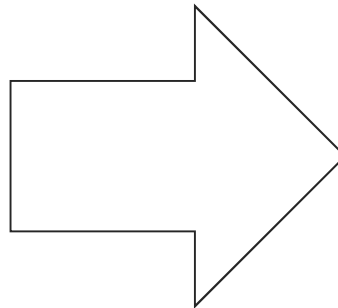


# Quality, Safety, and the Future of Therapy Medical Physics

**Todd Pawlicki**

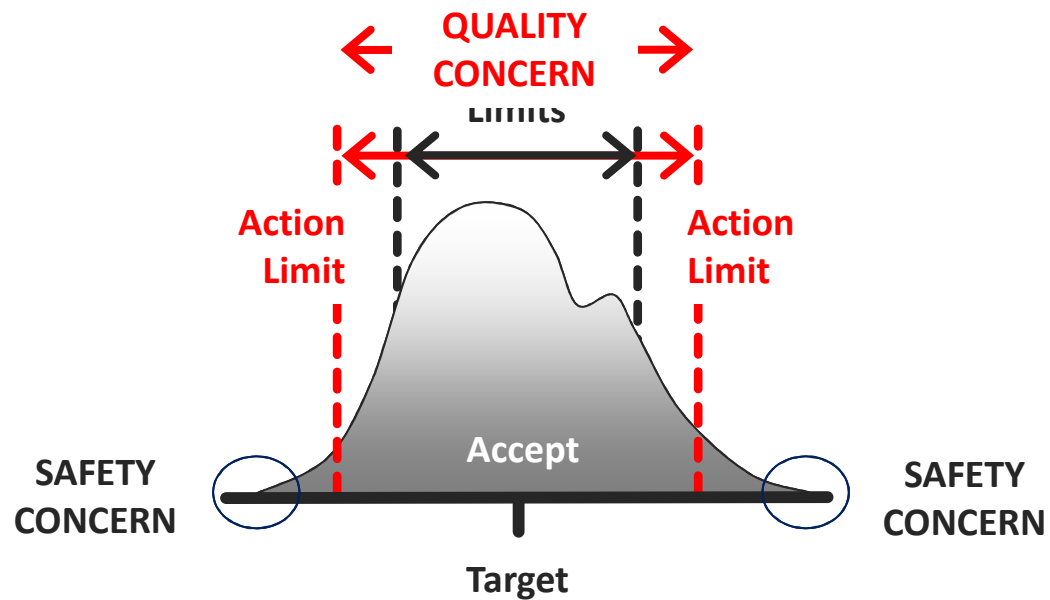
Professor and Vice-Chair  
Department of Radiation Medicine & Applied Sciences  
University of California, San Diego

# Safety is Event Driven



<http://www.nytimes.com/2010/01/24/health/24radiation.html?fta=y>

# Quality is Data Driven

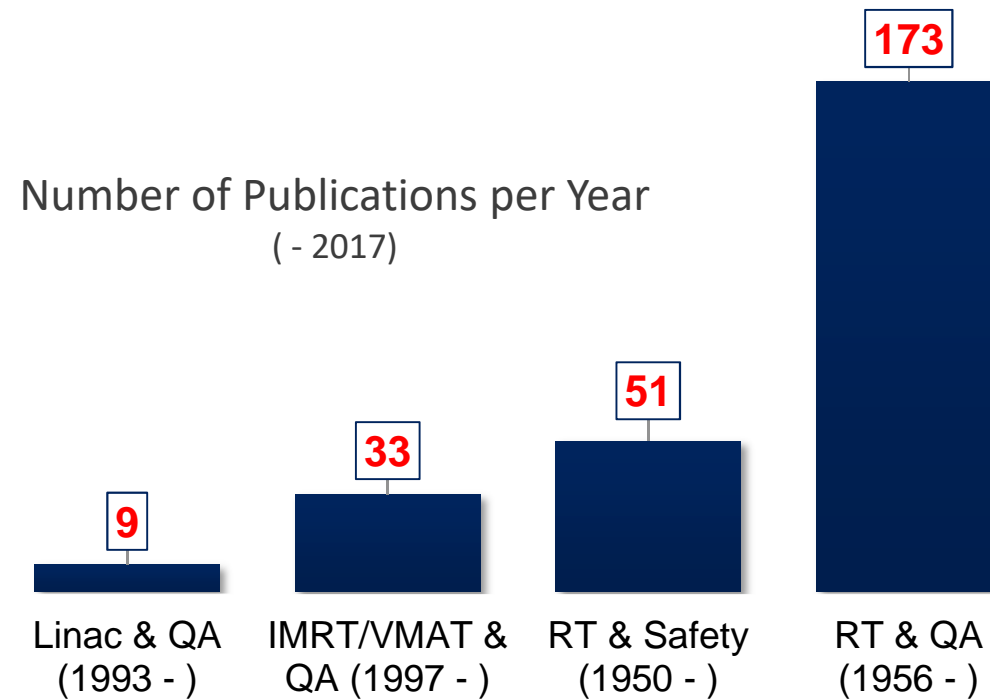


# AAPM Task Group Reports

- TG-24 (1984)
  - Physical Aspects of Quality Assurance in Radiation Therapy
- TG-28 (1987)
  - Radiotherapy Portal Imaging Quality
- TG-35 (1993)
  - Medical Accelerator Safety Considerations
- TG 40 (1994)
  - Comprehensive QA for Radiation Oncology
- TG 142 (2009)
  - Quality assurance of medical accelerators

The report of **Task Group 100** of the AAPM: *Application of risk analysis methods to radiation therapy quality management* (2016)

# Quality and Safety Work



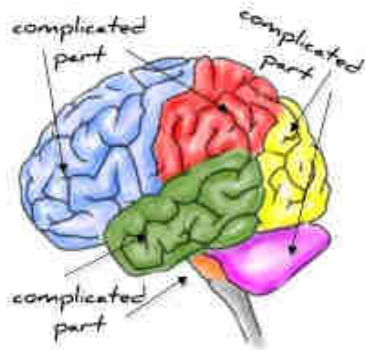
## What is “Clinical Medical Physics”?

- Radiation safety and shielding design
- Helping at the machines – SRS, SBRT, gating, faults, etc.
- Ad hoc patient interactions – answering questions, etc.
- Acceptance tests, clinical calibration, QA
- Checking things vs Innovation
  - Machine QA, Second checks, Weekly checks, patient-specific QA
  - Linacs, 3D planning, IMRT, Gating, IGRT, Protons, MB-IGRT, etc.

# Time for a change

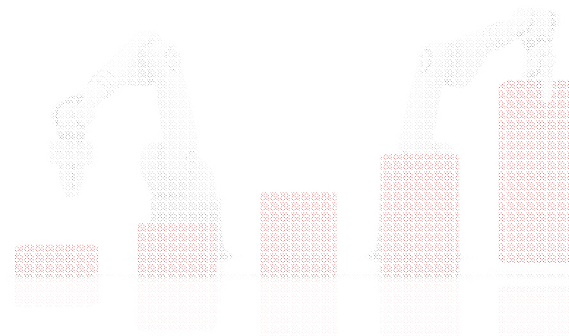
# Ideas Requiring and Enabling Change

## Complexity



## Complicated

## Automation



# Complicated is Not Complex

- Complex entities have special components
  - Diverse, interdependent, connected, adapting
- Characteristics of complex systems
  - Emergent properties
  - Novel functions
  - Robust
  - Unpredictable
  - Large events

## Radiotherapy and Imaging

- Complex socio-technical system
- Understanding accidents is not just a failure of equipment or process step



# Accident Causality Models

- **Reliability Engineering**
  - Based on probability of success
- Accidents seen as...
  - Combination of unsafe acts and latent hazard conditions within the system which follow a linear path
- Analysis tools
  - Process maps and FMEA
- **Systems Engineering**
  - Based on component interaction
- Accidents seen as...
  - Combinations of mutually interacting variables which occur in real world environments
- Analysis tools
  - Control loops and STPA

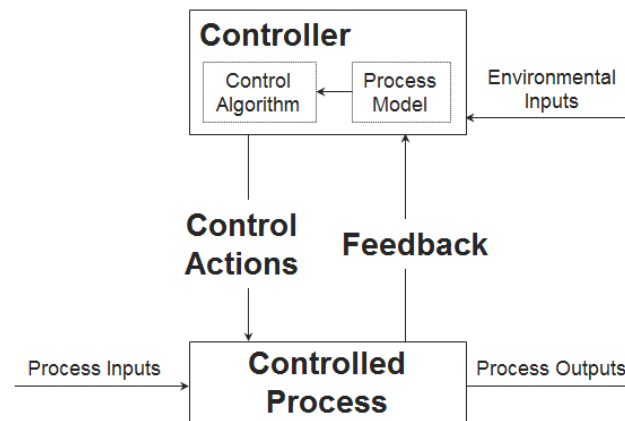
# AAPM TG-100

- The Report of the Task Group 100 of the AAPM
  - Applications of Risk Analysis Methods to RT Quality Management
- Key Components of TG-100
  - Quality management
  - Process mapping
  - Failure Modes and Effects Analysis (FMEA)
  - Fault Tree Analysis (FTA)

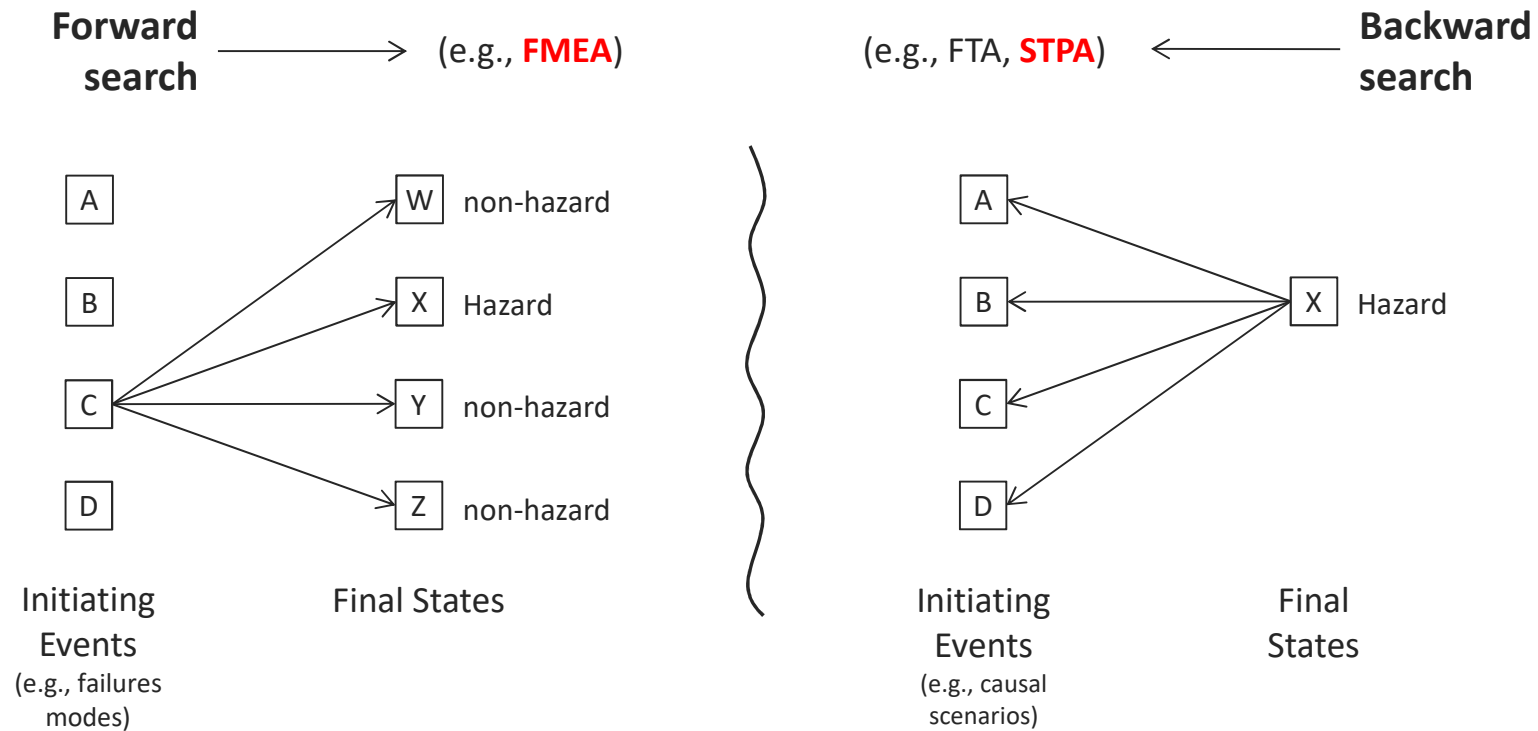
# Another Approach to Safety Assessment

- Systems–Theoretic Process Analysis (STPA)
  - Process is described by a number of control loops
  - Results in a hierarchical understanding of process operation

Leveson. Safety Science, 2004.  
Pawlicki *et al.* Med Phys, 2016.



# Inductive vs Deductive

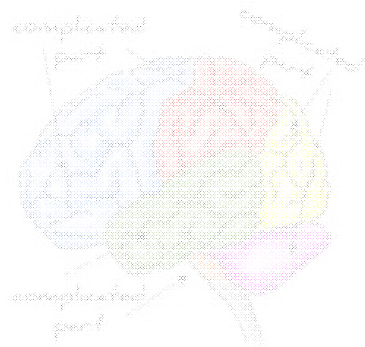


# Systems Understanding of Safety

- Safety is a emergent property of a system
  - Not a component of the system
  - Hardware, software, or process can't be deemed as 'safe'
- Most errors reflect predictable human failings in the context of poorly designed systems

# Ideas Requiring and Enabling Change

Complexity



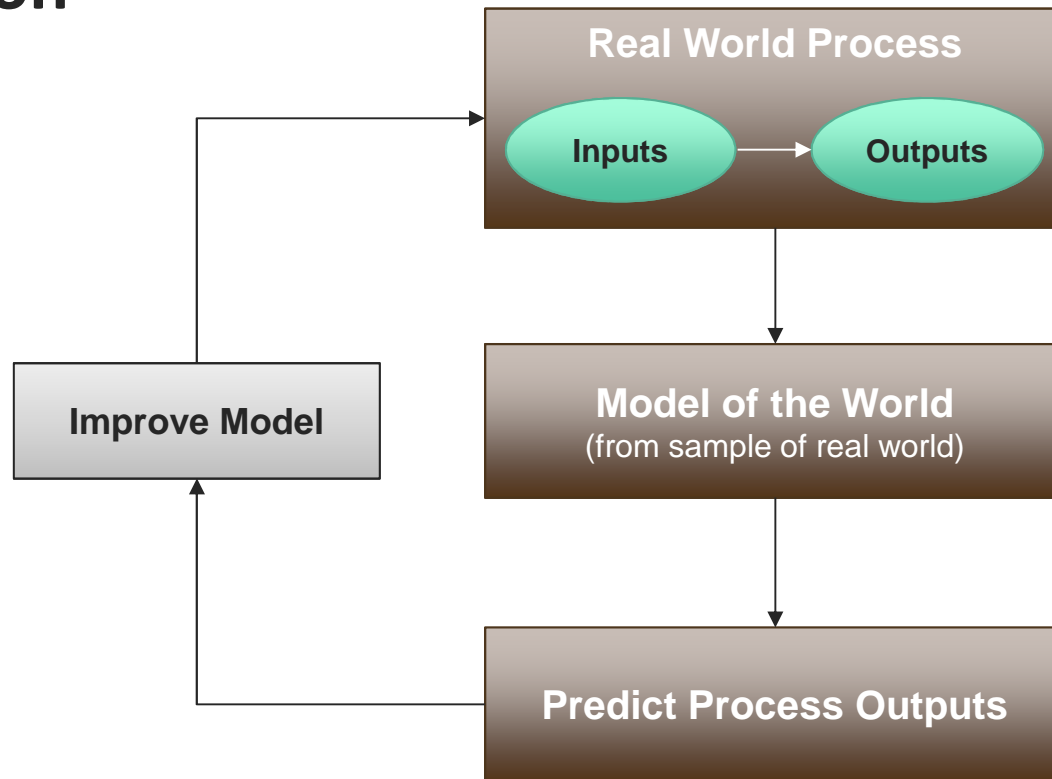
Complicated

Automation



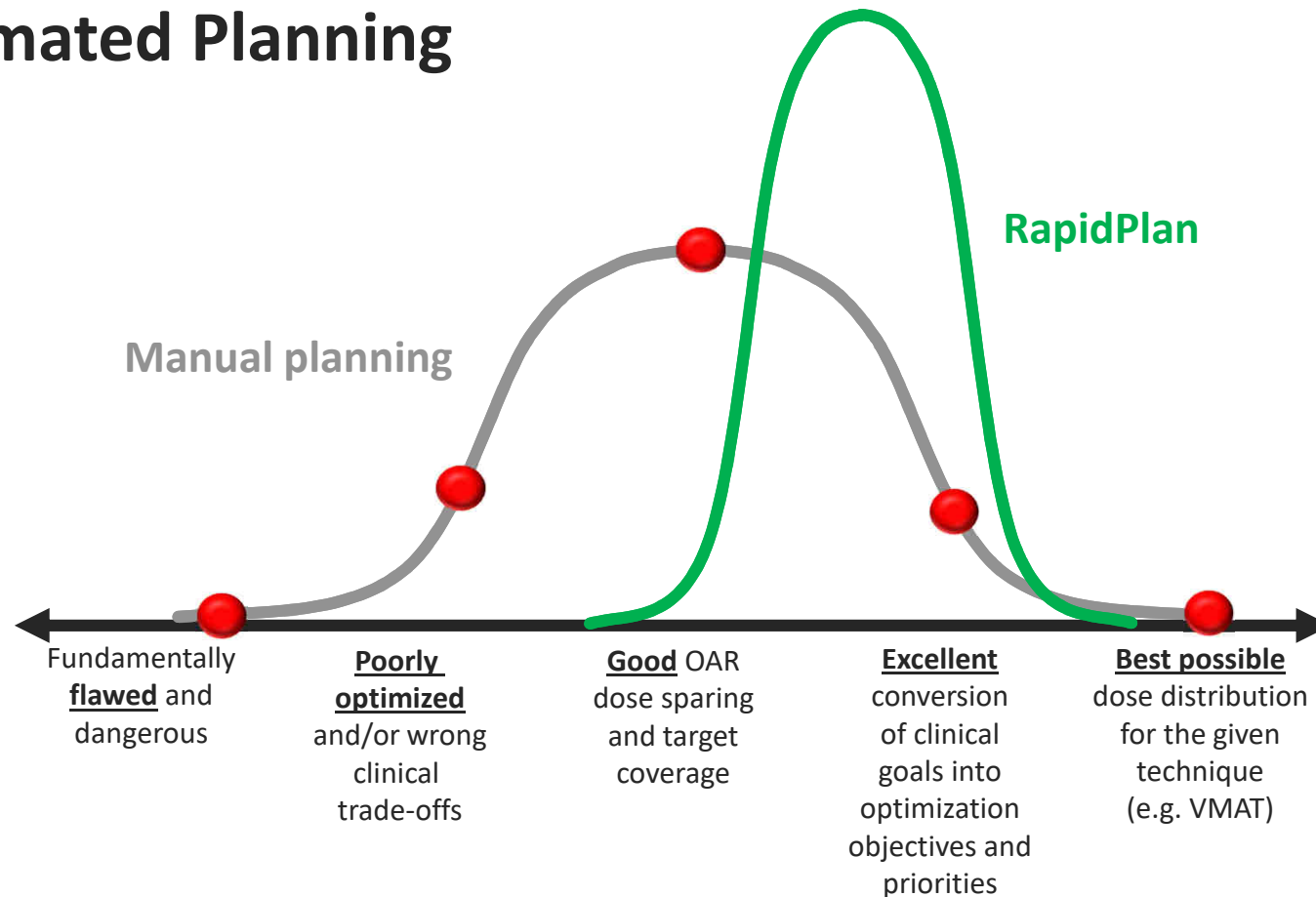
*Courtesy of Kevin Moore, PhD*

# Automation



Courtesy of Kevin Moore, PhD

# Automated Planning





## UCSD RapidPlan Approach

- Setting up auto-planning routines (Phase 0)
  - Modelling
- Planner first, then RapidPlan (Phase 1)
  - Blinded study
- RapidPlan first, then planner (Phase 2)
  - Plan refinement
- RapidPlan only unless constraints violated
  - Planning as a Service

## UCSD RapidPlan Results

- Phase 0 – Modeling/Validation (~500 prior pts)
- Phase 1 – Blinded study (~300 pts)
  - HN, lung SBRT, and SRS beat manual planning 65-80% of the time
  - Prostate and liver SBRT are approximately equal
  - GYN and prostatic fossa wins 35-40% of the time
- Phase 2 – RapidPlan then manual refinement (~250 pts)
  - Documenting plan improvements (if any) as we go

# Dosimetrist Perceptions

- Initial push back
  - Job security, competing with a computer
- Now embrace as a tool to speed up their work
  - Saves them about 40% of their time per case
- Better communication with physicians
  - RapidPlan gives them credibility
- Ultra-fast ramp up for new dosimetrists

# Automated Acceptance, Commissioning, & QA

- Better use of existing technology, e.g., EPID
  - Yaddanapudi *et al.* Med Phys, 2017 (accepted).
- Universal software, e.g., MPC
  - Clivio *et al.* Radiat Oncol, 2015.
- Systems-based safety assessment, e.g., STPA
  - Pawlicki *et al.* Med Phys, 2016.
- Process-based data analysis, e.g., SPC
  - Pawlicki *et al.* Seminars in Rad Onc, 2012.

# Current Approach to Quality (and Safety)

## Event View



Did it get done right?  
Each case is a go/no-go decision.

# Leads to This Type of Thinking

## Physics Experiment



## Commissioning Procedure

TPS vs Measurement = 2.715%



Maybe I should do another experiment?

If only I had more time!

# Quality and Safety

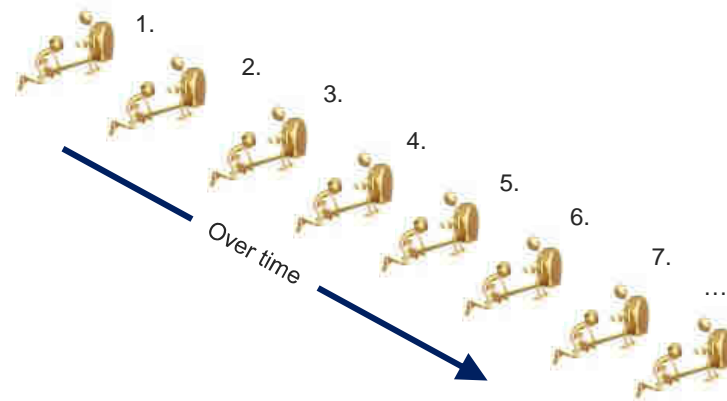
## Event View



Did it get done right?

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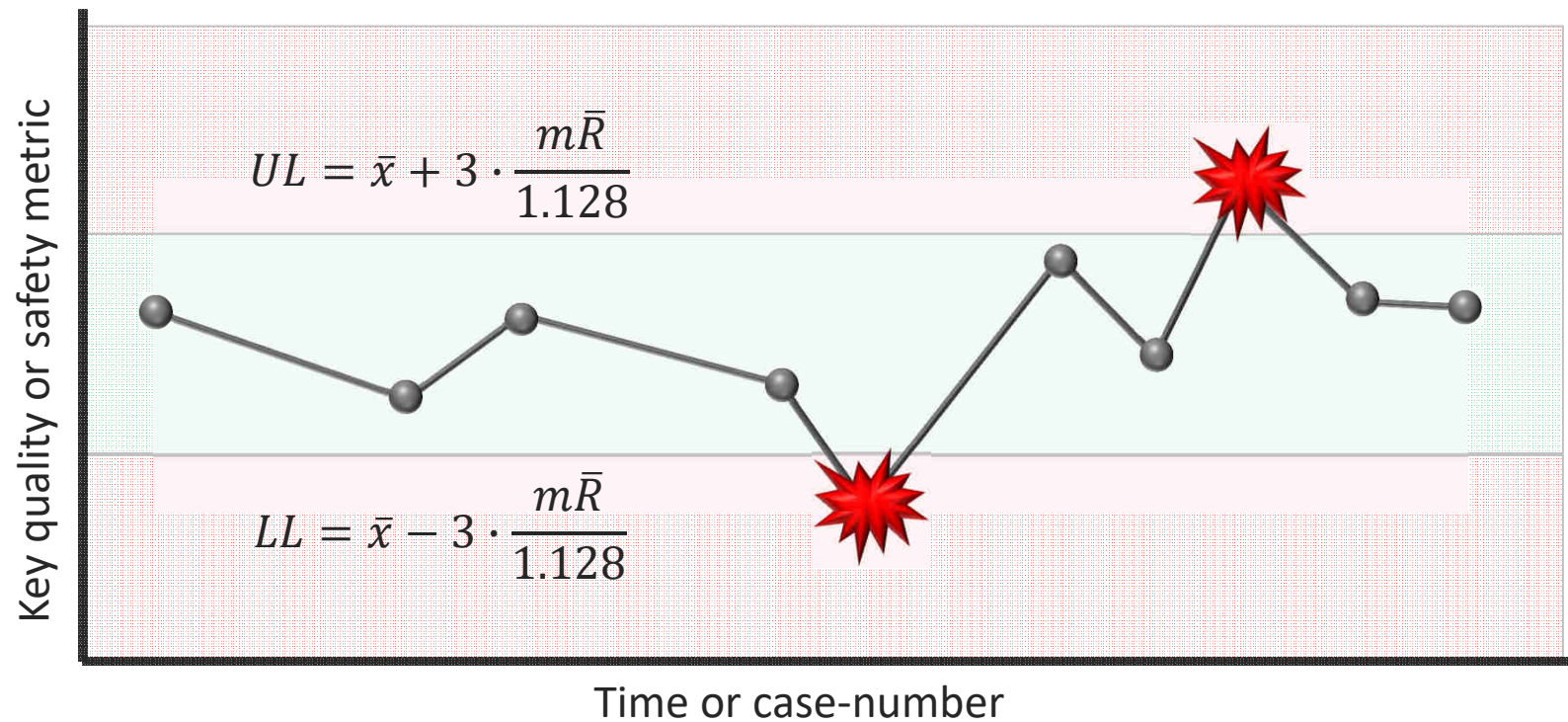
## Process View



Are people and equipment doing it right?

How is the process performing?

## Statistics-Based Decision Strategy



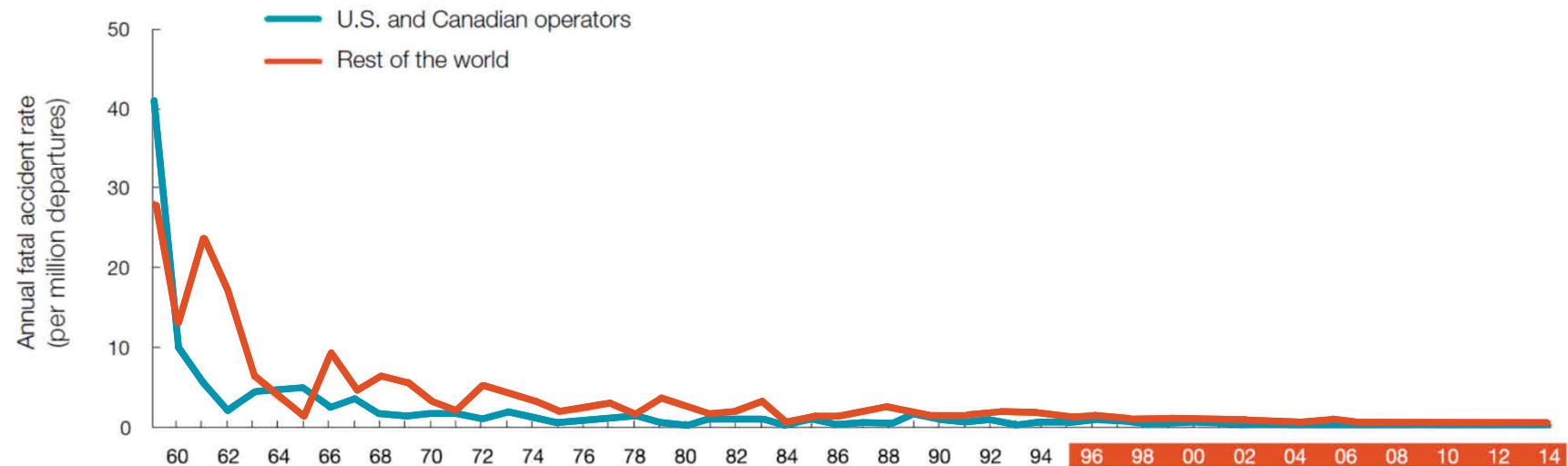


## A Way Forward: Continuous QA

- Largely automated daily linac QA only
  - No monthly or annual linac QA
- New approach: plan/weekly–checks, patient-specific QA
  - Take a patient view and leverage existing data
- Learn and adapt
  - Better response to process changes, near-misses, and incidents

# U.S. and Canadian Operators Accident Rates by Year

Fatal Accidents | Worldwide Commercial Jet Fleet | 1959 through 2014



[http://www.boeing.com/resources/boeingdotcom/company/about\\_bca/pdf/statsum.pdf](http://www.boeing.com/resources/boeingdotcom/company/about_bca/pdf/statsum.pdf)

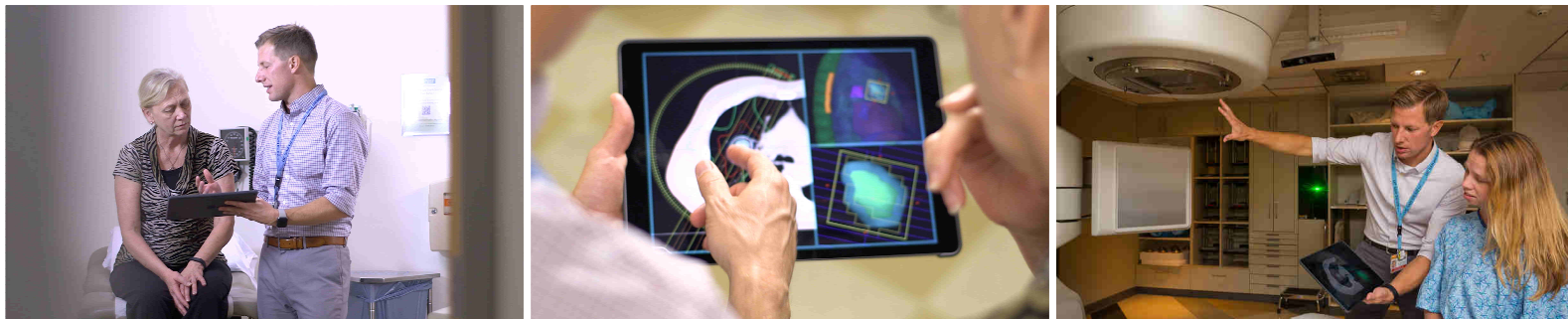
## What is our clinical future?

- Modified QA to maximize impact while minimizing effort
- Automated planning, plan and process checks
- How can we utilize our expertise to have a firsthand impact on patient care?

*Courtesy of Todd Atwood, PhD*

## Physics Direct Patient Care Initiative

- Establish an independent relationship with patients
- Take ownership of technical aspects related to treatment
- Designed interactions with patients



*Courtesy of Todd Atwood, PhD*

## Patient Interactions

Physician



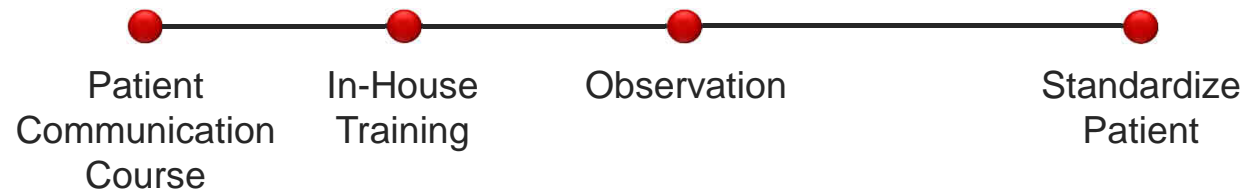
Physicist



*Courtesy of Derek Brown, PhD*

## Resident (and Faculty) Training

Year 1



Year 2



# Randomized Clinical Trial

PDPC vs Conventional

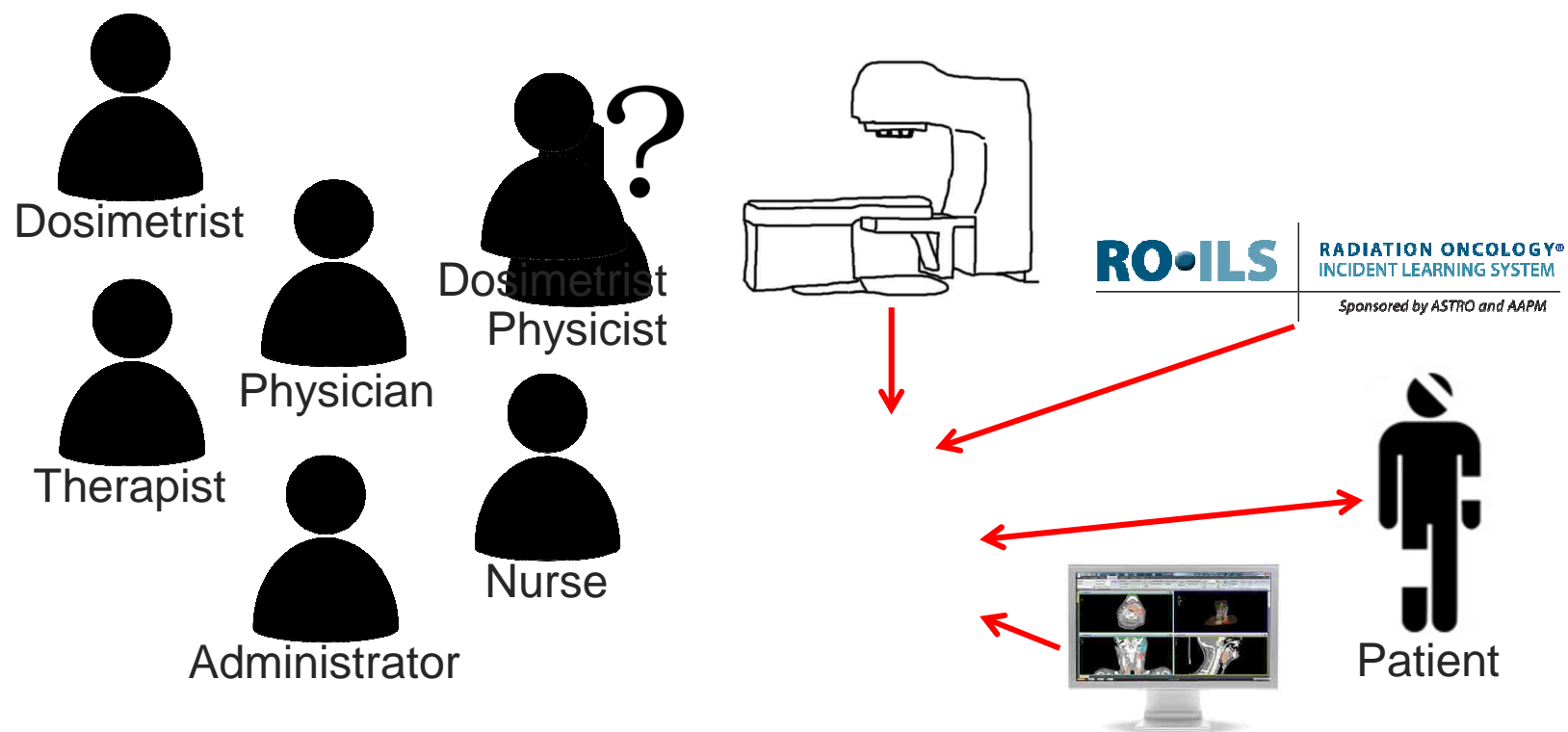
## Primary Endpoint

- Patient anxiety & satisfaction
- Questionnaire
  - 3 time points during RT course

## Secondary Endpoint

- Physician efficiency
- Monitor physician workload
  - Weekly on-treatment visit duration

# Our Clinic of the Future





# Summary

- Understand and address complexity
  - Enforce system controls, not just 'checking things'
- Automation and process-based data analysis
  - Planning, QA, and workflow
- Physicists become part of the direct patient care team
  - Use our unique perspective to improve radiotherapy