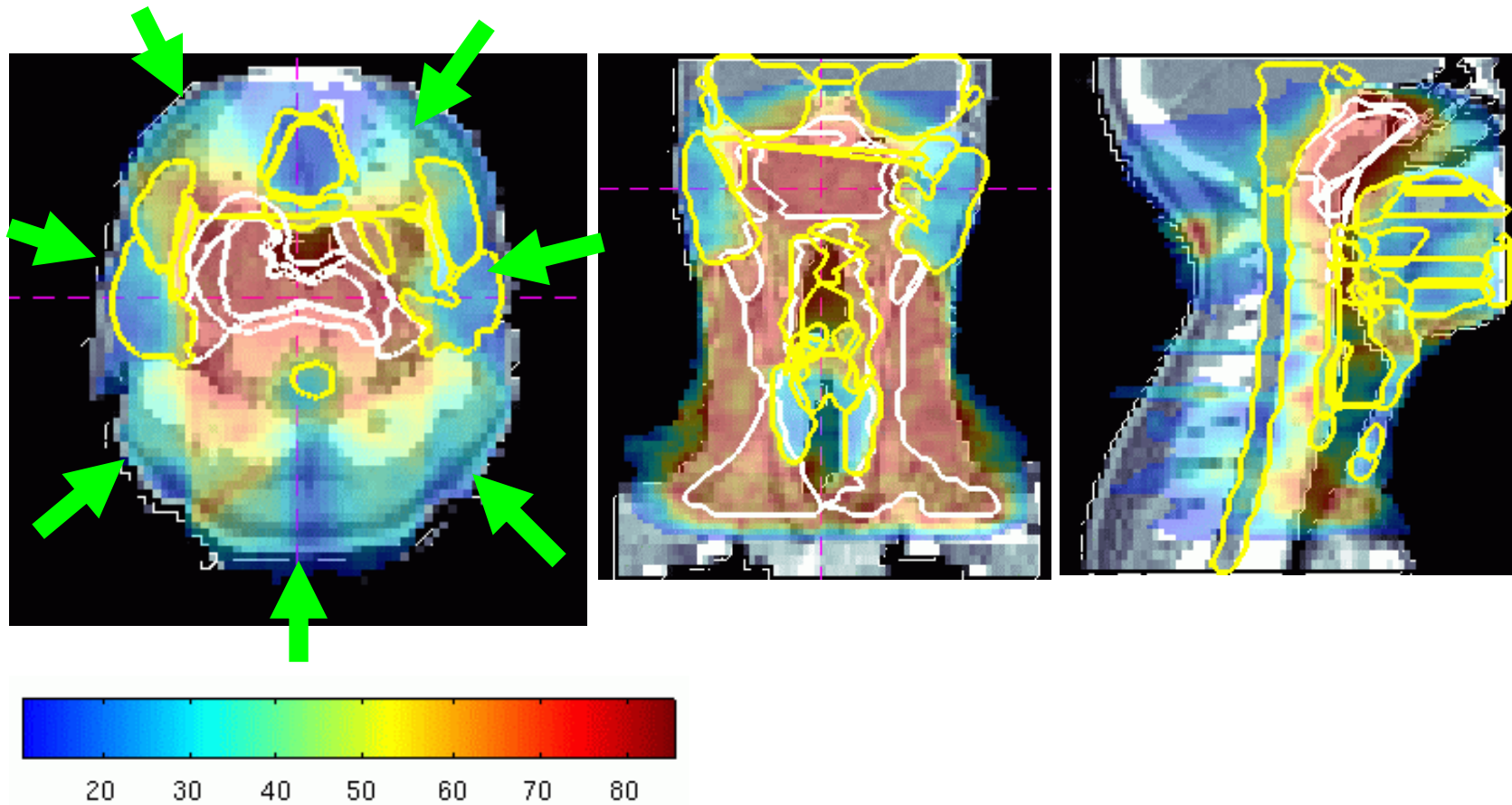




# Integral dose

## IMRT plan

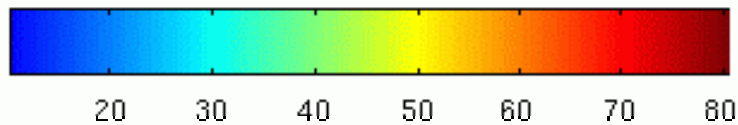
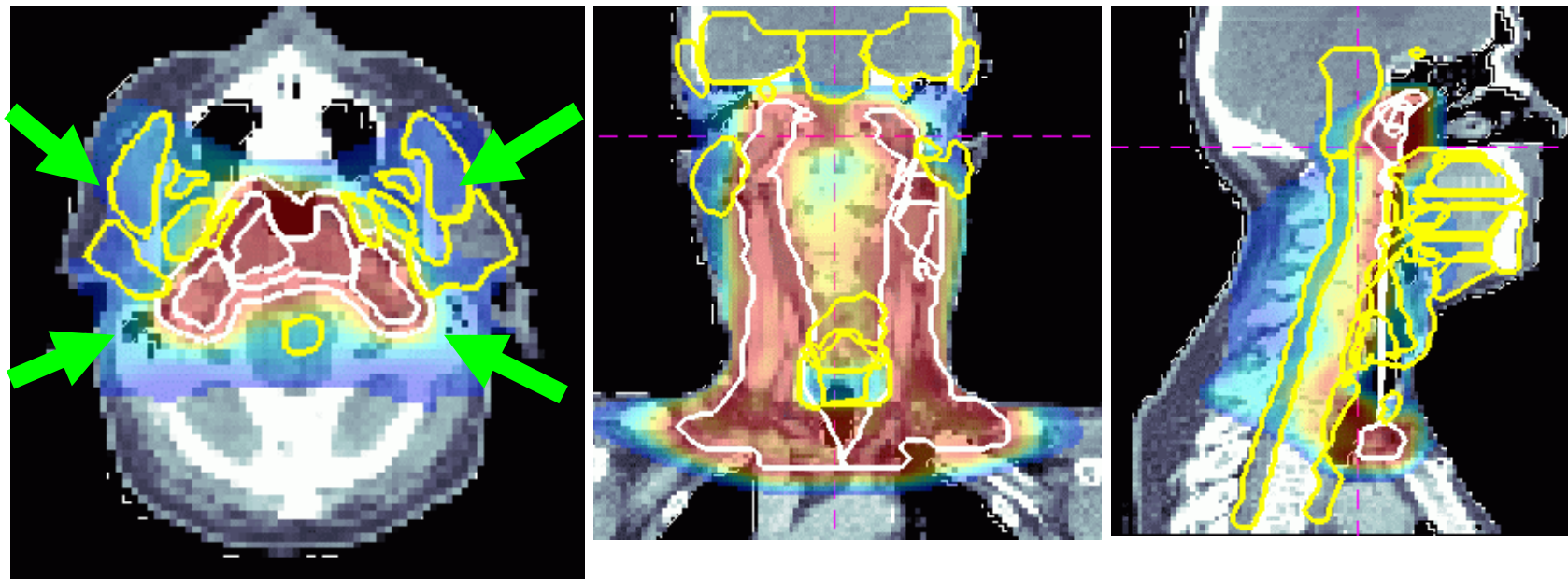
(7 coplanar photon beams)



© Alex Trofimov, MGH

# Integral dose

## IMPT plan (4 coplanar proton beams)

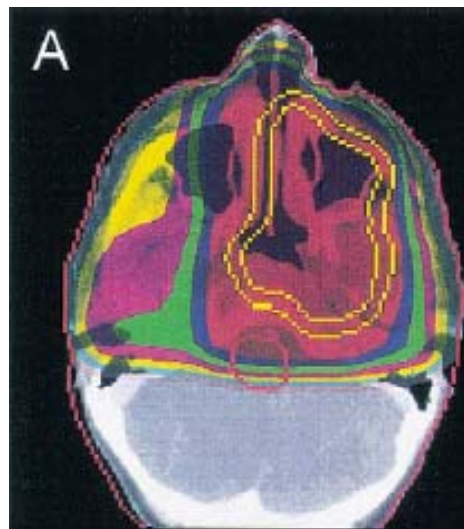


© Alex Trofimov, MGH

# Integral dose

## Rhabdomyosarcoma of Paranasal Sinus (7 y old boy)

**6 MV  
Photons  
(3 field)**



Dose %

107

90

80

70

60

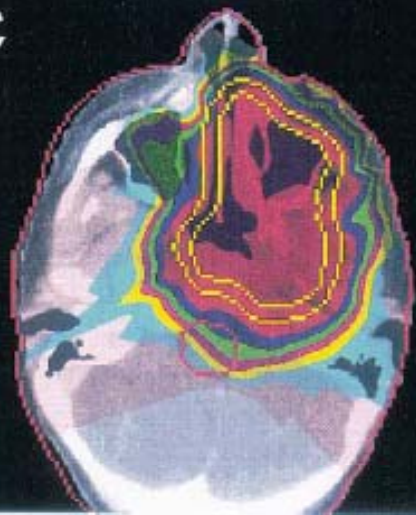
50

40

30

0

C



Dose %

110

90

80

70

60

50

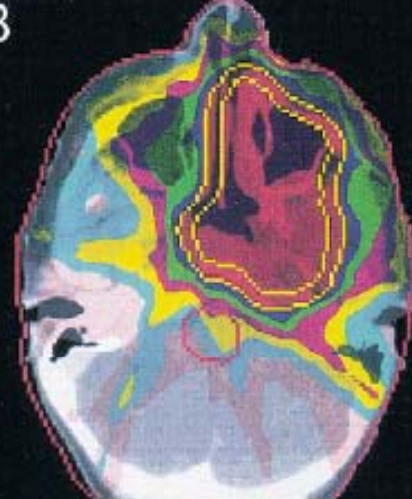
40

30

0

**Photon  
IMRT  
(9 field)**

B



Dose %

112

90

80

70

60

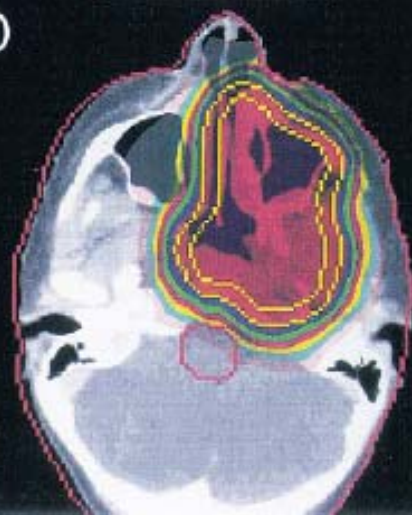
50

40

30

0

D



Dose %

107

90

80

70

60

50

40

30

0

**160 MeV  
Protons  
(2 field)**

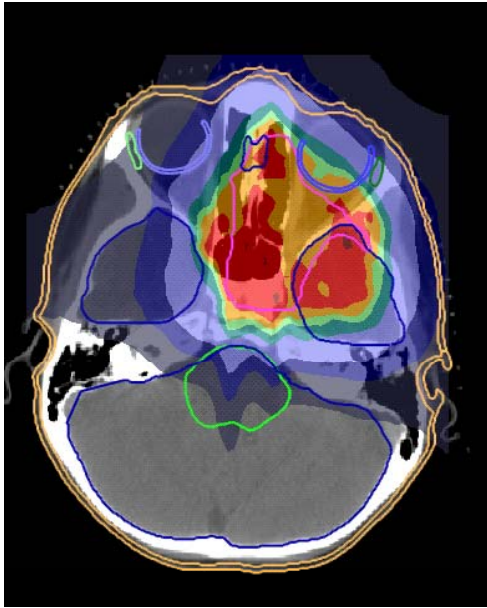
**Proton  
IMPT  
(9 field)**

© Alfred Smith (MDACC)

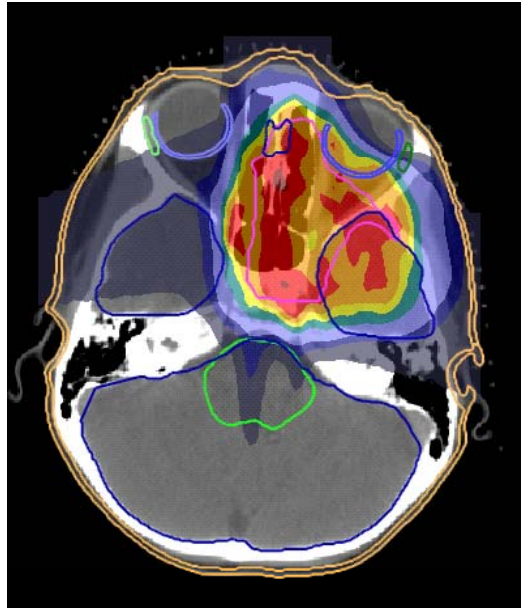


# Integral dose

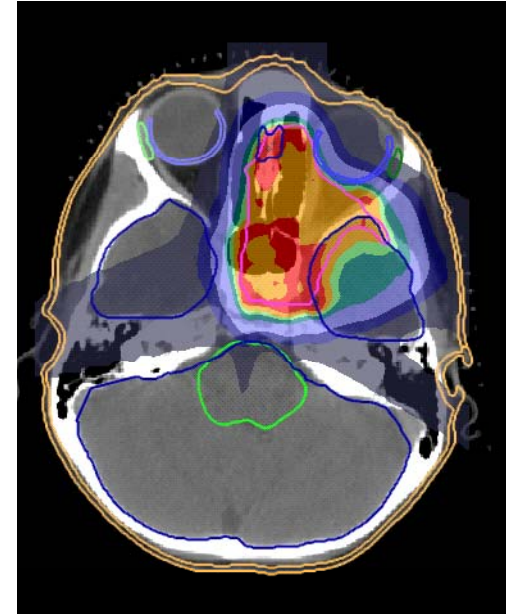
In beam scanning, spot size matters !



$\sigma=12\text{mm}$



$\sigma=12\text{mm}$   
+aperture



$\sigma=3\text{mm}$

Depending on the beam characteristics, there are considerable differences between different proton beams (potentially showing inferiority compared to photon treatments)

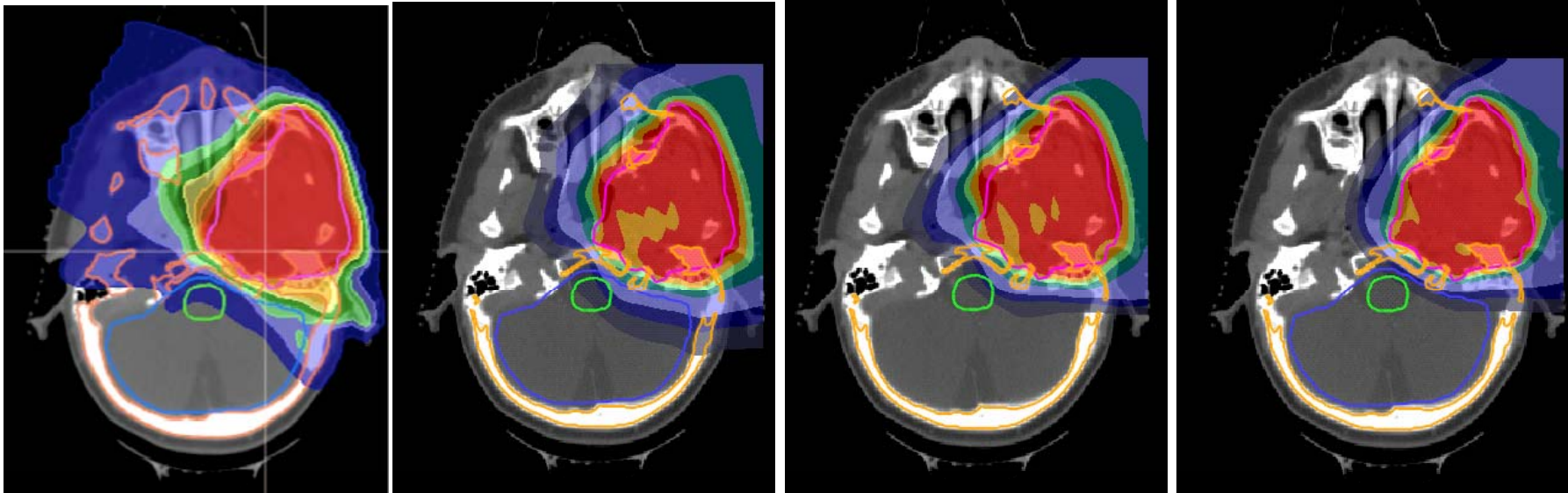
# Integral dose

IMRT

PBS 12mm

PBS 12mm+AP

PBS 3mm

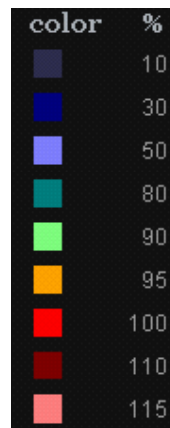


Rhabdomyosarcoma

Total dose = 50.4 Gy

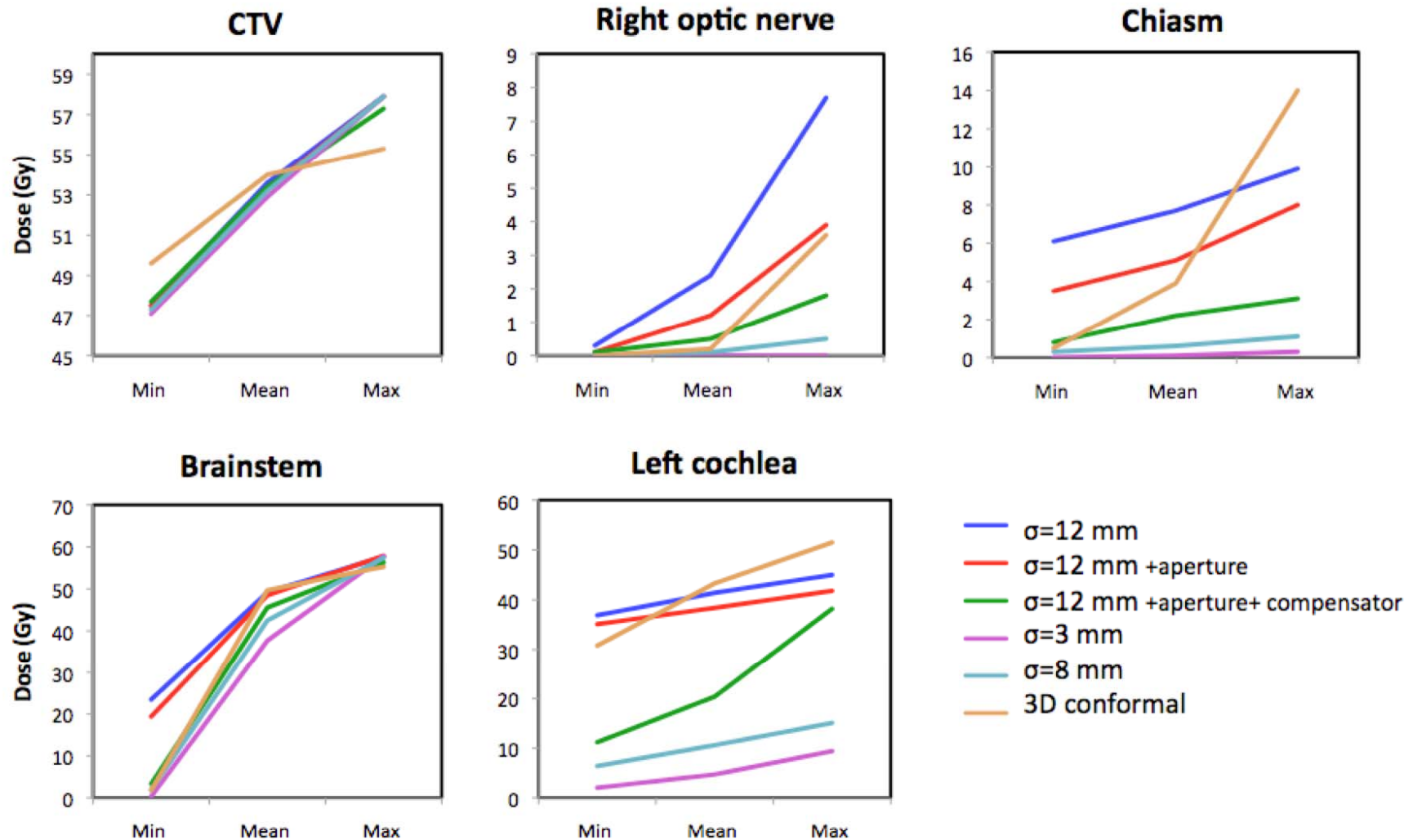
Number of proton fields = 2

Number of IMRT fields = 5



© Maryam Moteabbed, MGH

# Patient with posterior fossa ependymoma



# Integral dose

## Is the integral dose the decisive parameter?

Is a small volume of high dose 'better' compared to a large volume of low dose?

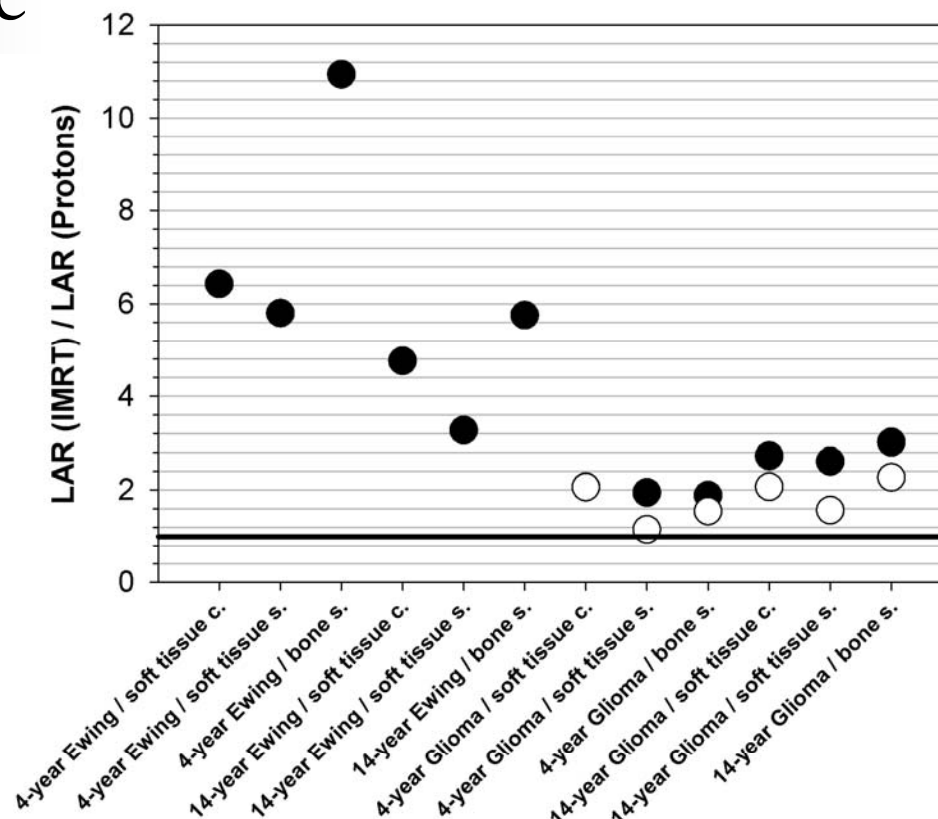
second cancer induction

cognitive development in children (!)





# Integral dose



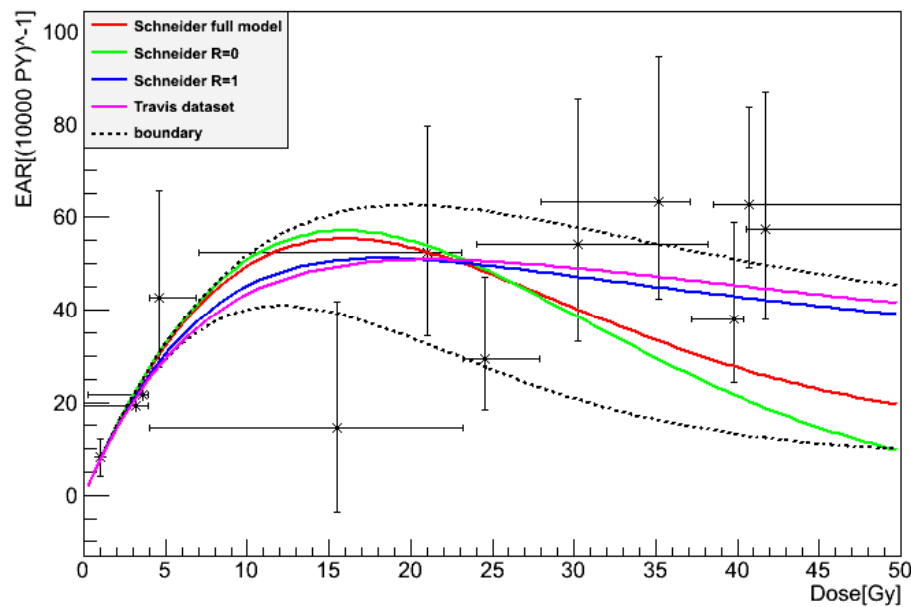
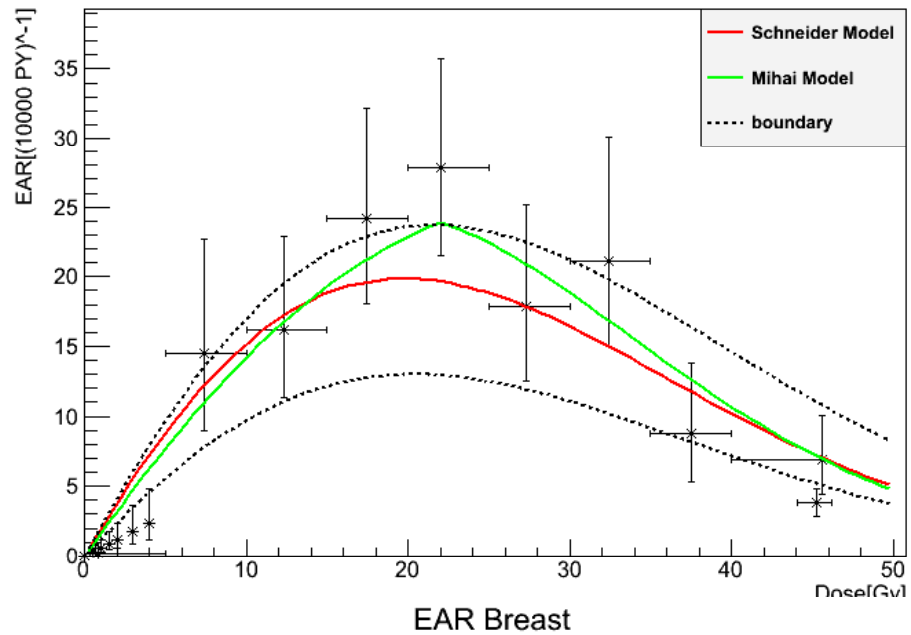
Total energy deposited (in Joules) in the patient for the treatment plans considered.

	Optic glioma			Ewing's sarcoma	
	Protons (3 fields)	Protons (4 fields)	IMRT	Protons	IMRT
4-year old	10.98	11.64	36.04	24.04	47.70
14-year old	10.73	12.05	29.57	75.48	148.00



# Integral dose

## Thyroid secondary cancer risk



# Integral dose

## Note:

- NTCP considerations in treatment planning are based on photon dose distributions
- Organ doses in proton therapy are more heterogeneous. There are no proton specific normal tissue constraints



# Integral dose

## Conclusion I:

The total energy deposited in a patient (“integral dose”) is always lower when treating with protons. This, theoretically, should always result in an advantage for proton treatments. However,

- the dose distribution matters
- this may not always result in a significant clinical gain (site dependent; clinical trials?)
- the delivery system matters



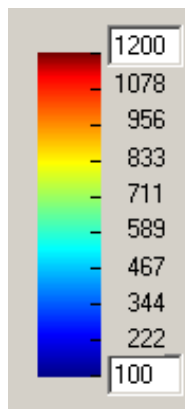
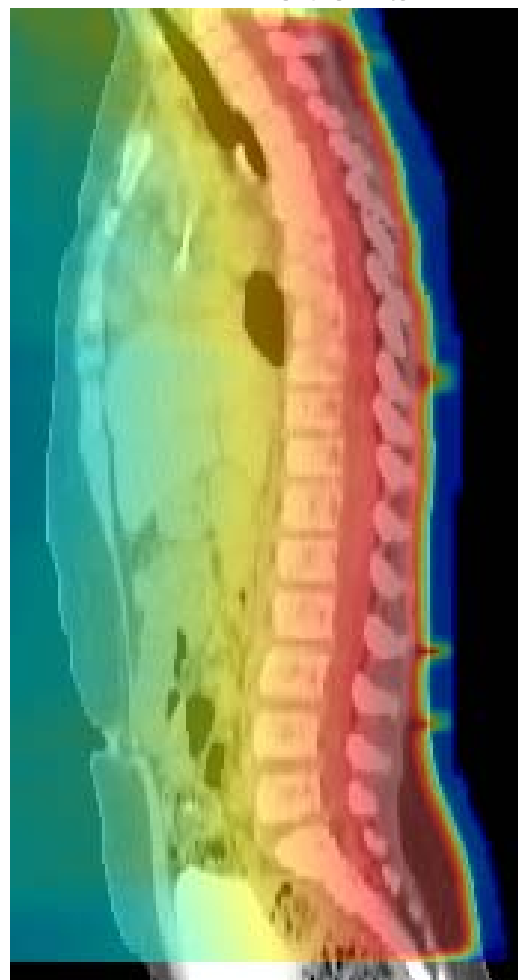
Predicting the range

# Medulloblastoma

**Protons**



**Photons**

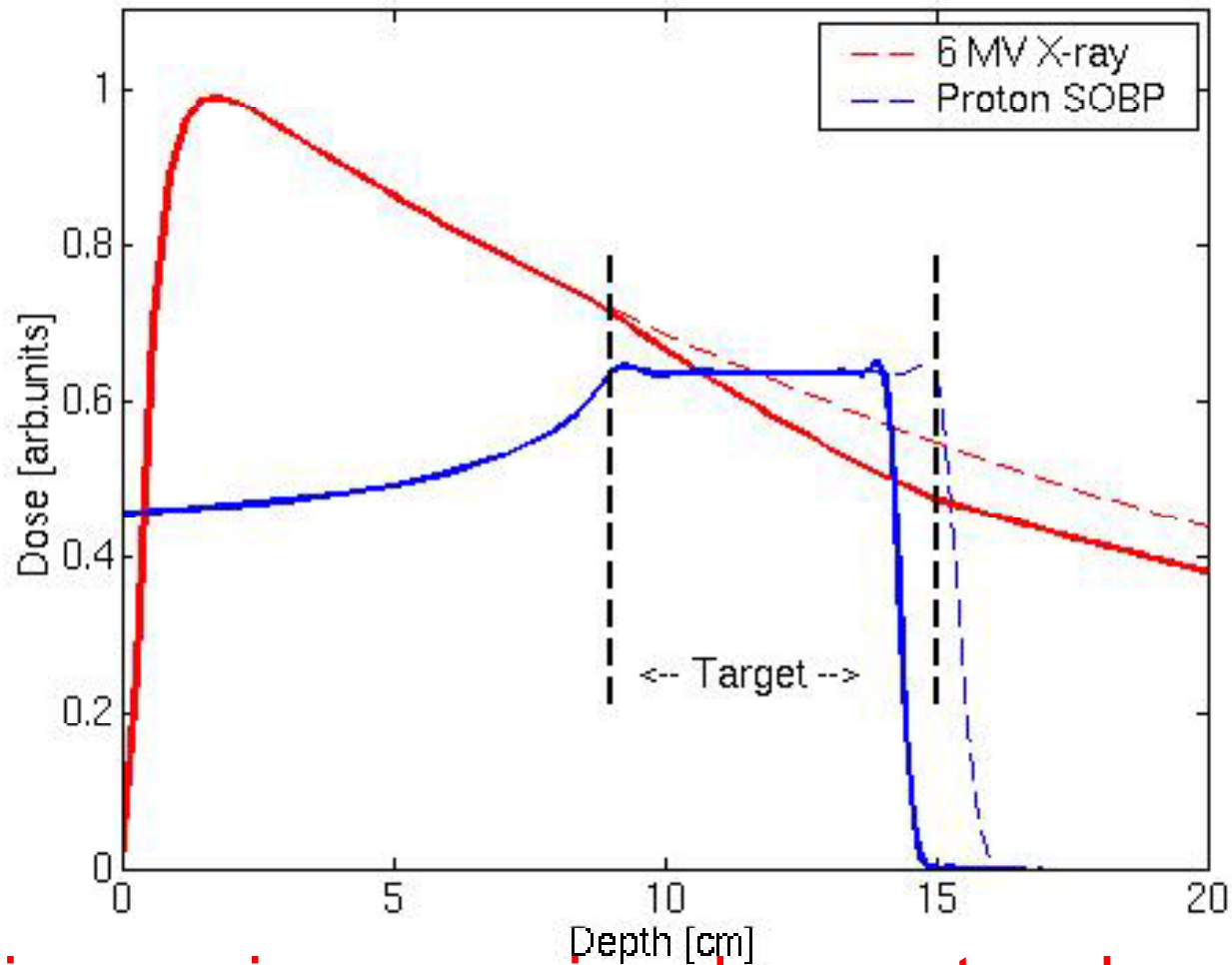


Copyright© MGH/NPTC 2003



# Predicting the range

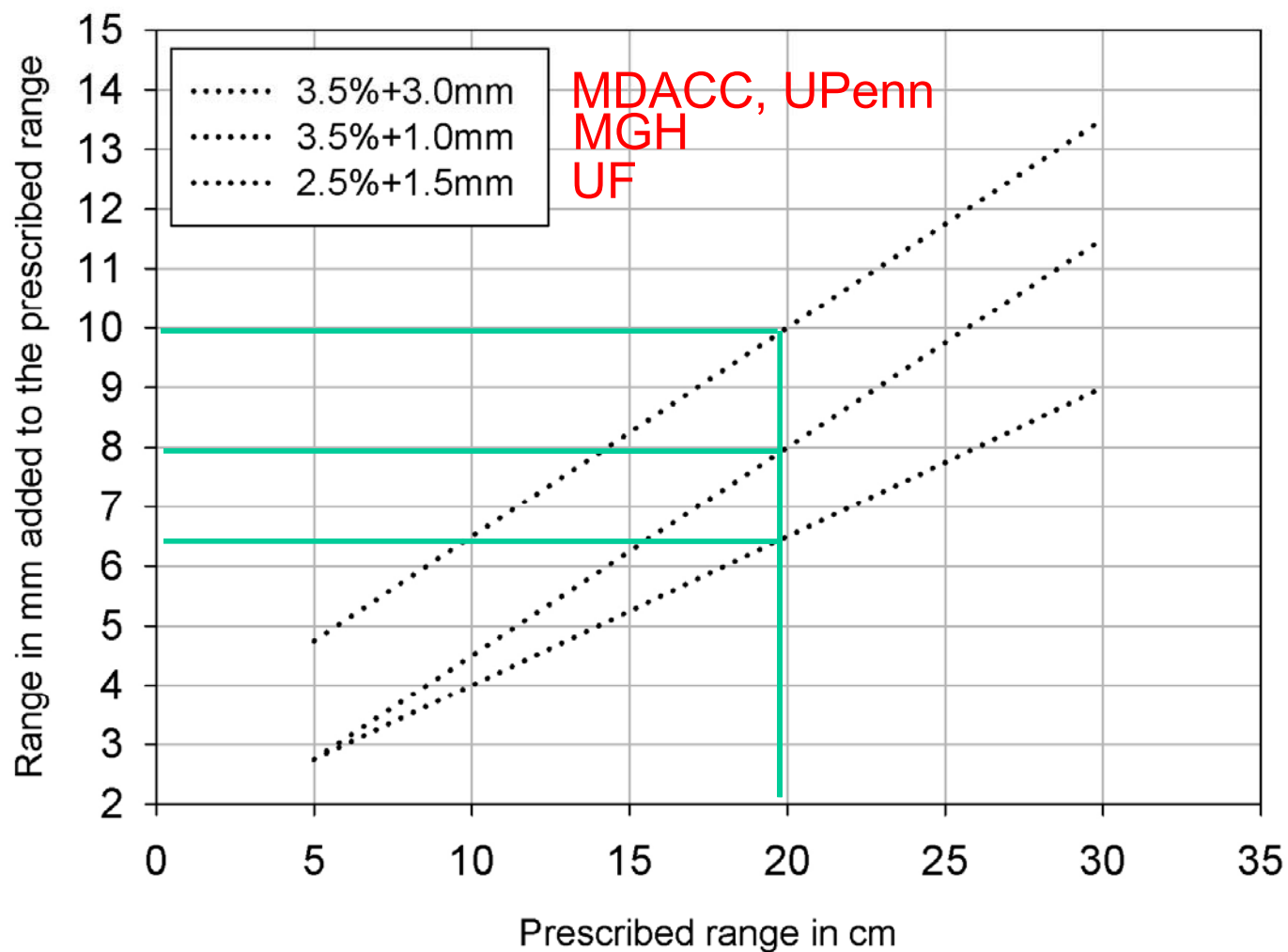
The difference compared to photon therapy: range uncertainties



**symmetric margin expansion does not make sense !**

# Predicting the range

## Applied range uncertainty margins for non-moving targets



H. Paganetti: Phys. Med. Biol. 57, R99-R117 (2012)





# Predicting the range

## Applied range uncertainty margins for non-moving targets

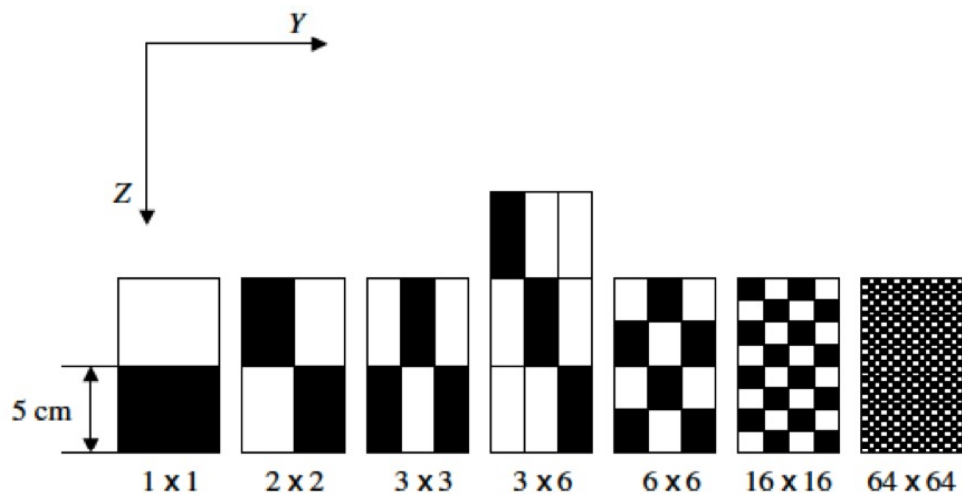
Source of range uncertainty in the patient	Range uncertainty
<b>Independent of dose calculation:</b>	
Measurement uncertainty in water for commissioning	$\pm 0.3$ mm
Compensator design	$\pm 0.2$ mm
Beam reproducibility	$\pm 0.2$ mm
Patient setup	$\pm 0.7$ mm
<b>Dose calculation:</b>	
Biology (always positive)	+ 0.8 %
CT imaging and calibration	$\pm 0.5$ %
CT conversion to tissue (excluding I-values)	$\pm 0.5$ %
CT grid size	$\pm 0.3$ %
Mean excitation energies (I-values) in tissue	$\pm 1.5$ %
Range degradation; complex inhomogeneities	- 0.7 %
Range degradation; local lateral inhomogeneities *	$\pm 2.5$ %
<b>Total (excluding *)</b>	<b>2.7% + 1.2 mm</b>
<b>Total</b>	<b>4.6% + 1.2 mm</b>

H. Paganetti: Phys. Med. Biol. 57, R99-R107 (2012)

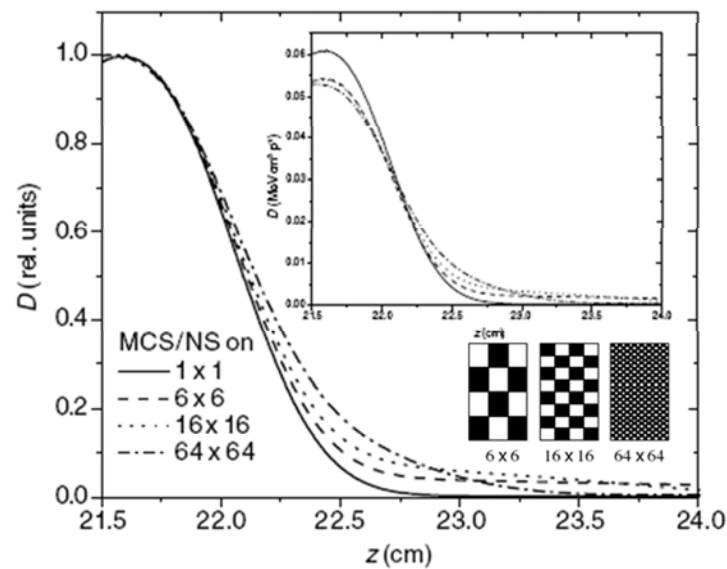


# Predicting the range

## Range degradation Type I



(Sawakuchi *et al.*, 2008)



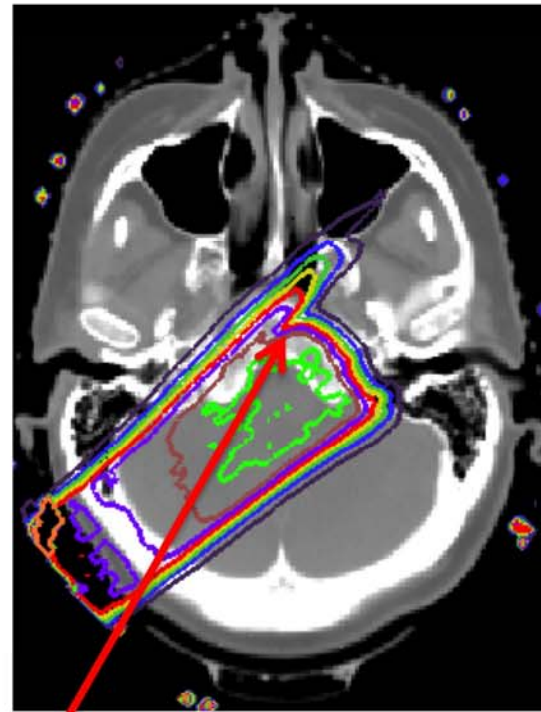
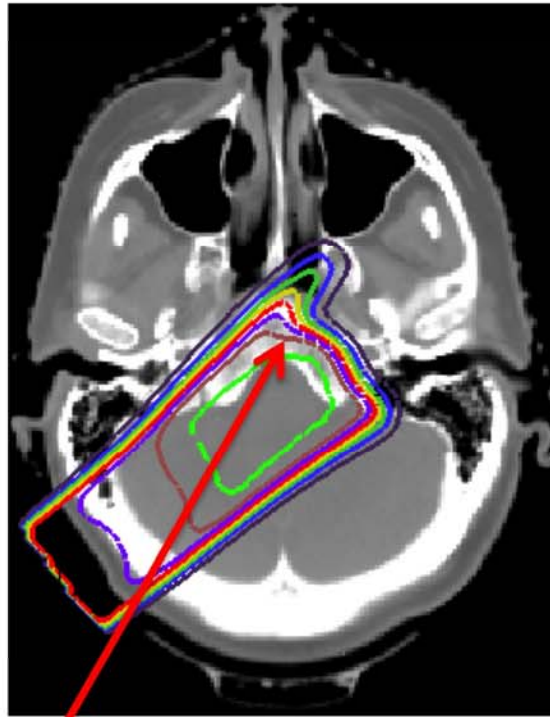
# Predicting the range

## Range degradation Type II

analytical

Monte Carlo

(Paganetti et al., 2008)

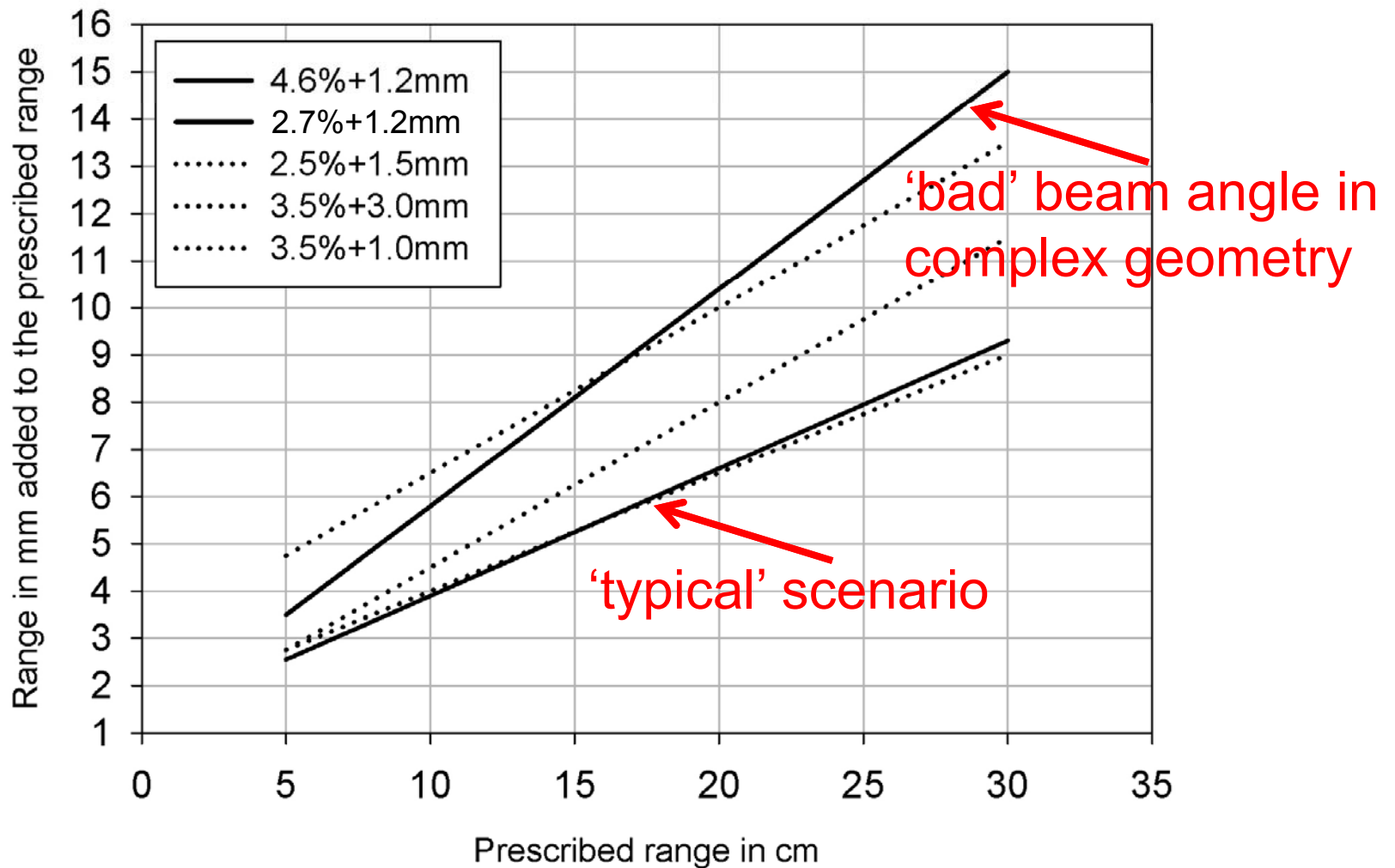


- 1 Gy(RBE)
- 3 Gy(RBE)
- 5 Gy(RBE)
- 7 Gy(RBE)
- 9 Gy(RBE)
- 11 Gy(RBE)
- 13 Gy(RBE)
- 15 Gy(RBE)



# Predicting the range

## Applied range uncertainty margins for non-moving targets



H. Paganetti: Phys. Med. Biol. 57, R99-R117 (2012)

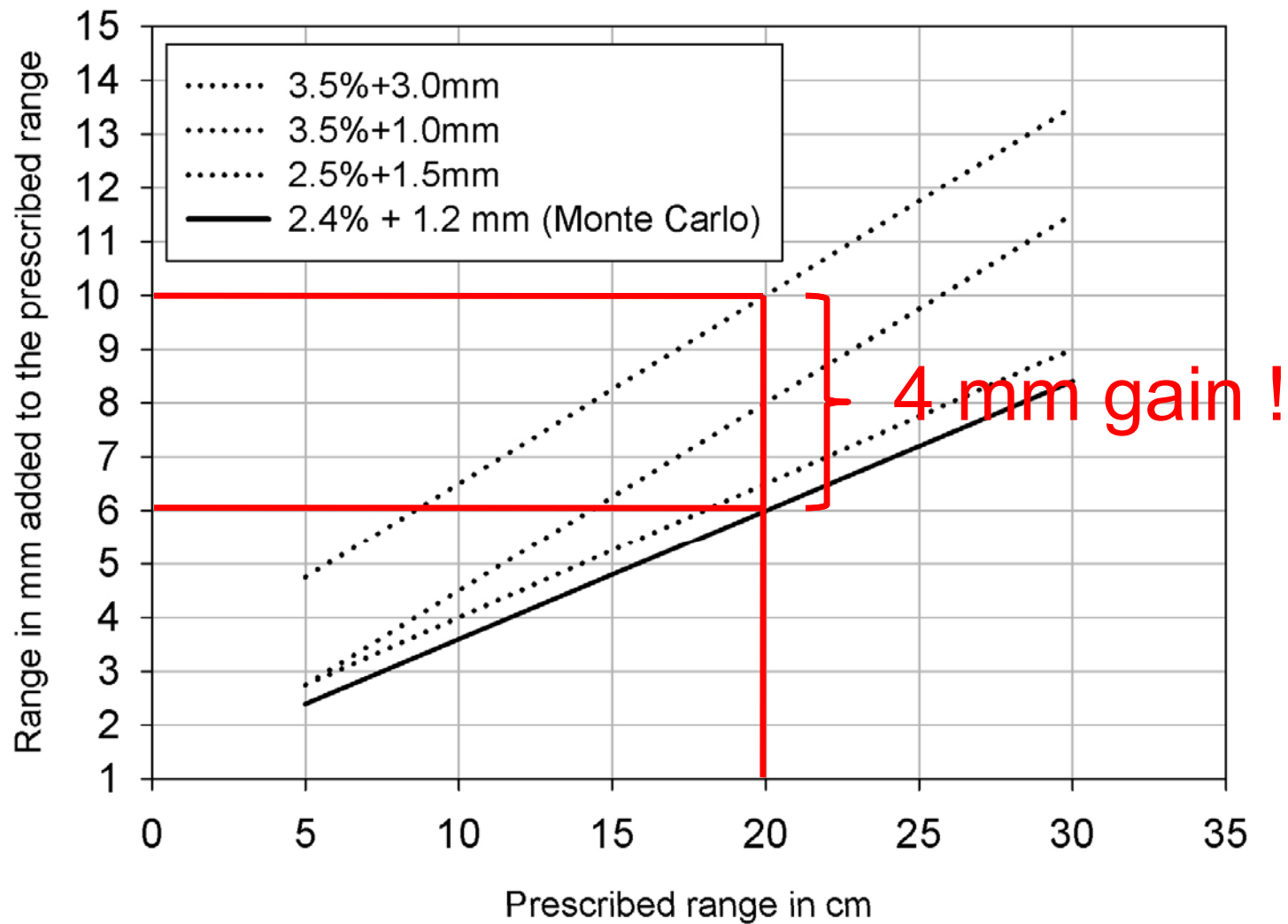
# Predicting the range

Source of range uncertainty in the patient	Range uncertainty	
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<b>Dose calculation:</b>		
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CT imaging and calibration	$\pm 0.5$ %	
CT conversion to tissue (excluding I-values)	$\pm 0.5$ %	$\pm 0.2$ %
CT grid size	$\pm 0.3$ %	
Mean excitation energies (I-values) in tissue	$\pm 1.5$ %	
Range degradation; complex inhomogeneities	- 0.7 %	$\pm 0.1$ %
Range degradation; local lateral inhomogeneities *	$\pm 2.5$ %	$\pm 0.1$ %
<b>Total (excluding *)</b>	<b>2.7% + 1.2 mm</b>	<b>2.4 % + 1.2 mm</b>
<b>Total</b>	<b>4.6% + 1.2 mm</b>	

H. Paganetti: Range uncertainties in proton beam therapy and the impact of Monte Carlo simulations  
 Phys. Med. Biol. 57: R99-R117 (2012)



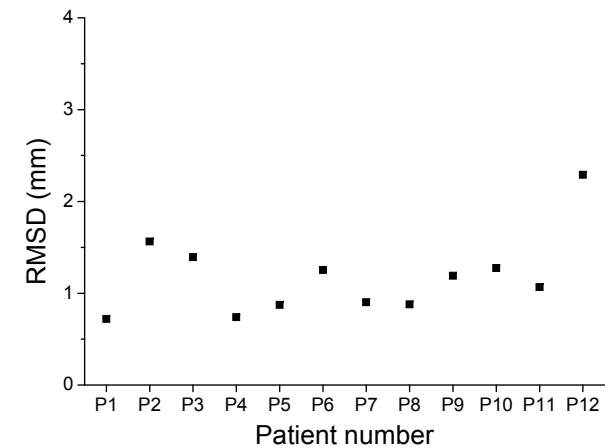
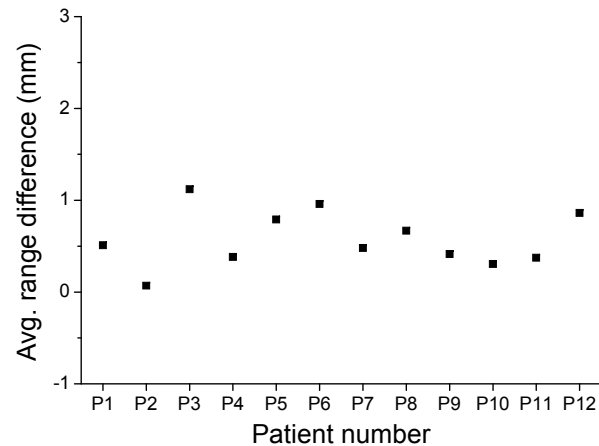
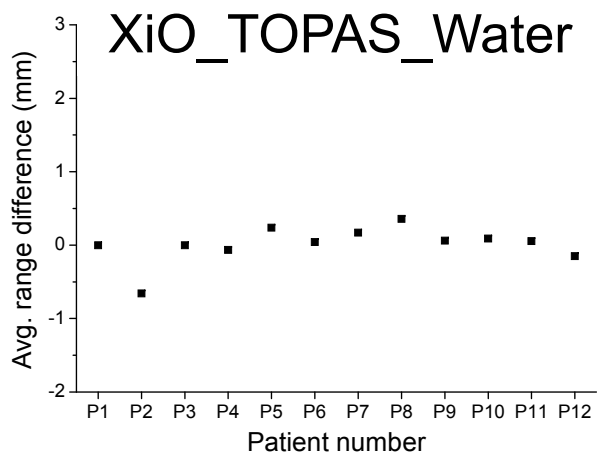
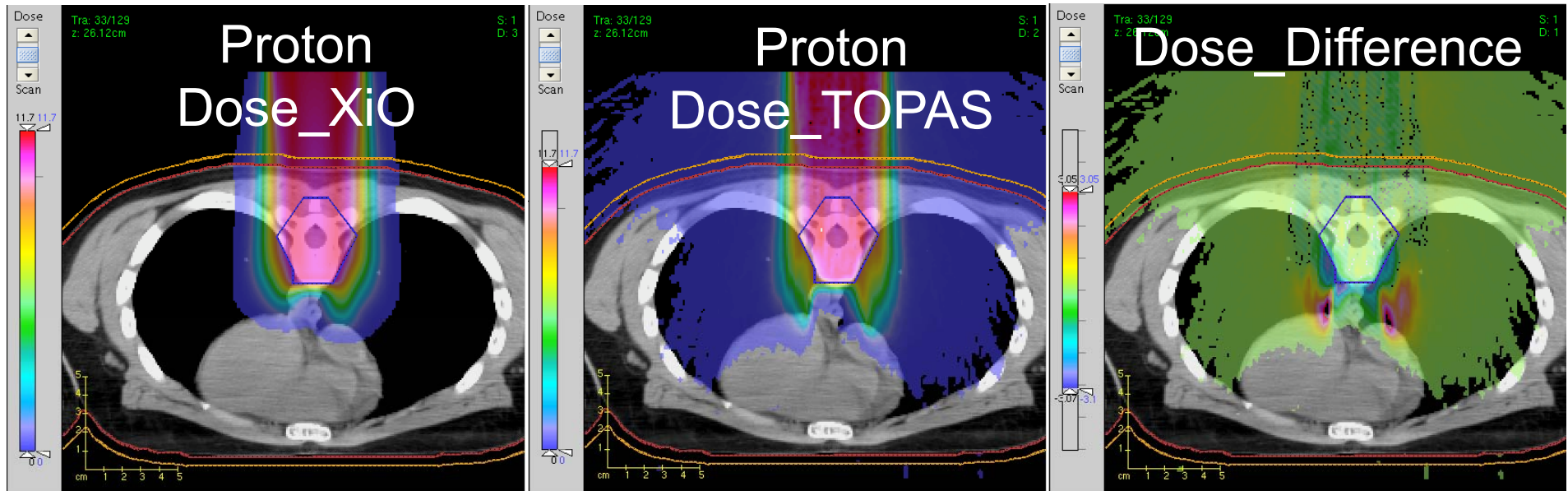
# Predicting the range



H. Paganetti: Range uncertainties in proton beam therapy and the impact of Monte Carlo simulations  
Phys. Med. Biol. 57: R99-R117 (2012)

# Predicting the range

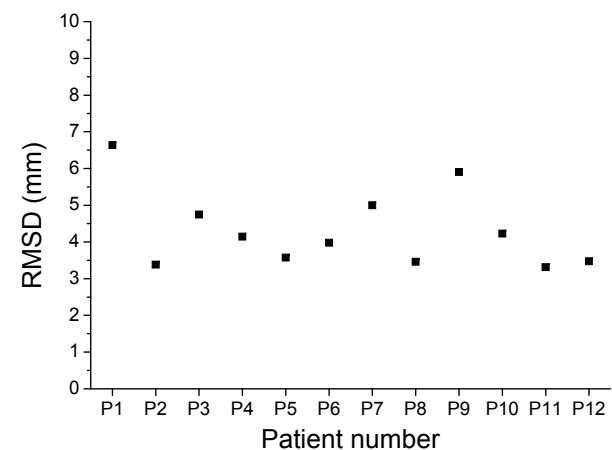
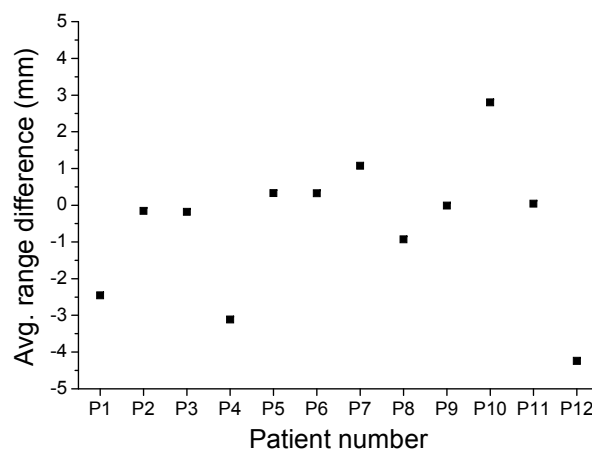
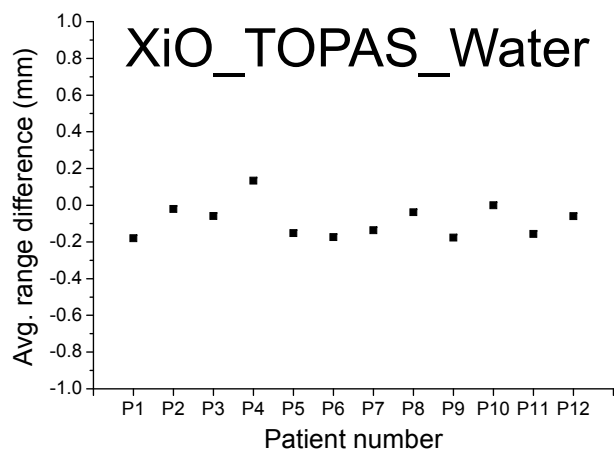
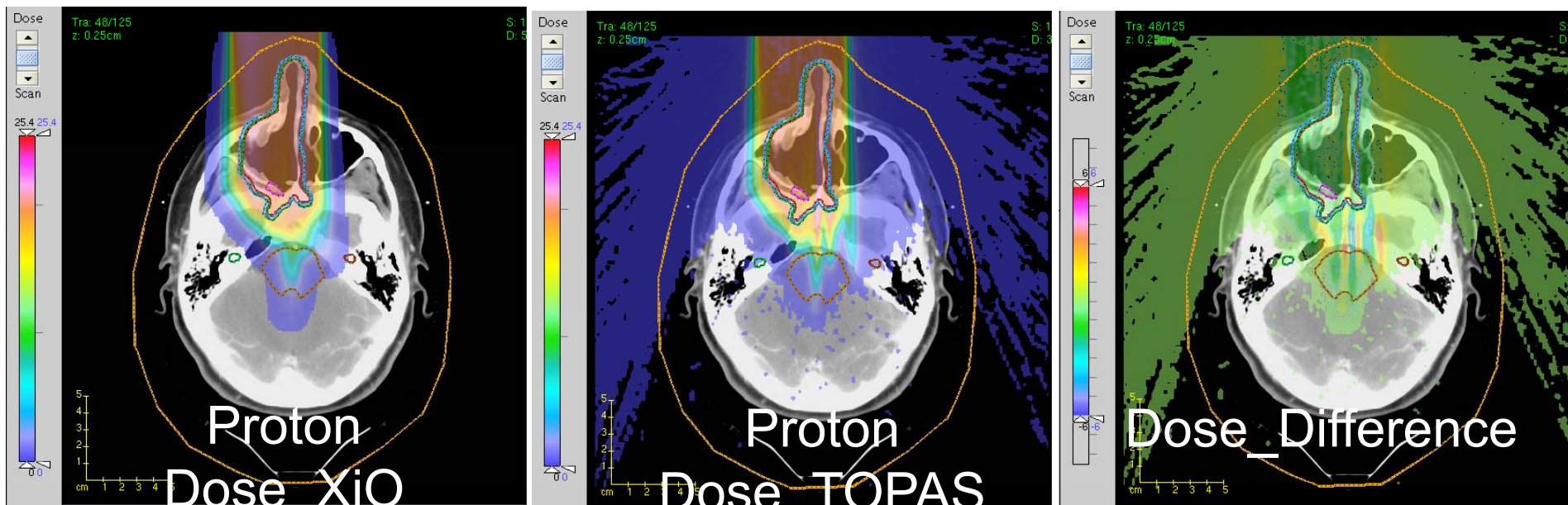
## Medduloblastoma Patient





# Predicting the range

## Head & Neck Patient



# Predicting the range

Note:

In proton therapy, generic margin recipes are not sufficient !

Advanced dose calculation only solves part of the problem



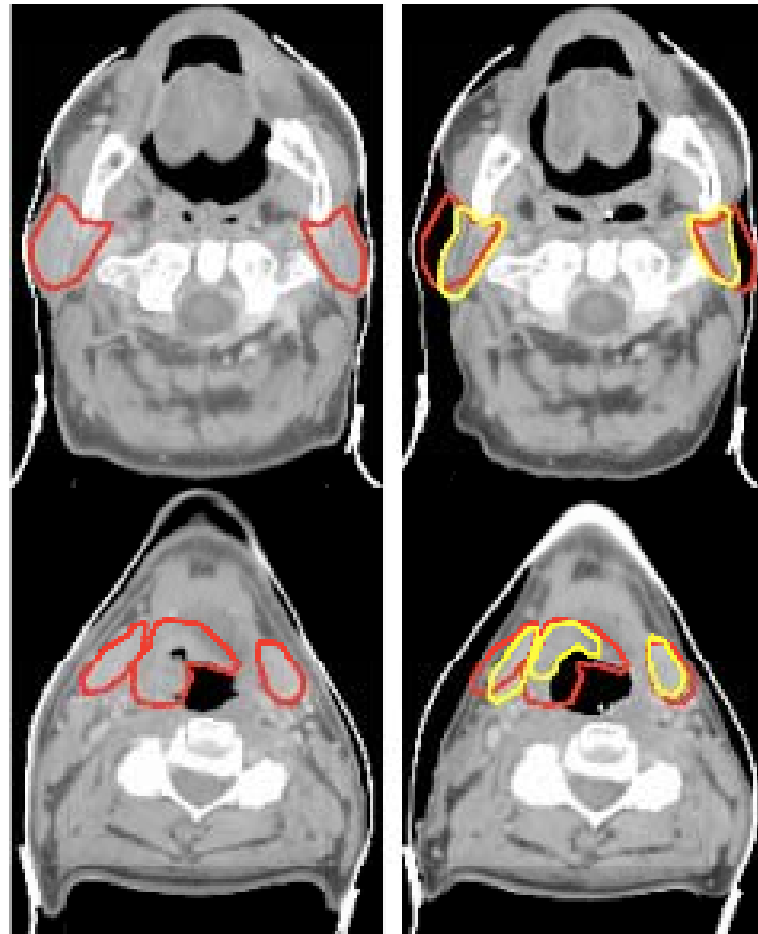
# Predicting the range

**In addition(!): patient geometry changes**

Example: Intra-fractional geometry changes

Before RT

After RT



- Parotid glands

- Subm.glands

- Tumor

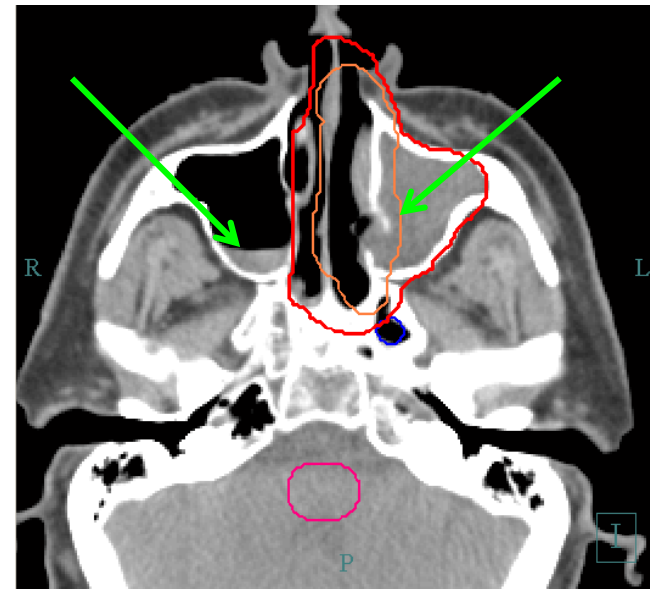
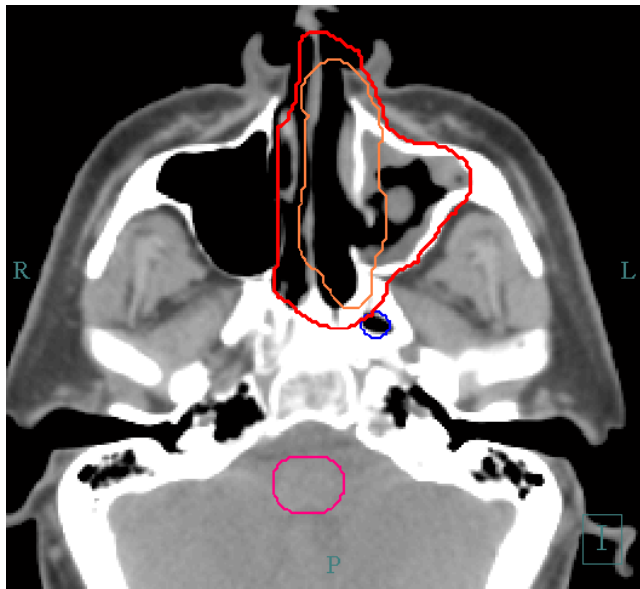
E. M. Vasques Osorio *et al.*  
IJROBP 70: 875-82



# Predicting the range

**In addition(!): patient geometry changes**

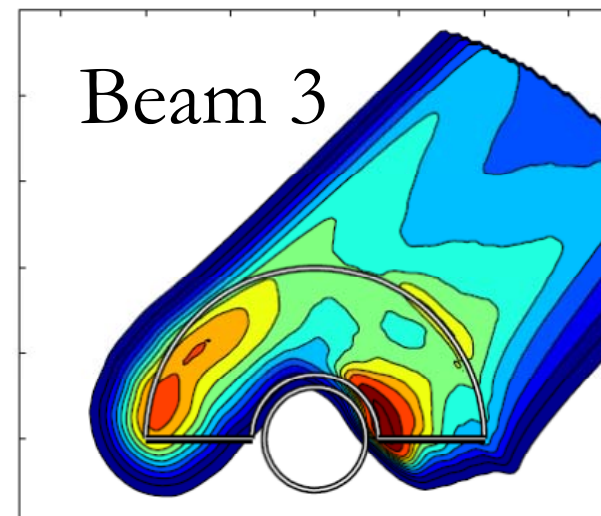
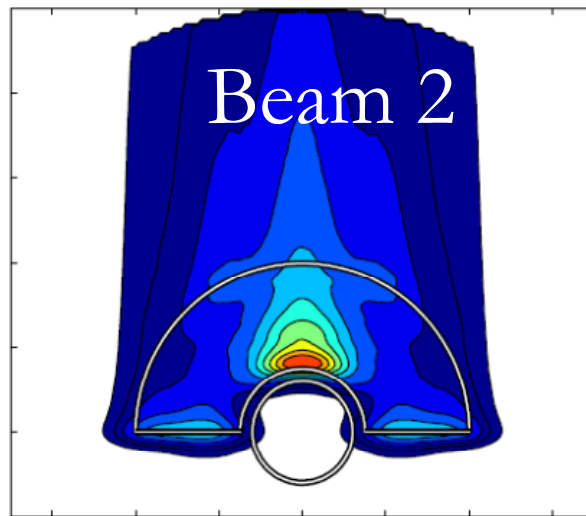
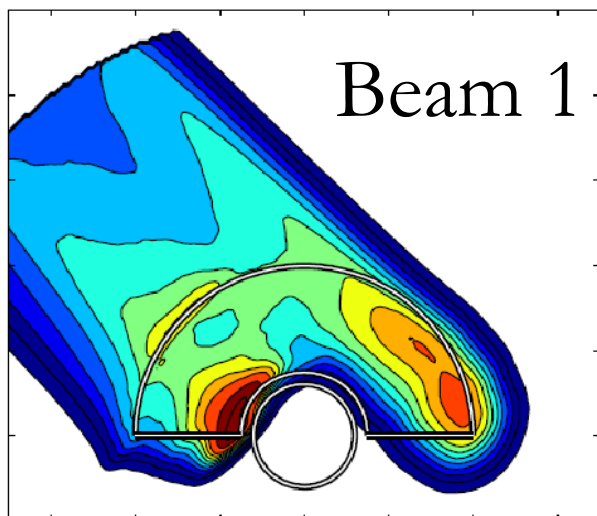
- Patient weight gain / loss
- Filling up of sinuses
- (Sub-clinical) pneumonia
- Wet hair / gel / hairspray



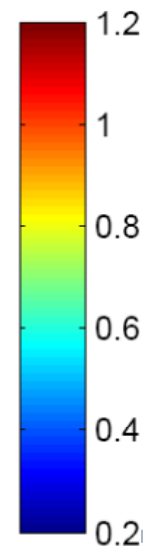
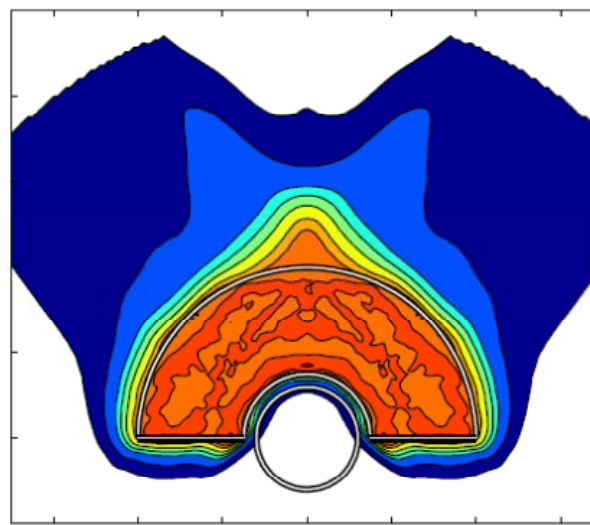
© Lei Dong, MDACC

# Predicting the range

## Mitigating range uncertainties using robust planning in IMPT



Total dose:

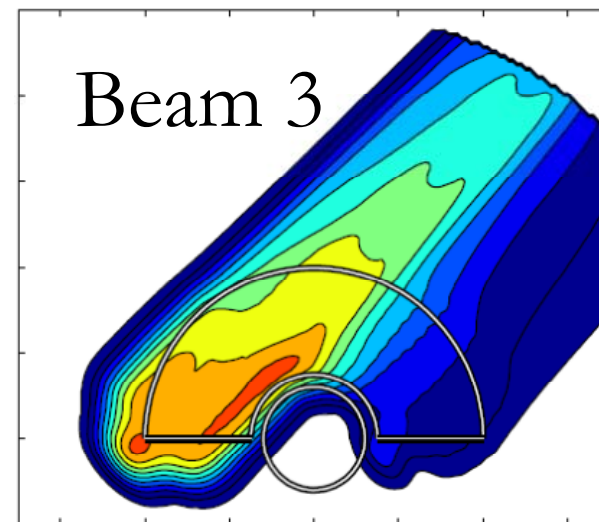
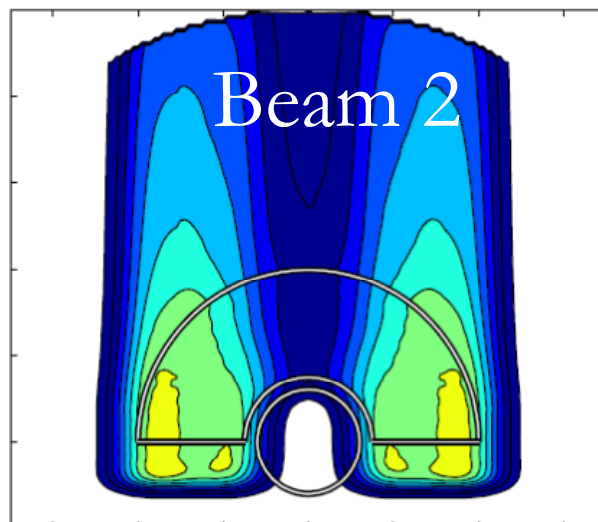
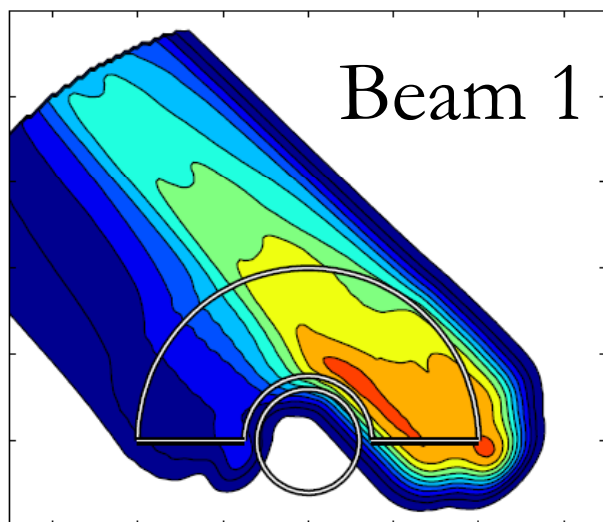


© Unkelbach, MGH

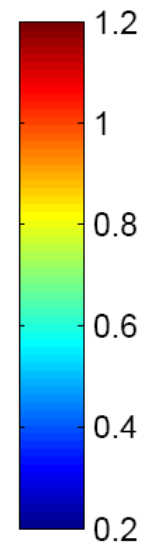
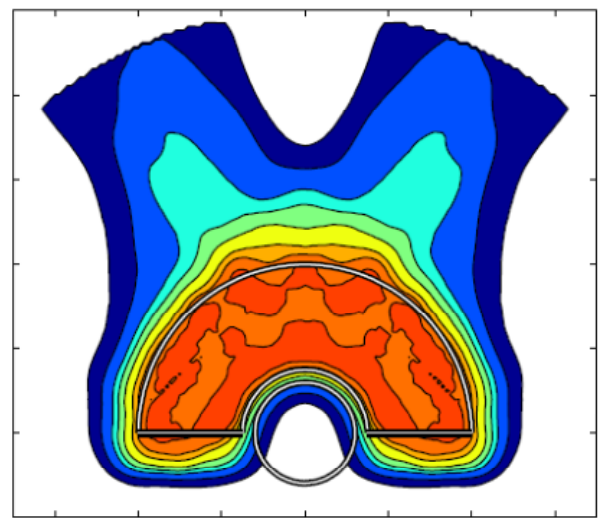


# Predicting the range

## Mitigating range uncertainties using robust planning in IMPT



Total dose:



© Unkelbach, MGH

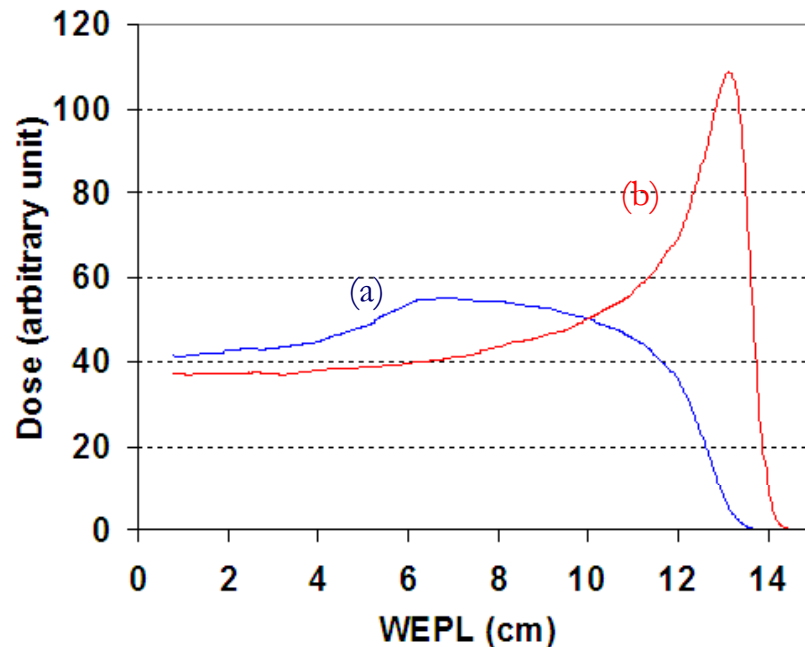




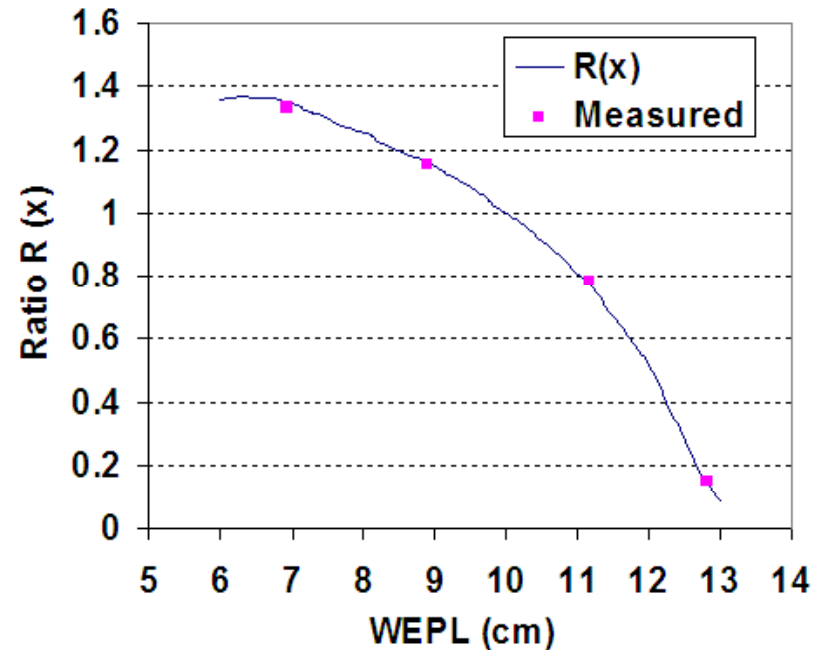
# Predicting the range

## Measuring range using the ratio of two point doses

Partial distributions for field pair



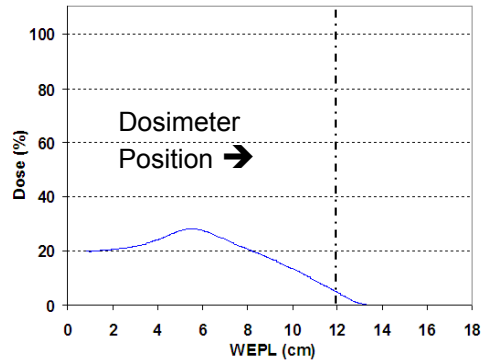
Ratio function



# Predicting the range

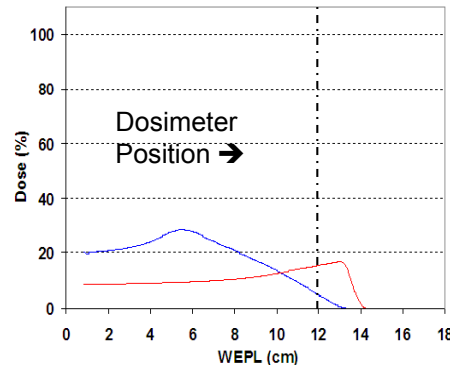
## Range adjustment for adaptive delivery

$$\mathbf{D}(\mathbf{x}) = \mathbf{D}_a(\mathbf{x}) + \mathbf{D}_b(\mathbf{x}) + \mathbf{D}_c(\mathbf{x})$$



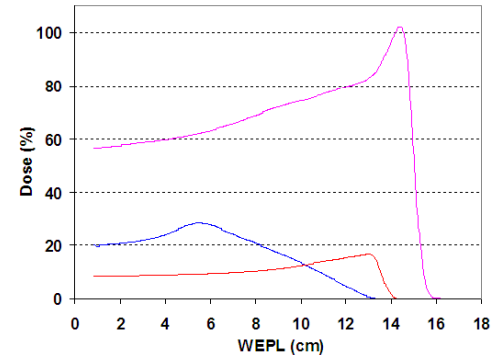
- Deliver field **a**
- Measure dose  $D_a$

+



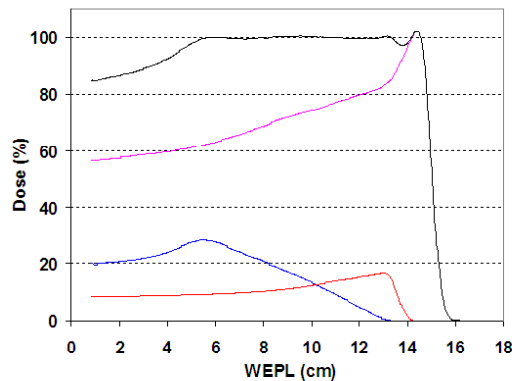
- Deliver field **b**
- Measure dose  $D_b$

+



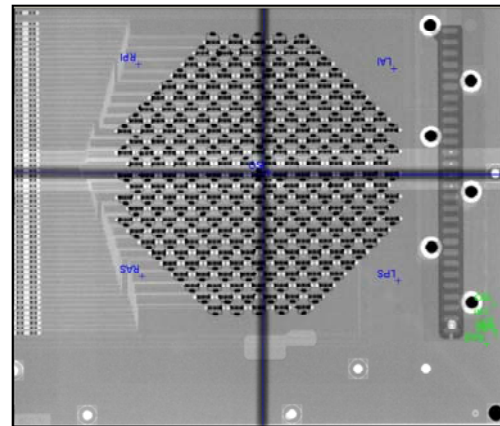
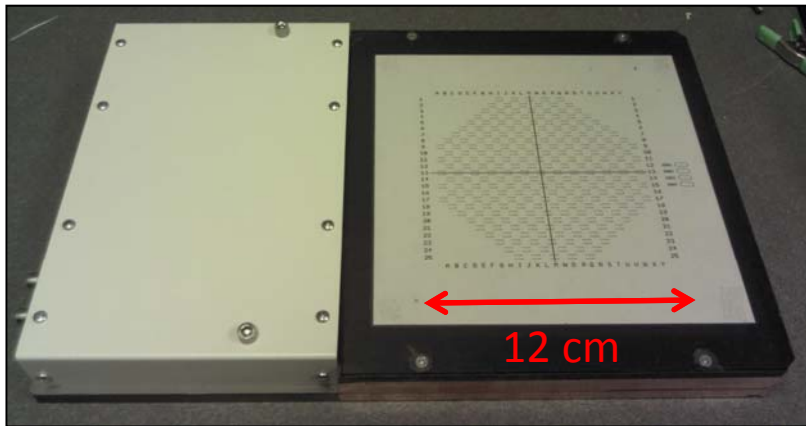
- Calculate ratio  $R = D_a/D_b$
- Drive WEPL of dosimeter
- Design subfield **c** (magenta)
- Deliver **c**.

=>



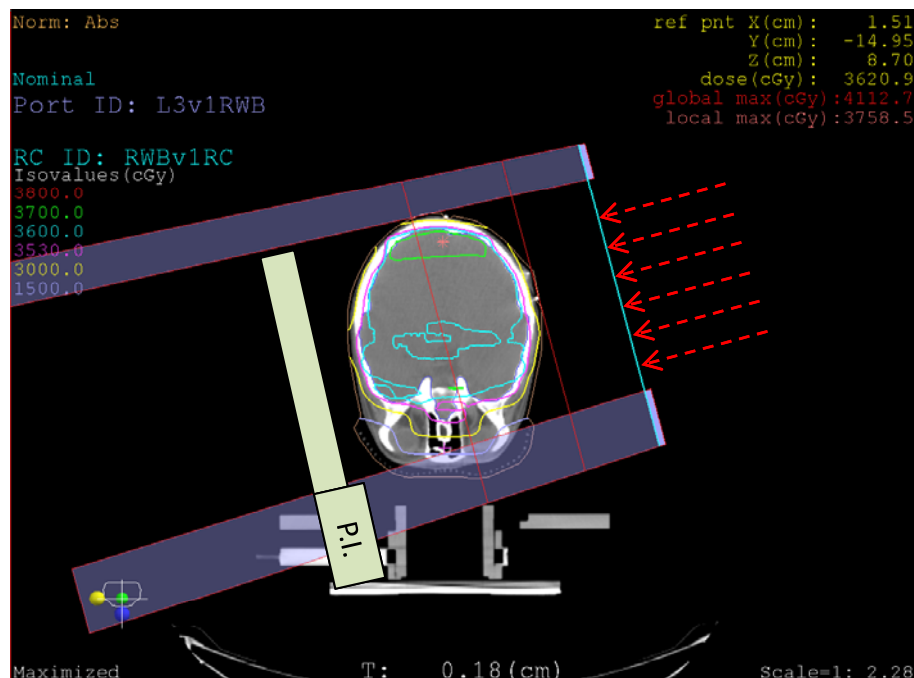
Total distribution (black) has the correct range

# Predicting the range



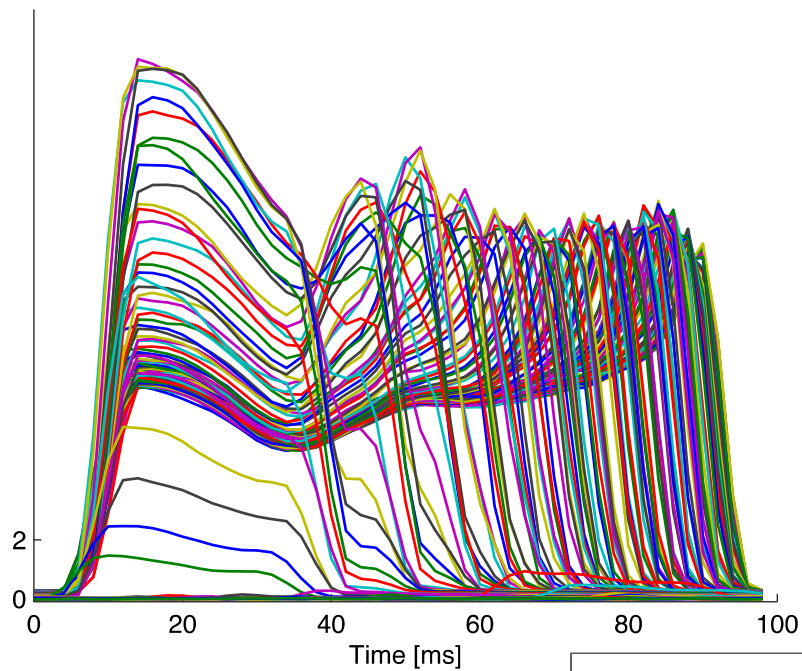
## Technical Characteristics

Number of diodes: 249  
Time resolution: ~ 2 msec  
Pitch: ~ 10 mm  
Manufacturer: Sun Nuclear



## Applications

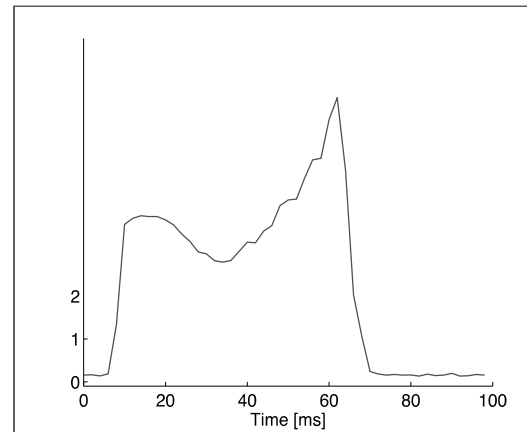
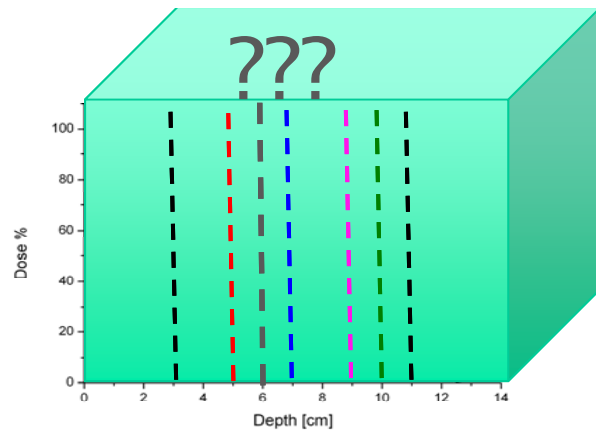
- Check the patient positioning
- Monitor patient morphological change (Range verification)
- Pre-treatment Range Tuning (Cranial field Medulloblastoma)



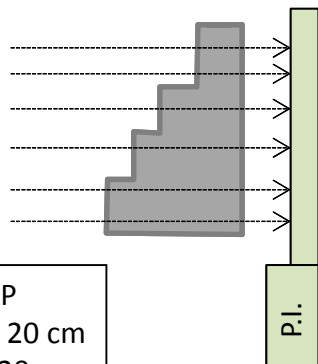
1. Pattern Matching Technique  
Minimization of the least-squares difference between measured profiles and 'Data Base'
2. rms-width ( $\sigma_t$ ) fitting technique

$$S \equiv \sum_{i=i_1}^{i_N} v_i \quad (\text{V}),$$

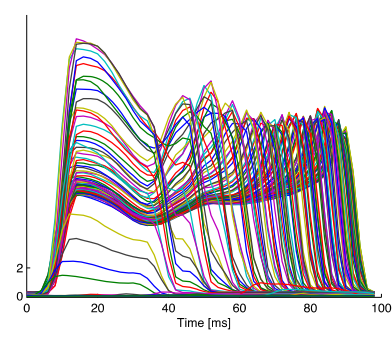
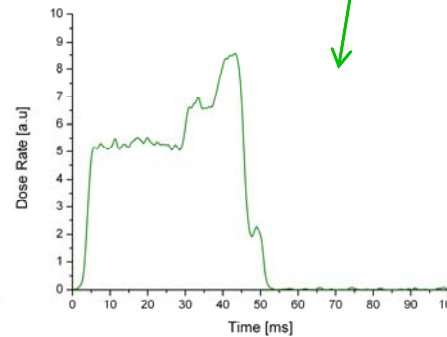
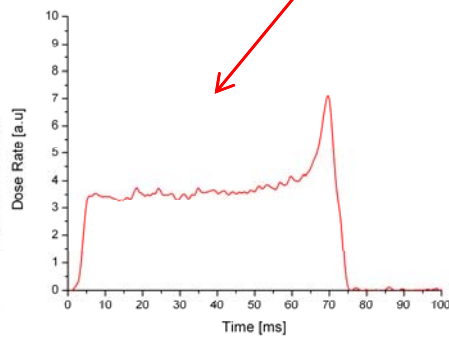
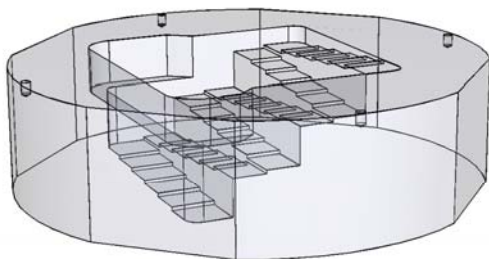
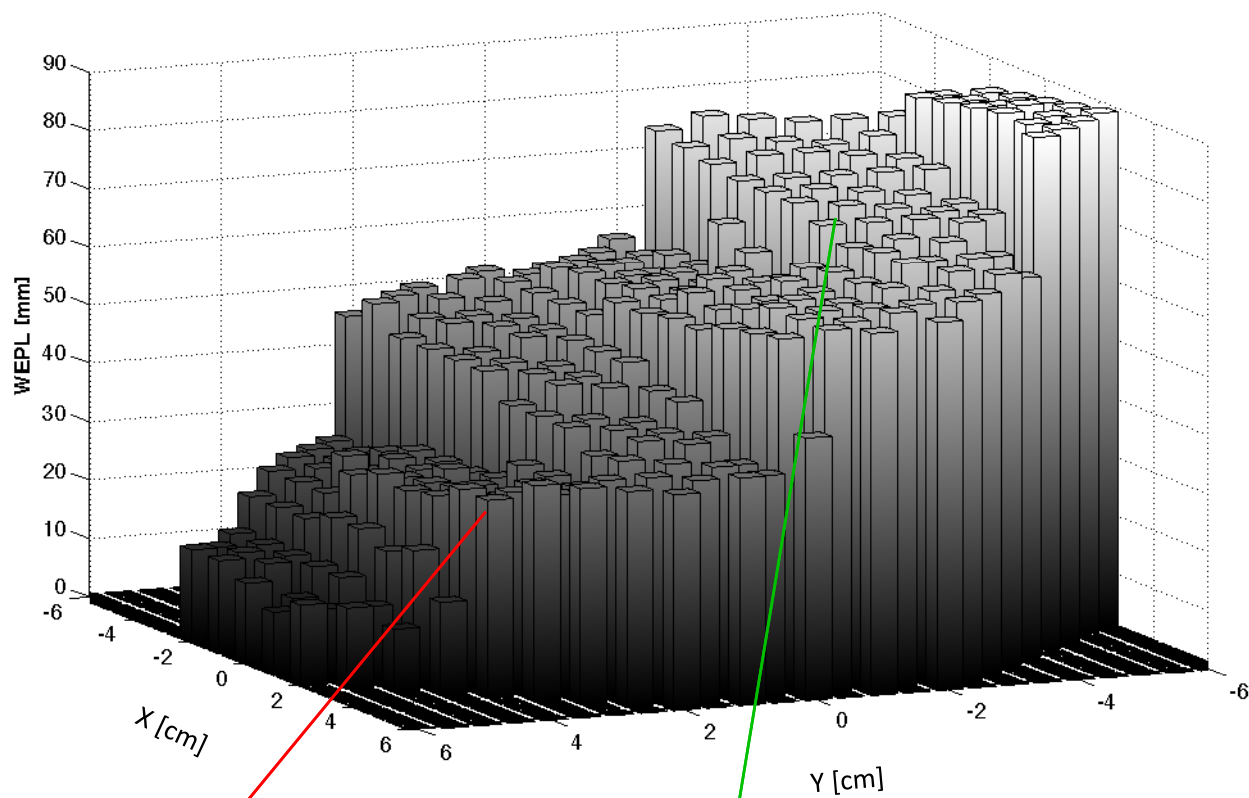
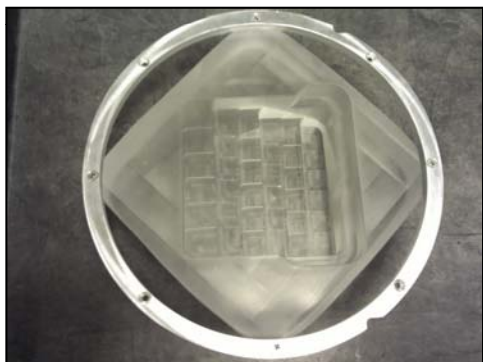
$$m \equiv \frac{1}{S} \sum_{i=i_1}^{i_N} v_i t_i \quad (\text{ms}),$$



# Predicting the range

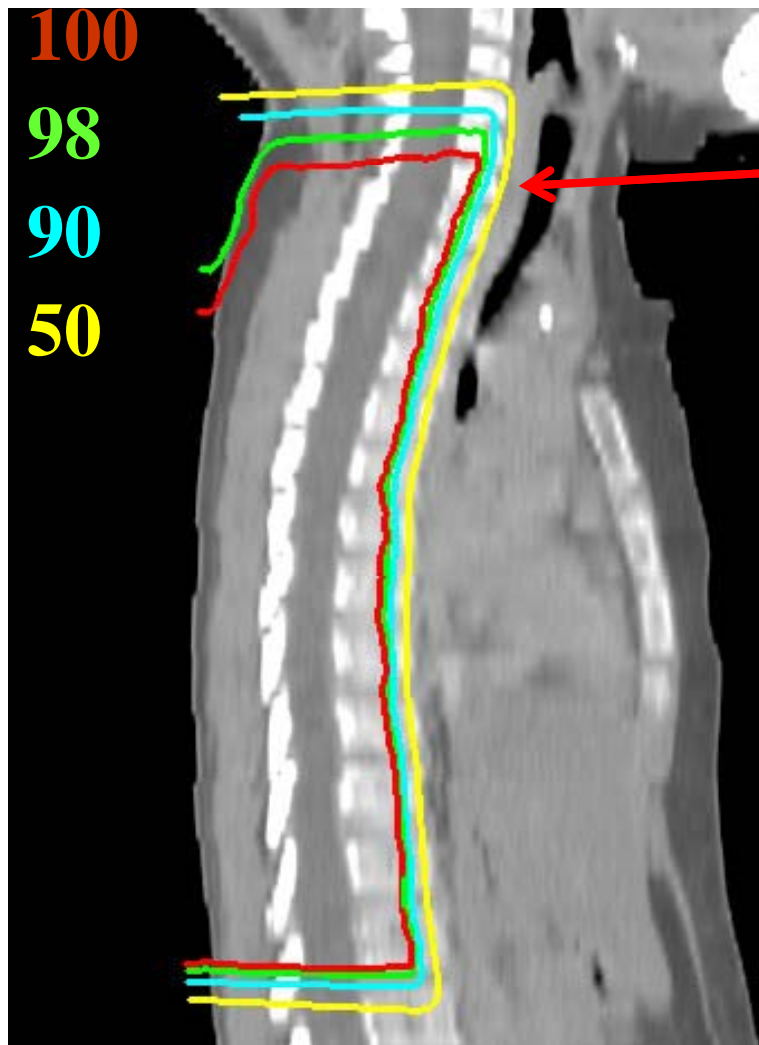
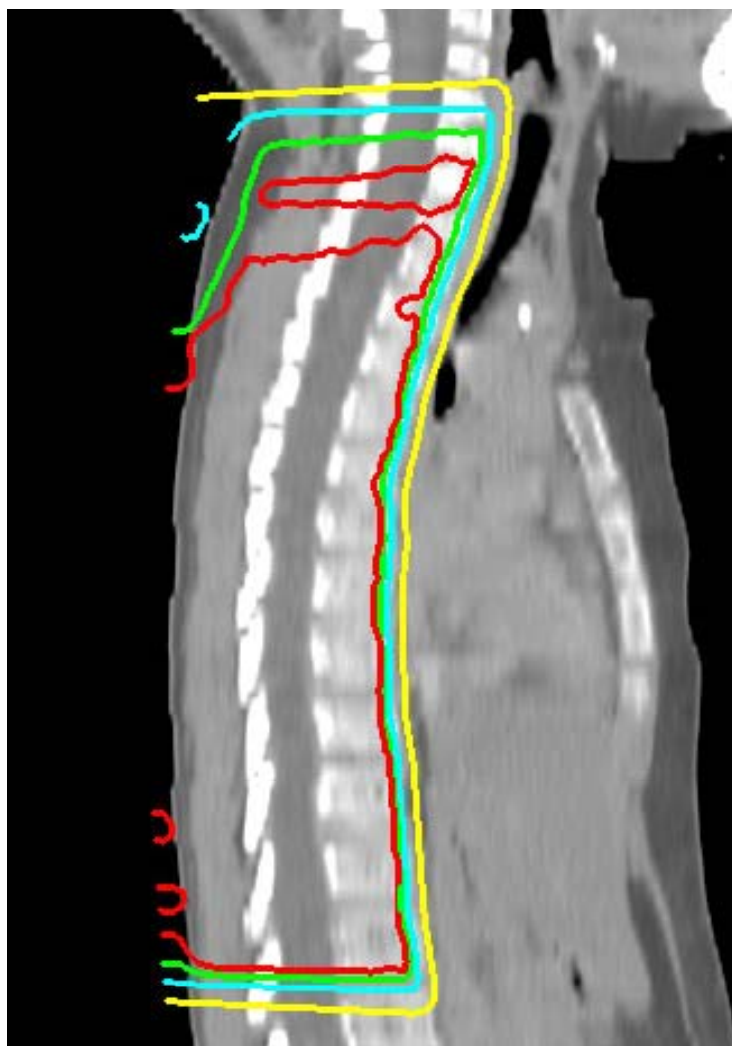


SOBP  
Range90: 20 cm  
Mod98: 20 cm



Predicting the range

## Esophagus sparing



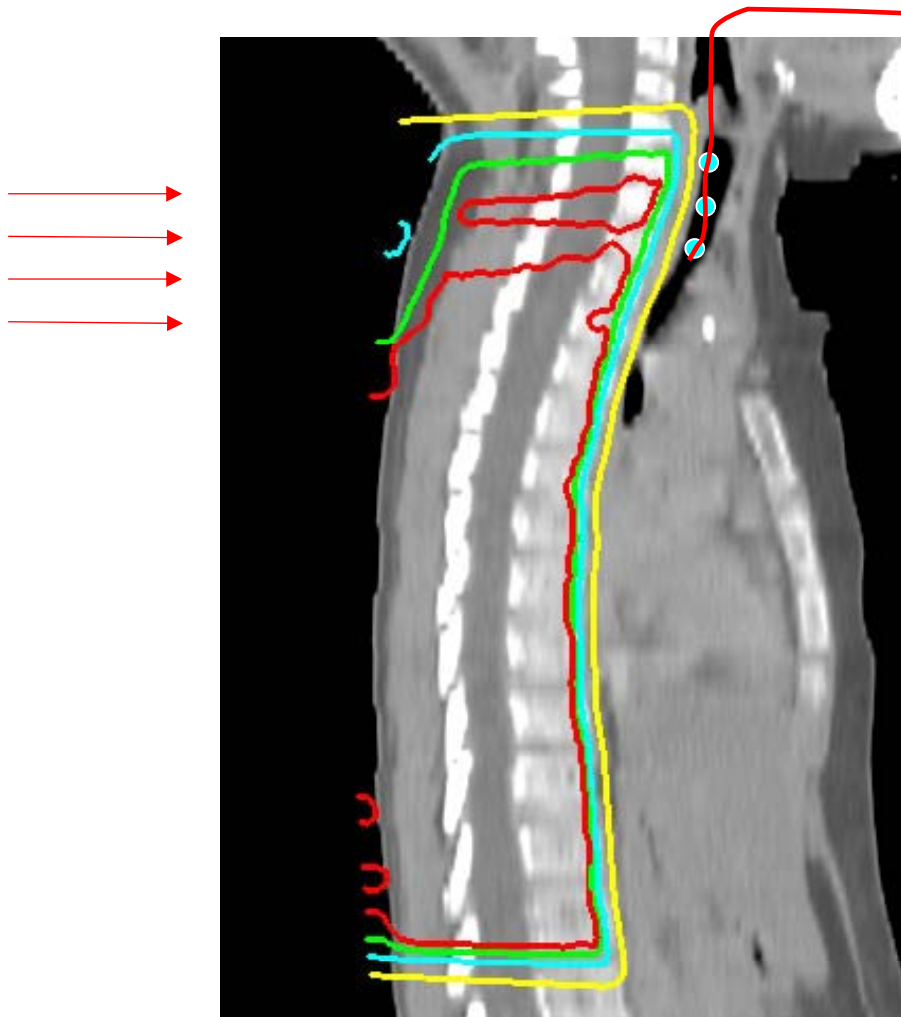
Range  
pullback of  
4 mm for  
all patients



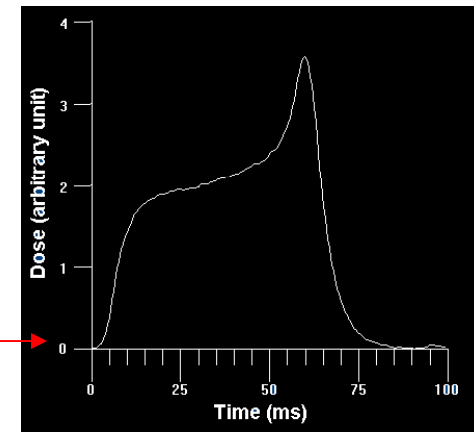


# Predicting the range

## In-Vivo Range Check



$i(t)$



- 1) Pediatric patient under anesthesia
- 2) Insert esophageal dosimeter (diodes)
- 3) Turn on range check beam for  $< 1$  cGy
- 4) Measure dose rate as function of time
- 5) Calculate water equivalent path length
- 6) Compare with treatment planning
- 7) Adjust beam range for treatment
- 8) Record dose delivered to esophagus
- 9) Only needed during 1<sup>st</sup> treatment

# Predicting the range

Range uncertainties sometimes limit our ability to exploit the end of range and thus negate some of the potential advantages of proton therapy

Example: Prostate treatments



# Predicting the range

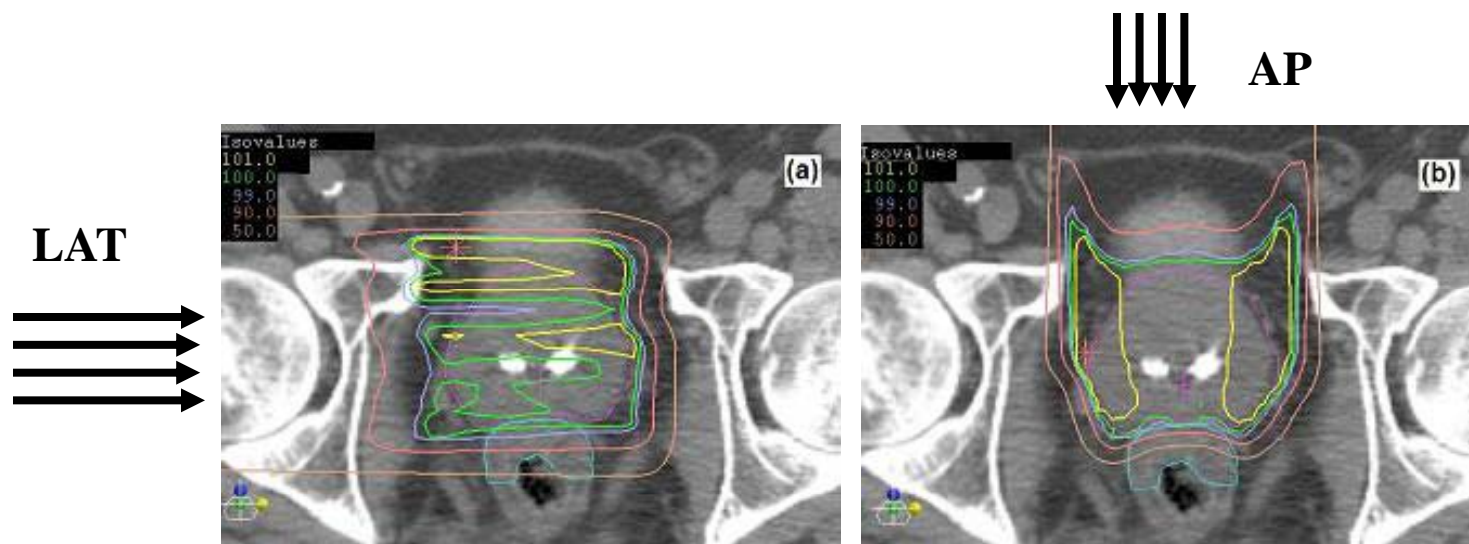
## Protons and Prostate Treatments

Current technique: Lateral fields

Use lateral penumbra (10 mm, 50-95%) to spare rectum (penumbra not better than 15 MV photon fields)

Why not AP fields?

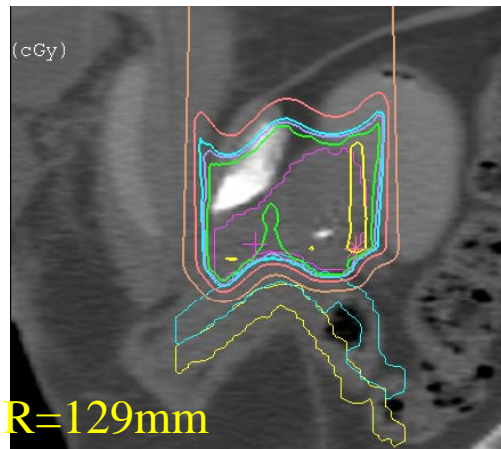
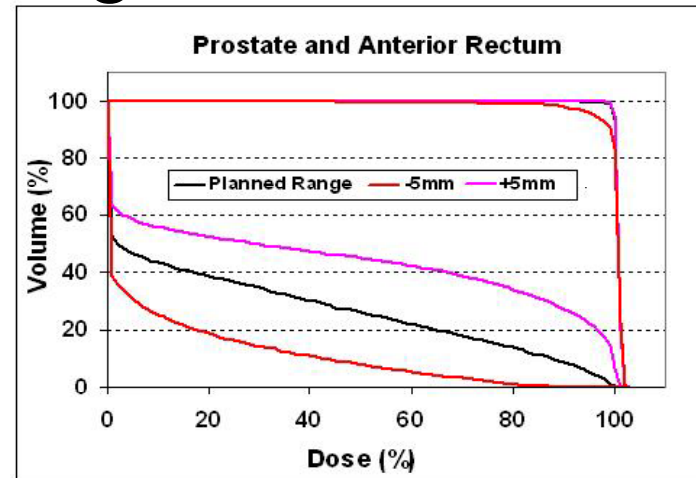
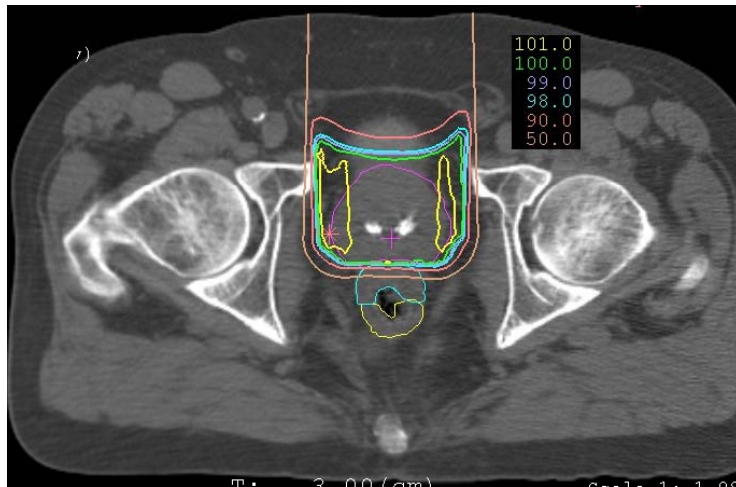
Use much sharper distal penumbra (~ 4 mm, 50-95%)



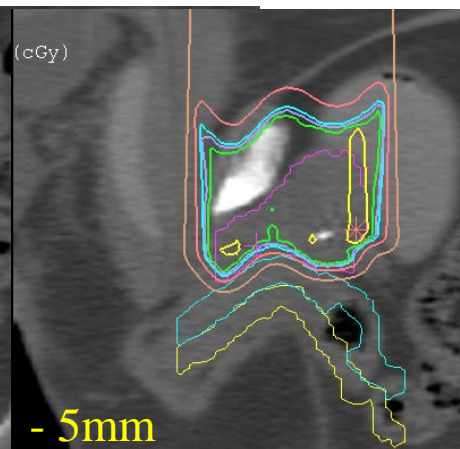
© Hsiao-Ming Lu, MGH

# Predicting the range

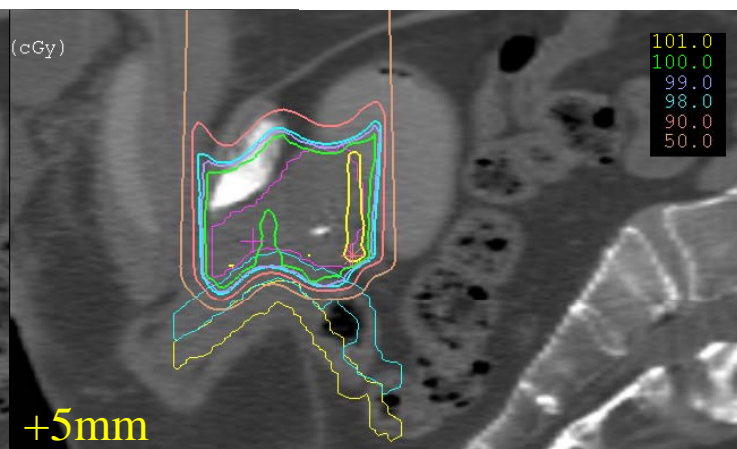
## Effect of 5 mm Range Variation



Correct Range



Undershooting

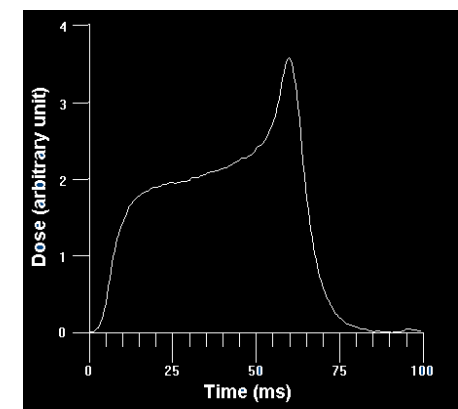


Overshooting

© Hsiao-Ming Lu, MGH

# Predicting the range

- 1) Use balloon with detector array embedded on the surface
- 2) Deliver dose ( $< 1$  cGy) for 500 ms using a few cm of extra beam range to cover dosimeters
- 3) Measure dose rate functions by a multi-channel electrometer
- 4) Match data with “ruler” to determine WEPL at dosimeters
- 5) Compare with planning calculations to adjust beam range
- 6) Commence treatment and measure distal tail dose by dosimeters as verification



# Predicting the range

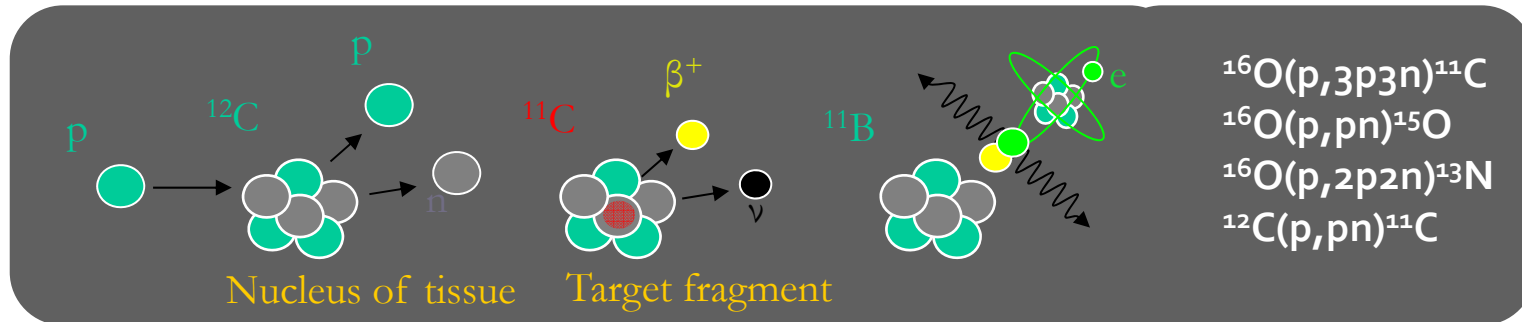
## Conclusion II:

- Proton treatment planning needs to be done by experienced planners who understand the impact of range uncertainties.
- For some sites (e.g. prostate) range uncertainties prevent us from exploiting the full potential of proton therapy.
- In vivo systems can potentially be used to validate the range

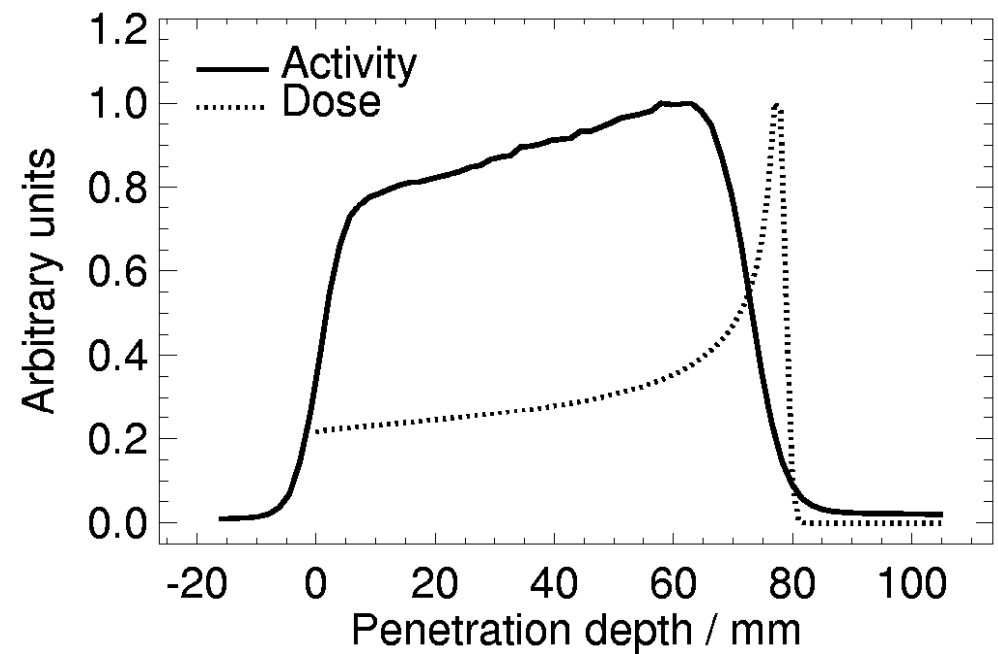




# Validating the range



$A(z) \neq D(z)$   
 Measured Activity has to be compared with calculation



# Validating the range

From treatment position ...



... into PET scanner  
Start scan within 2 minutes  
after treatment

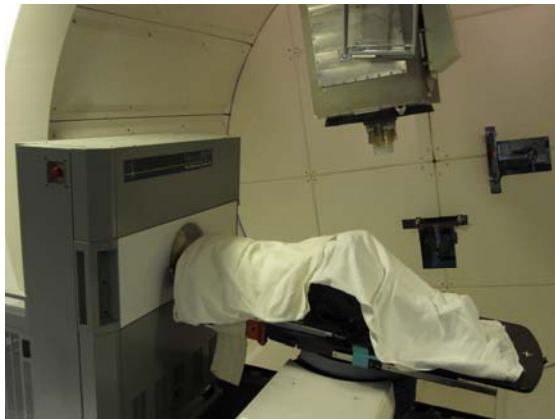


Isotope	Half life (min)
$^{15}\text{O}$	2.03
$^{11}\text{C}$	20.33
$^{13}\text{N}$	9.96



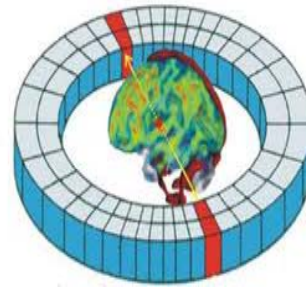
# Validating the range

## Patient's PET scan

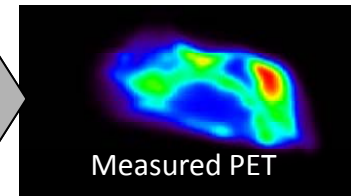


In-room PET scan just after irradiation

In-room PET  
List mode  
raw data

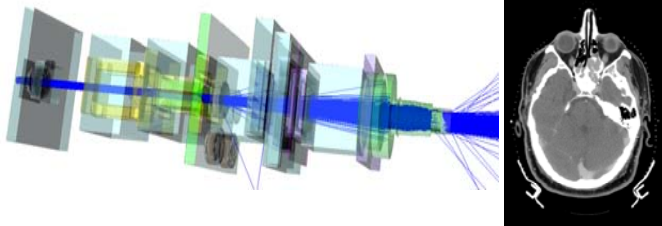


Reconstruction  
3DOSEM  
PET+CT



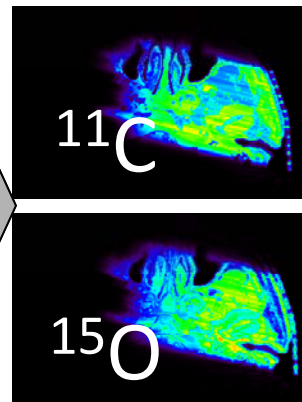
## Comparison

## Monte Carlo Simulation



Dose and PET calculation with nozzle and CT

Geant4  
Fluence  
Cross Sections

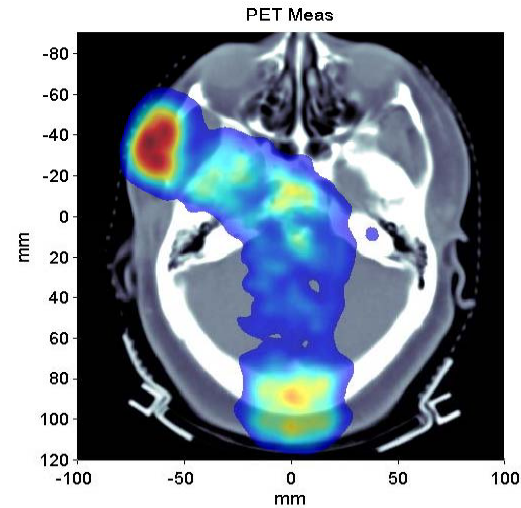
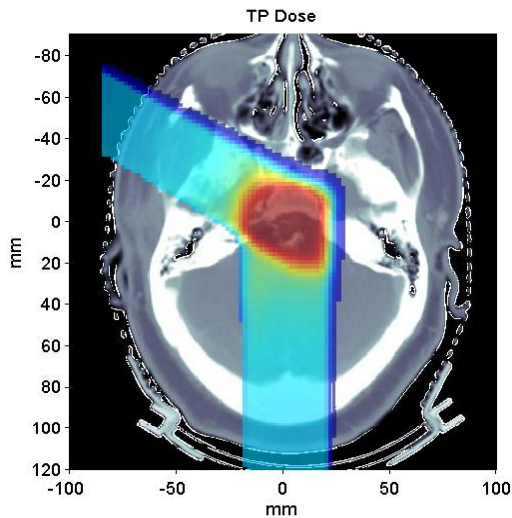


MATLAB  
Decay  
Washout  
Blurring  
Normalization



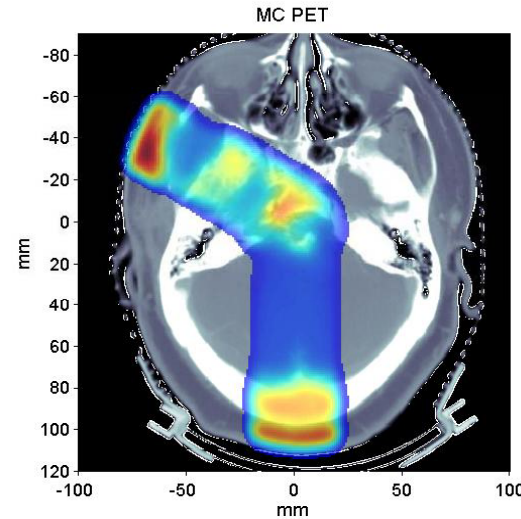
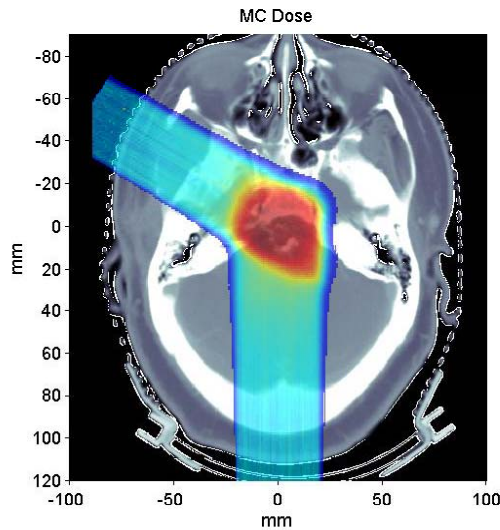
# Validating the range

Treatment Planning  
Dose



Measured  
PET

Monte Carlo  
Dose

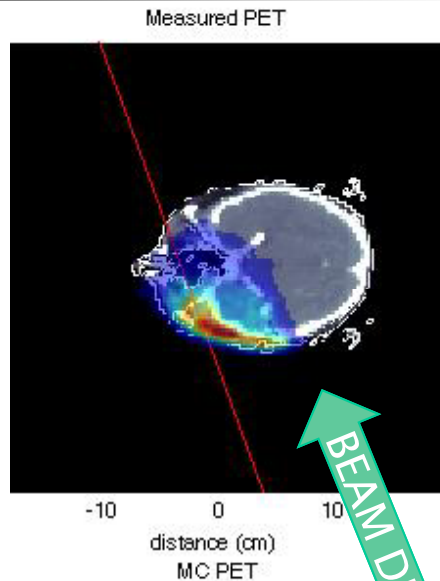


Monte Carlo  
PET

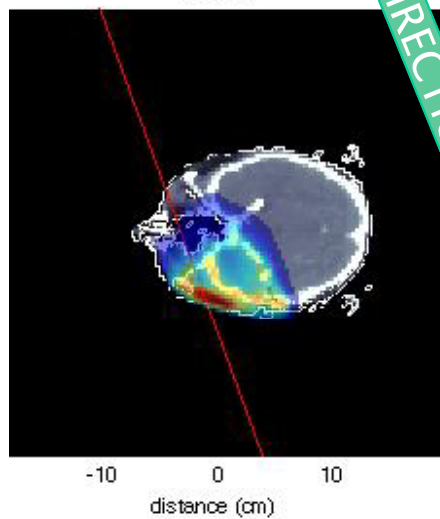
K. Parodi, H. Paganetti, H.A. Shih, et al.  
Int. J. Radiat. Oncol. Biol. Phys. 68, 920-934 (2007)

# Validating the range

Measured

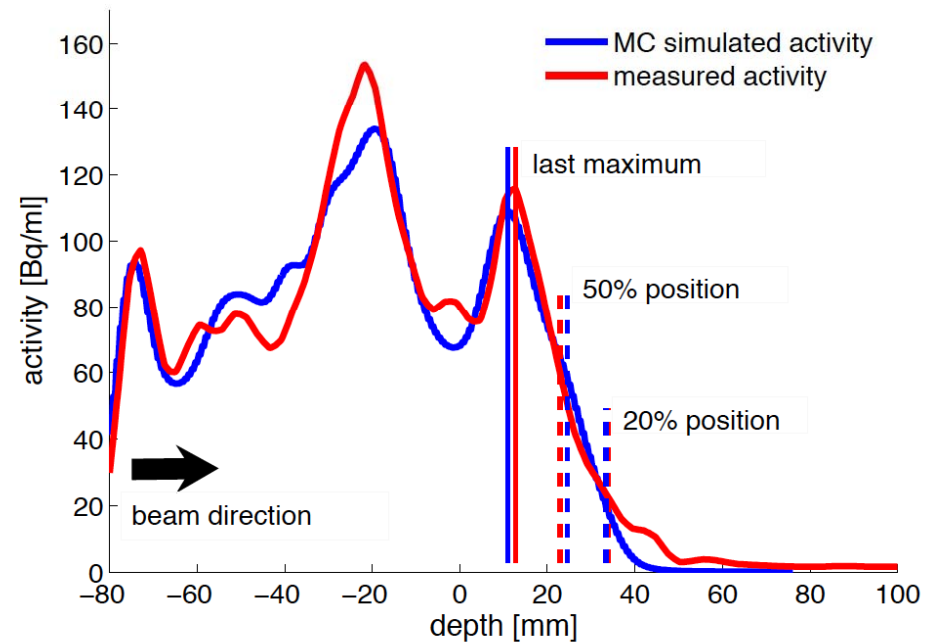


Monte Carlo



BEAM DIRECTION

current accuracy in soft tissue ~5mm  
current accuracy in bone ~2mm



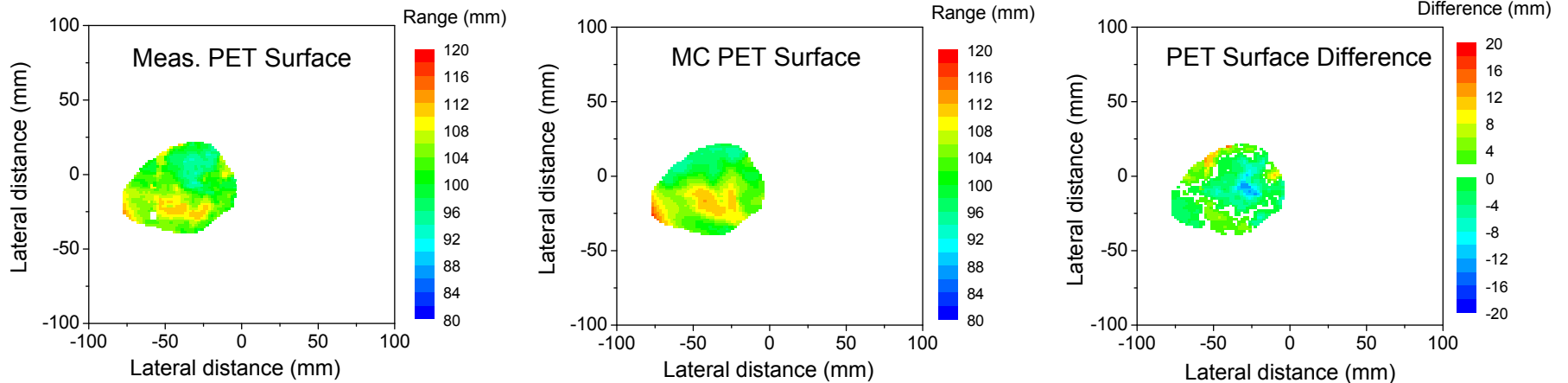
Shift

$$R_{diff} = \arg \min_{\delta} \left( \sum_{i \in M} |A_{meas}(x_i) - A_{ref}(x_i - \delta)| \right)$$





# Validating the range PET Surface Comparison



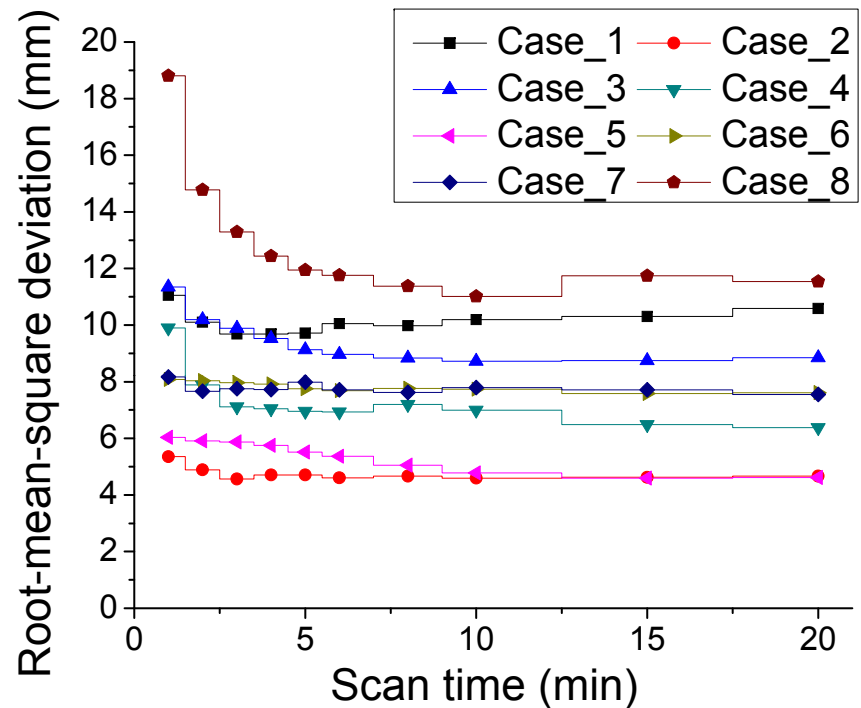
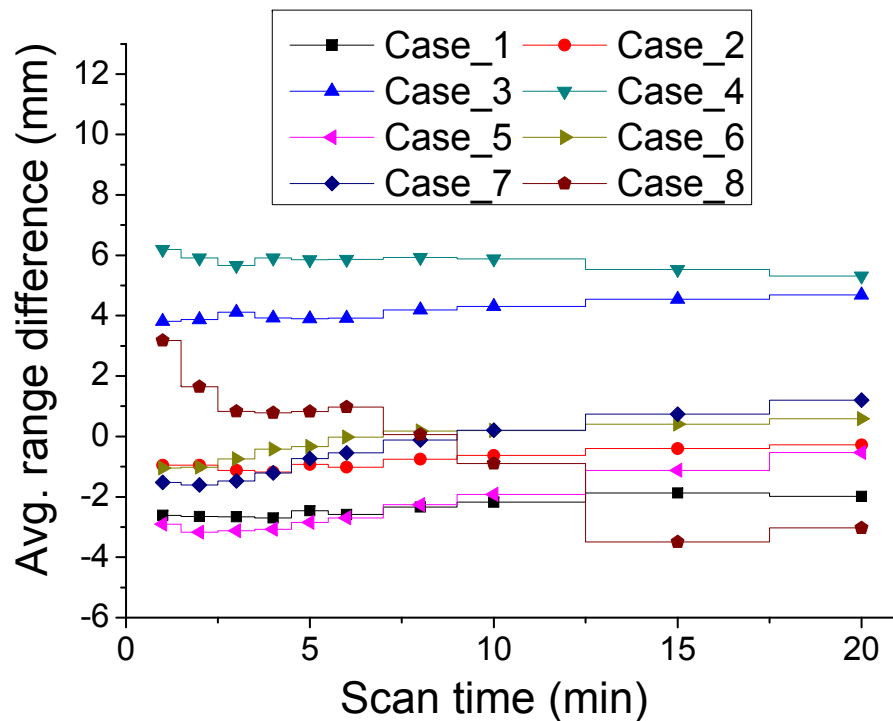
$$Avg. Difference = \frac{\sum_{i=1}^n (X_{meas,i} - X_{mc,i})}{n}$$

$$RMSD = \sqrt{\frac{\sum_{i=1}^n (X_{meas,i} - X_{mc,i})^2}{n}}$$

- $X_{meas,i}$  = reference range of measured PET,  $X_{mc,i}$  = reference range of MC PET
- Reference range = midpoint of 50% and 25% location of maximum PET activity.
- Avg. Difference = average difference in reference ranges of measured and simulated PET.
- RMSD = root-mean-square deviation over PET surfaces.

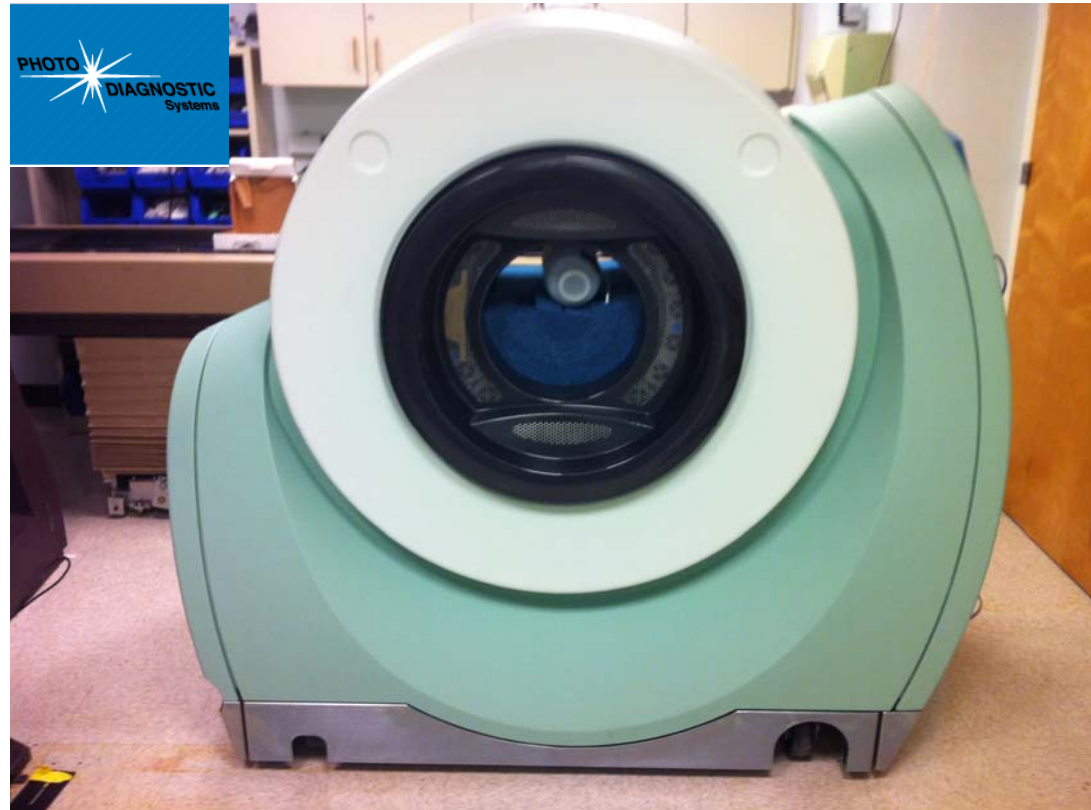


# Validating the range



- The uncertainty in range verification using PET does not decrease further after ~5 min of scan time
- In-room PET scanner is currently being replaced by in-room PET/CT

# Validating the range

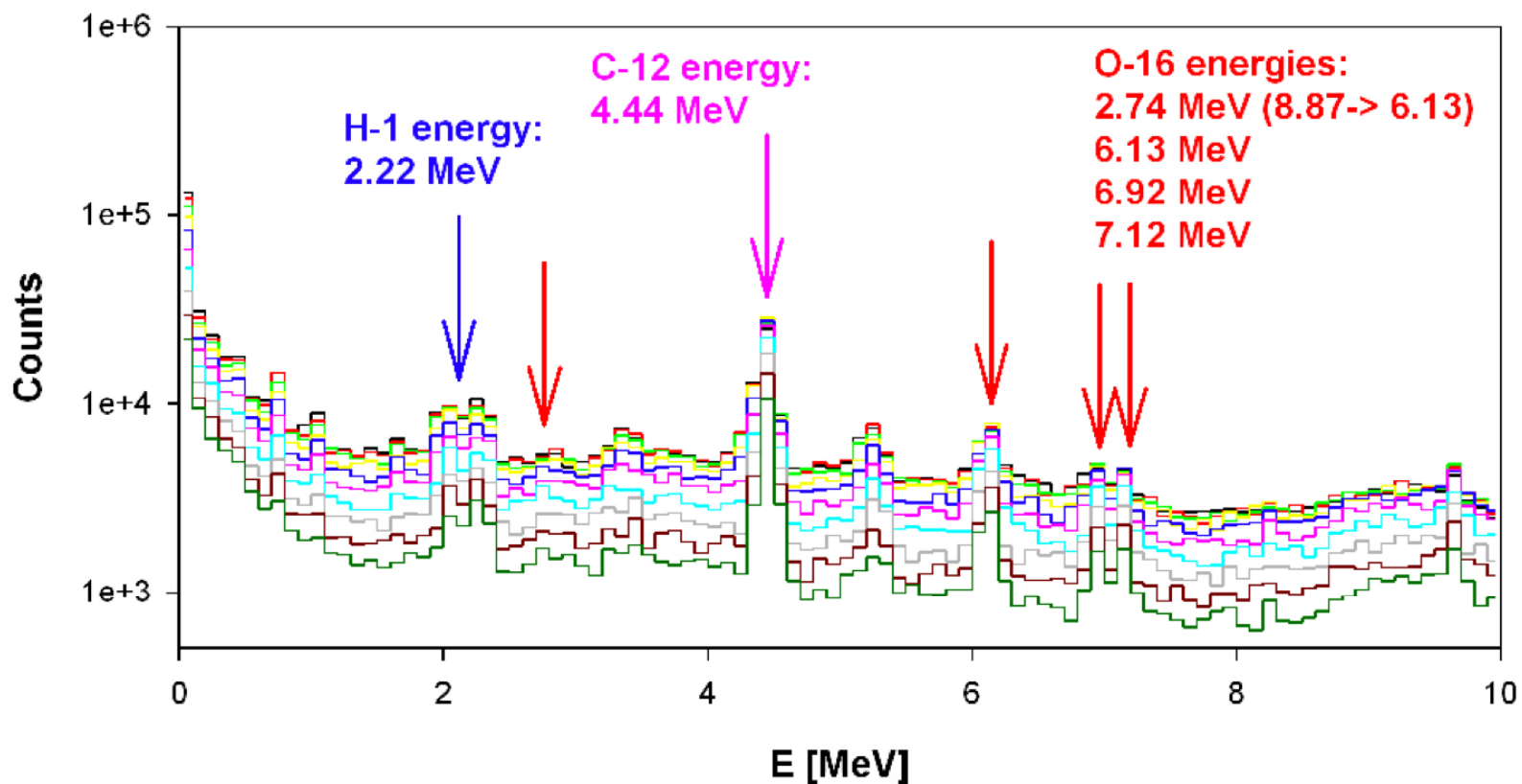


- ✓ **Higher sensitivity** - Acquisition time of 2-3 min
- ✓ **Higher spatial resolution** - 4.3 mm (In-room PET) vs. 2.0 mm (In-room PET/CT)
- ✓ **CT component for accurate image co-registration** - One of the largest technical obstacles is the co-registration accuracy between PET and CT image



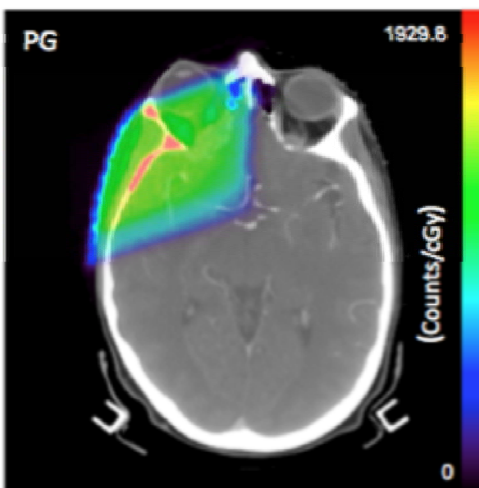
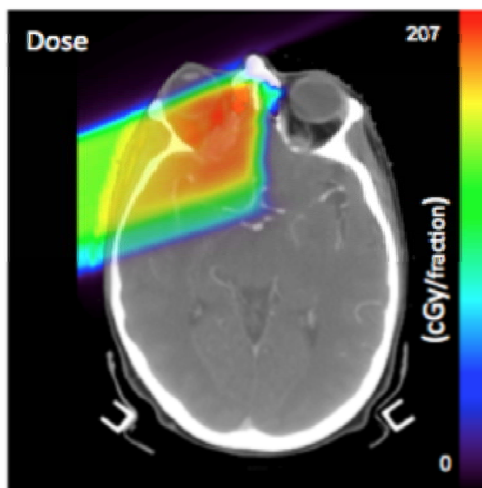
# Validating the range

## Prompt gamma radiation



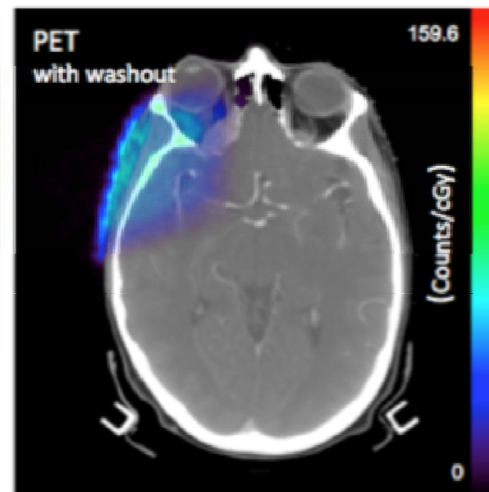
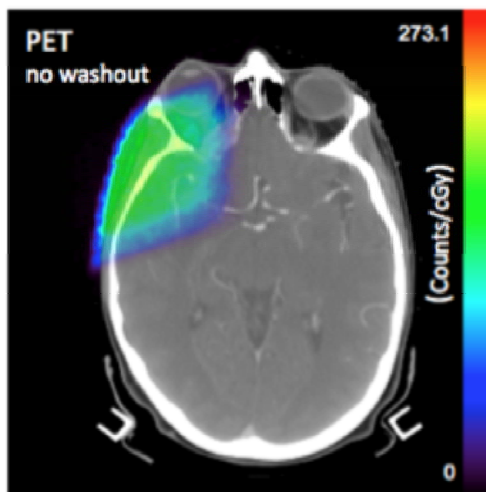
# Validating the range

DOSE



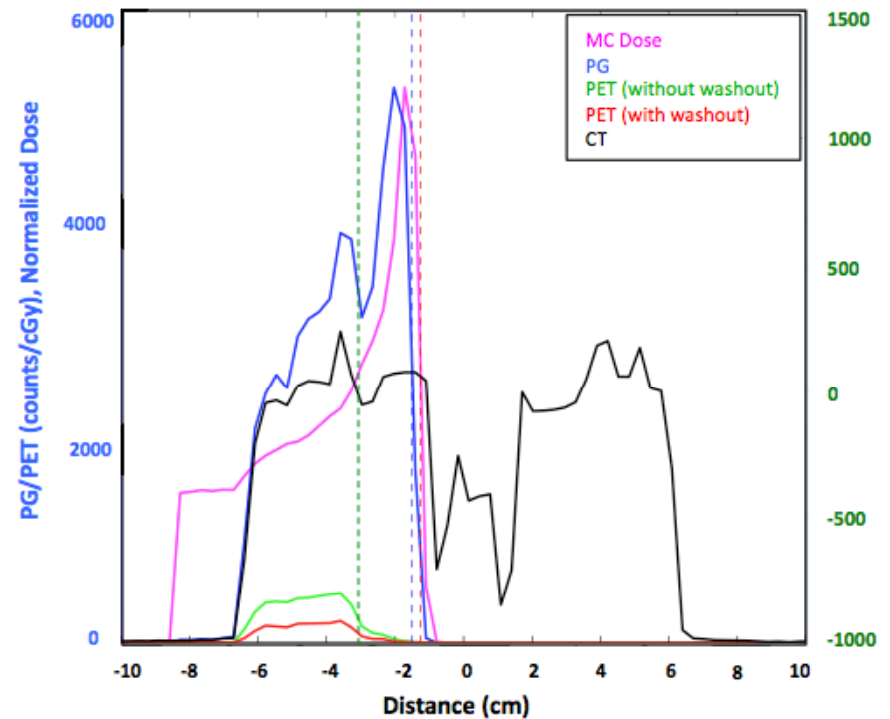
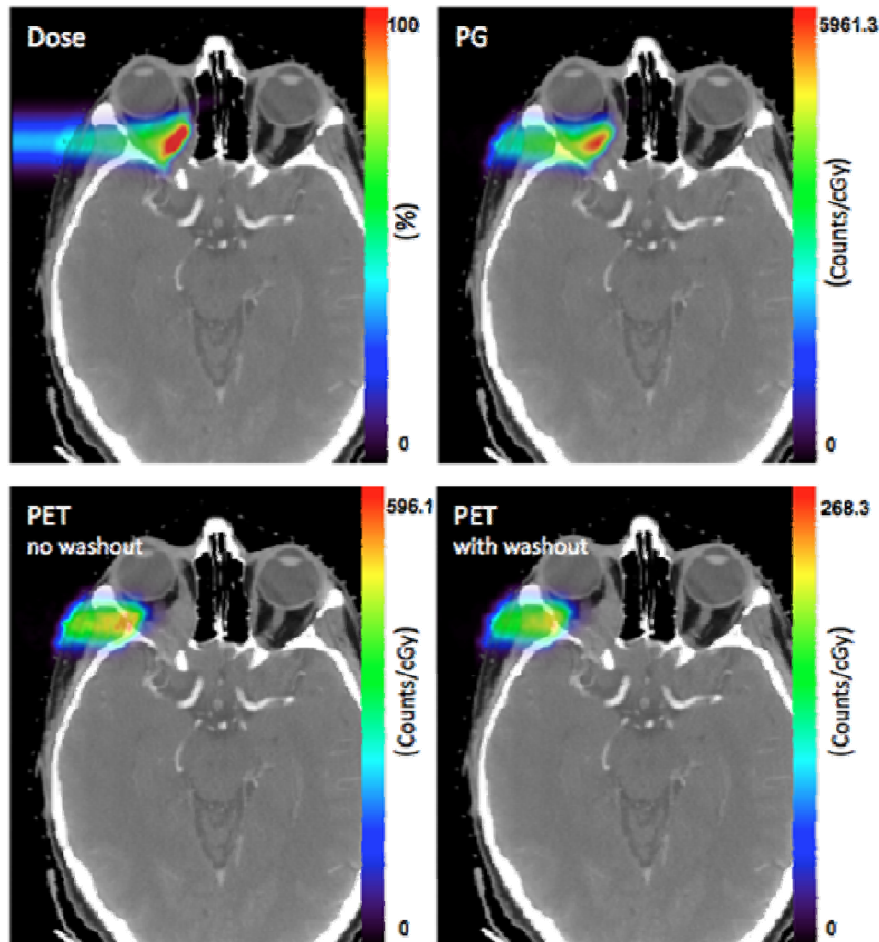
PromptGamma

PET

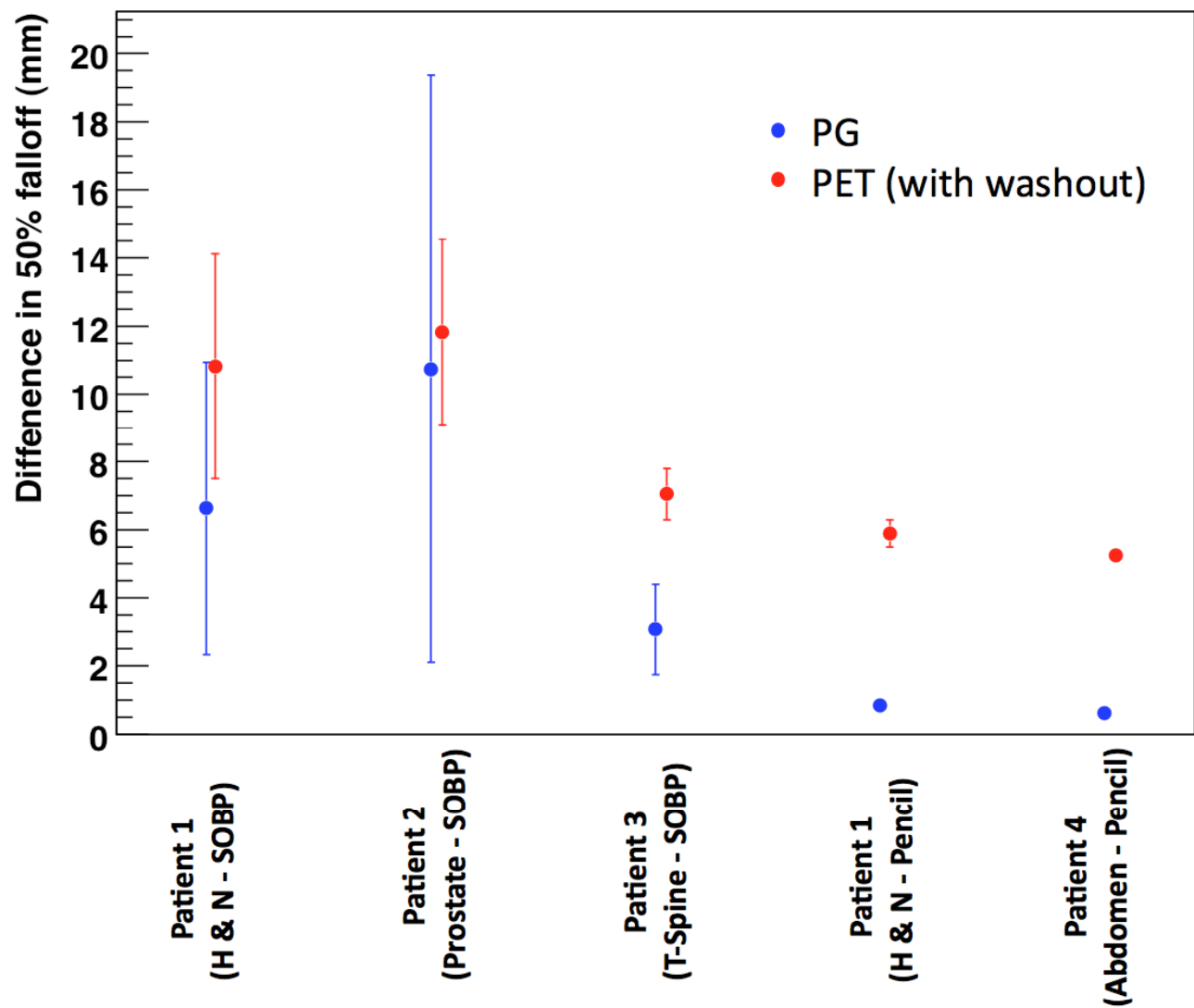


# Validating the range

## Prompt Gamma analysis; Adenoid cystic c. - Beam scanning -



# Validating the range





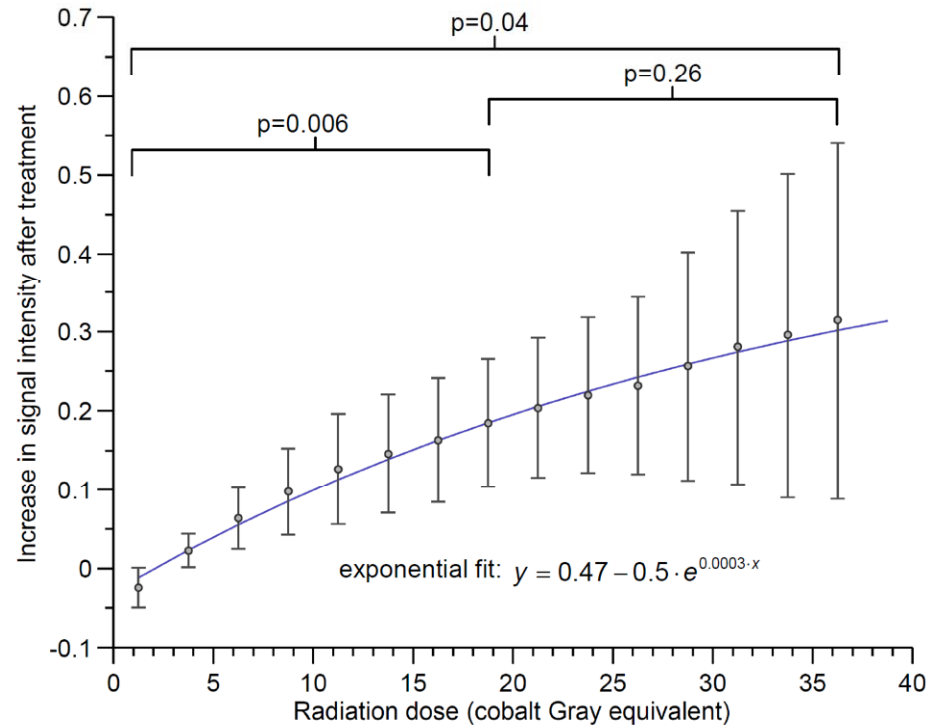
# Validating the range

After radiation exposure, vertebral bone marrow undergoes fatty replacement

T-1 weighted spin-echo sequence



distance (cm)



Overall dose-signal intensity derived from the lateral penumbra in the sacrum

Gensheimer M F, Yock T I, Liebsch N J, Sharp G C, Paganetti H, Madan N, Grant P E and Bortfeld T 2010

*Int J Radiat Oncol Biol Phys* **78** 268-75

# Validating the range

planned 50% isodose  
MRI 50% isodose



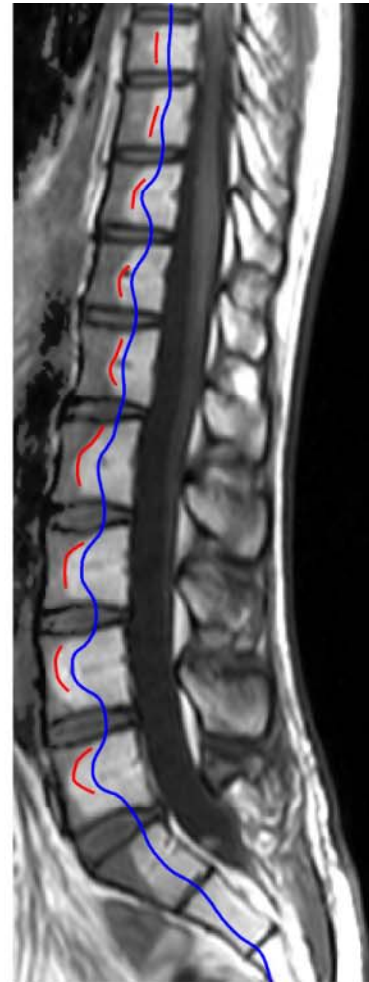
0 1 2 3cm

Pt. 1, 36 Gy (RBE)



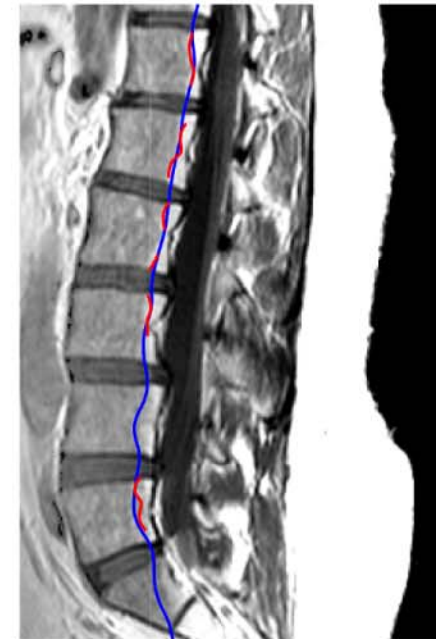
0 1 2 3cm

Pt. 5, 50.4 Gy (RBE)



0 1 2 3cm

Pt. 6, 36 Gy (RBE)

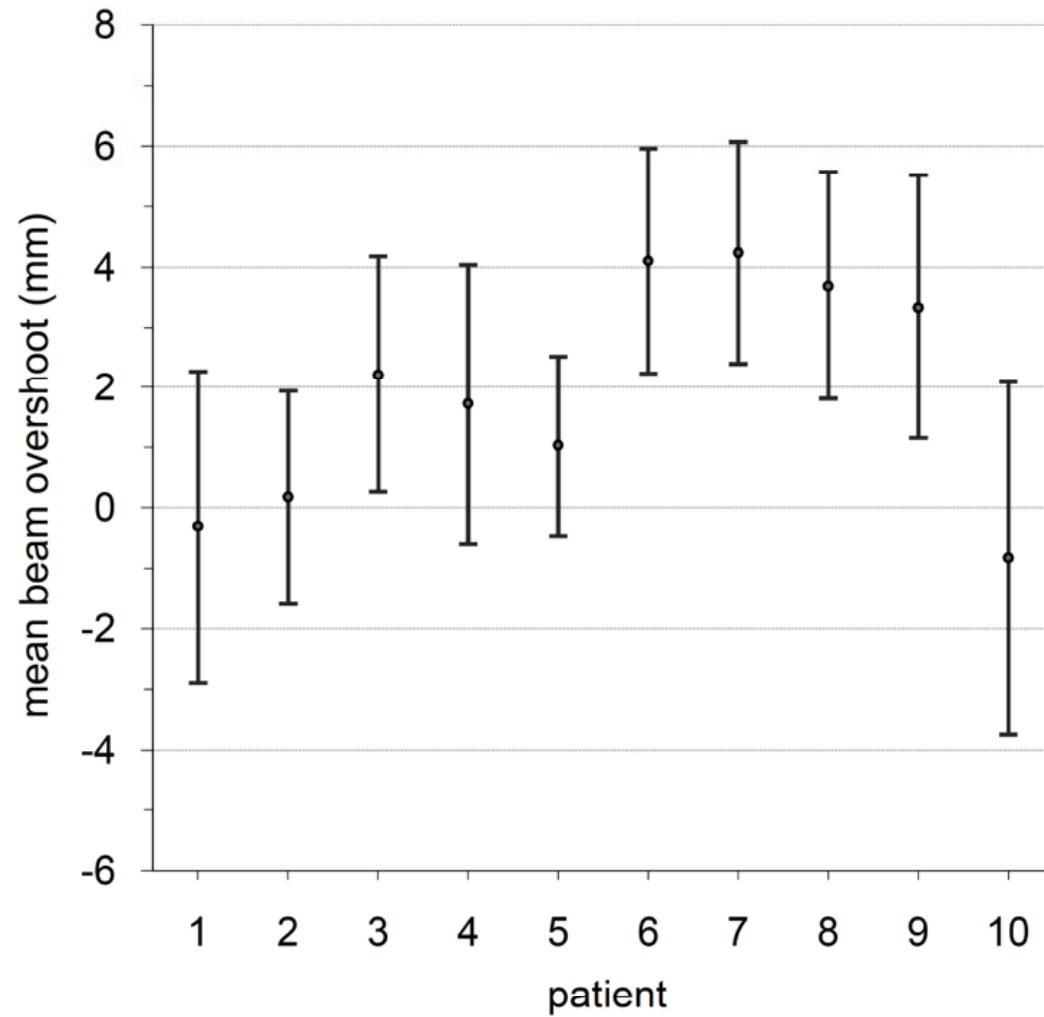


0 1 2 3cm

Pt. 10, 36 Gy (RBE)



# Validating the range



# Validating the range

## Conclusion III:

- Proton therapy offers unique imaging capabilities due to nuclear interactions in tissues
- The highly conformal dose distributions in proton therapy offer the potential of outcome imaging



# SUMMARY

The physical characteristics of proton beams

- ... cause dosimetric advantages compared to photon beams
  - ... results in unique dosimetric uncertainties
  - ... offer unique imaging and adaptive therapy strategies
- 
- uncertainties in predicting the proton beam range in patients are ~3-5% (~2.5% with advanced dose calculation methods)
  - uncertainties can be mitigated in (robust) IMPT optimization
  - In vivo detectors might allow to adjust the range
  - unique in vivo dose (range) verification methods (PET, prompt-gamma, MRI) are being worked on



# Acknowledgements



**“Physics Research” and “Physics Translational Research”  
at Massachusetts General Hospital – Radiation Oncology**

